

Index

Introductory Note

References such as '178–9' indicate (not necessarily continuous) discussion of a topic across a range of pages. Wherever possible in the case of topics with many references, these have been divided into sub-topics and/or only the most significant discussions of the topic are listed. Because the entire volume is about 'patent policy', the use of this term (and certain others which occur throughout) as entry points has been minimized. Information will be found under the corresponding detailed topics.

3M 53

abstract ideas 41, 49, 54, 154

Accenture 76, 97, 100, 120, 124–5, 189

acceptance fees 161, 184, 187

ACIP *see* Advisory Council on
Intellectual Property

addition, patents of 72, 157, 184

advertising 77, 80–1, 88–9, 124, 144–5,
190, 193

Advisory Council on Intellectual
Property (ACIP) 23, 162, 164,
174, 181, 193

Aerotel 9, 46, 60, 181, 191

AIPLA (American Intellectual
Property Law Association) 171

algorithms 43, 47, 66, 151, 162, 183

allowance, notices of 114, 125, 127,
140, 145, 150, 187–92

Amazon one-click patent 31, 48, 55–6,
147, 157, 175, 180

amendment

claims 93–107

continual 86, 169

analogous use

cases 147–8

doctrine 10, 135, 146–51, 164

apparatus 45, 47, 110, 113, 131,
139–40, 149

appropriability 24

empirical evidence

Carnegie-Mellon survey (CMS) 2,
19–20, 24–5, 31

National Innovation Surveys
(NISs) 8, 36–7

Yale survey 16–17, 19

Arrow, K.J. 15, 35, 88, 180

art

prior *see* existing knowledge

technological 48, 112, 180

useful 37, 44, 48–9, 180, 183

artefacts 13, 17, 38, 42, 49, 62, 67

assets 18, 49, 60, 118–19, 153, 160

asymmetry of challenging individual
patents 61

attorneys *see* patent attorneys

Australia 26–9, 41–4, 46–9, 52–5,
57–8, 160–6, 184–8

Australian applicants 73, 151

examiners 80–2, 87–8, 94–6, 103–4,
111–12, 120, 149–53

Federal Court 47, 49, 172, 181

Federal-State Competition Principles
Agreement 107

High Court 42–3, 51, 54, 56–7

Patent Office 6, 54, 59, 120–1,
167–8, 177, 193–4

patent system 26, 28, 33, 42

Patents Act 37, 46, 86, 182, 185,
188

Spam Act 97

authentication 79, 93, 101–2, 164

- backward citations 185
- Bagley, M. 68
- Bakels, R.B. 38, 50, 53, 55, 65, 164, 168
- balance 1, 11–12, 15, 35, 39, 54, 60
of probabilities 58, 108, 182, 189
- barriers to entry 18, 33
- Barton, J.H. 5, 7, 48, 50, 171
- Baumol, W.J. 23, 178
- Beethoven analogy 69–70
- Behrens, D.M. 5
- Beier, F.K. 52
- benchmarking 95, 118, 147, 191
- benefit of the doubt 58, 97, 108, 182, 188
see also beyond reasonable doubt principle
- benefits
external 15–16
national 4, 7, 63, 107, 133, 166
net 4–5, 15, 21, 34–6, 70, 168–9, 172–3
social 15, 23, 60–1
spillover 3–5, 20–2, 28, 34–5, 61–4, 84, 168
- Benson 43, 67, 183, 190
- Bessen, J. 23, 25, 29–30, 32–3, 67, 167, 171
- betting, cases 82, 113, 146, 154, 158, 190
- beyond reasonable doubt principle 58, 70, 108, 115, 151
- BIE *see* Bureau of Industry Economics
- Bilski 49, 54, 154, 183
see also In re Bilski
- biometrics 130–1, 143
- Blind, K. 2, 23–4, 179
- Board of Patent Appeals and Interferences (BPAI) 48, 52, 123–4, 158, 180, 190, 192
- Bochnovic, J. 39–40, 51
- Boldrin, M. 8, 34, 41, 79, 177–9
- BPAI *see* Board of Patent Appeals and Interferences
- Brazil 172, 194
- Brennan, D.J. 56, 147, 181–2, 191
- Brödner, P. 45, 185
- budgets 80, 88, 144, 198–9
- burden of proof *see* onus of proof
- Bureau of Industry Economics (BIE) 21–2, 191
- business data 104–5, 115
- business ideas 97, 108, 159–60
cases 142, 159, 163, 207
- business methods 8–11, 44, 48–50, 68–72, 77–8, 86–8, 133–6
applications 6, 49, 69, 81, 130, 136, 182
IPC7/IPC8 71
literature 50, 86, 114
see also cases
- business performance 6, 77, 81
cases 81, 97–8, 104, 109, 120–1, 151, 190–1
- business practice, common/normal 94, 117
- business processes 10–11, 105–6, 112–14, 116, 125–6, 130, 134
- CAFC *see* Court of Appeals for the Federal Circuit
- Canada 20, 75, 126, 159, 179, 185
- Cantor Fitzgerald 76, 146, 163, 189, 193
- Cantor Index 76, 113, 146, 189
- Carnegie-Mellon survey (CMS) 2, 19–20, 24–5, 31
- cases
analogous use 147–8
betting 82, 113, 146, 154, 158, 190
business ideas 142, 159, 163, 207
business performance 81, 97–8, 104, 109, 120–1, 151, 190–1
combinations 78–80, 87–8, 102–3, 110–12, 129–30, 190–1, 203–5
computerisation 94–5, 108–9, 118–24, 126–7, 129–30, 186–7, 190–1
digital rights management 122–4
e-commerce 79, 96, 101, 108, 112, 124, 159
finance 78–9, 88, 102–3, 118, 130, 132, 190–1
linked databases 91–2, 104, 130, 137–8, 143, 186, 193
marketing 80, 88–90, 112, 144, 147, 190
miscellaneous 83, 94–5, 132, 144, 147–8, 190, 200

- networks 77, 88–9, 100
- real estate 77–8, 87, 118–19, 147, 150, 191, 196
- selection *see* methodology
- trade and logistics 77, 110–11, 126–7, 129–30, 137–8, 186–7, 190
- trivial variations 77, 81–2, 96–8, 112–13, 151–2, 190–1, 195–7
- Catuity 11
- CBO (Congressional Budget Office) 22
- CCOMa 47
- CCOMb 42, 47, 125
- CFPH 46, 60, 126
- CGK *see* common general knowledge
- chemical compounds 27, 38, 58
- chemicals 5, 15, 19, 25–8, 38, 40–3, 147–8
 - see also* pharmaceuticals
- children 78, 101, 132, 145, 157, 159, 188
- Christie, A.F. 42, 56, 181–2, 191
- citations 16, 30, 54, 91, 113, 177, 185
 - backward 185
 - forward 55, 185
- cited art *see* existing knowledge
- cited art/knowledge 97, 103, 109, 113, 131, 141
- cited documents 81, 104, 131, 140, 150
- classes 27–8, 33, 70, 179
- CMS *see* Carnegie-Mellon survey
- Cockburn, I.M. 33
- Cohen, W.M. 2, 17, 19, 22, 24, 32, 177–8
- combination inventions *see* combinations
- combinations 10, 53, 56–7, 67–8, 76, 113–14, 117–35
 - cases 78–80, 87–8, 102–3, 110–12, 129–30, 190–1, 203–5
 - exact 93, 107, 123, 125, 130
 - issues identified 133–4
 - old methods 126–33
- common business practice 94, 117
- common general knowledge (CGK) 52, 79, 87, 101, 105, 110–11, 113–14
- communications 89, 131, 137–9, 188, 191, 203, 207–8
 - networks 83, 138, 203, 207
- competition 22, 33, 69, 107, 117, 133, 175
- complex processes 120–1, 125
- complexity 2, 4, 60, 67, 122, 176, 183
- computerisation 10–11, 43, 76, 78, 93–4, 111–12, 117–18
 - cases 94–5, 108–9, 117–27, 129–30, 137–8, 186–7, 190–1
 - simple 67–9, 76, 94–5, 118–26, 189
- computerised systems 95, 97, 103, 120, 137, 145, 149–50
- computers 19, 44–8, 66–7, 88–9, 126, 144–5, 149
 - programs/software *see* software
- Congressional Budget Office (CBO) 22
- construction 9, 73, 96, 136, 139
- consumer surplus 14, 21–2, 63–4
- consumers 1, 14, 18, 26, 31, 80, 88–91
- Contentguard 76, 122–4, 189–90
- continual amendment 86, 169
- continuations 73, 75, 95, 141, 159, 165, 184
 - see also* divisionals
- continuum of inventiveness 3, 50, 64, 168
- contributions
 - genuine 70, 166
 - inventive 6, 63, 73, 144
 - know-how 9, 156
 - knowledge 6, 12, 64, 84, 136, 160
 - technical 118, 145
- conversions 81–2, 91, 95, 105
- copying 13–14, 16–20, 24, 66, 113, 178
 - see also* imitation
- copyright 59, 175
 - and software 44, 66, 109, 121
- correspondence 6, 73, 75, 104, 110, 120, 145
- costs 1, 3–4, 11–16, 28–35, 61–2, 66–8, 165–8
 - development 13, 16, 35, 56–7, 67
 - high 12, 31, 61
 - imitation 16, 35, 178
 - patent systems 14, 29, 35
 - private 14, 29
 - R&D 20, 23, 66–7, 168
 - social 14, 23, 28–30, 32, 34, 60–1, 109
 - transaction 1, 4, 18, 35
- Court of Appeal, UK 46, 51, 84

- Court of Appeals for the Federal Circuit (CAFC) 7–8, 19, 29, 46, 48–9, 52–4, 181–2
- Crane 40
- data storage 90, 92, 138–9, 145, 200
- databases 69, 76, 94, 112–13, 122–3, 143–5, 148
linked *see* linked databases
management 81, 92
patent 21, 70, 167, 193
product planning 153
systems 91–2, 134
- degree of inventiveness 4, 11, 73, 112, 114–16
- Department of Industry, Innovation, Science, Research and Tertiary Education (DIISRTE) 194
- design 69, 80–1, 89, 103–4, 106, 133–4, 174
features 88, 99, 105–7, 111, 120, 149, 152–3
- development costs 13, 16, 35, 56–7, 67
- devices 45, 49, 70, 83, 88, 142, 204–5
- Diehr 43–4, 45, 46, 181, 190
- difference, quantum of 56, 153
- diffusion of innovation/new technology 13, 17–18, 20, 176, 178
- digital rights management, cases 122–4
- dimensions 17, 25, 76, 78, 84, 183, 198–9
- direct evidence on copying 16–18
- disclosure 37, 75, 88, 142
- discoveries 37, 41–3, 183
exclusion 42
- disputes 8, 37–9, 59, 175
- District Courts, United States 181, 187
- divisionals 74, 93–5, 161, 165, 185, 193
see also continuations
- doctors 83, 200–2
- doctrinal and policy issues 155–64
- doctrinal rules 9, 11, 135, 146, 155, 169
- doctrines 4–6, 10, 50–8, 69, 135, 146–7, 164
analogous use 10, 135, 146–51, 164
new 39, 59, 66, 117
policy 67, 133, 150
suggestion 68, 91, 117, 120, 127, 131, 133
synergy 53, 117, 133, 135, 164
technical equivalents 134–5, 146, 150
workshop variations 10, 64, 69, 77, 108–10, 126–7, 150–5
- documentary evidence 103, 113
see also documents
- documented knowledge *see* existing knowledge
- documents 90–1, 96–101, 111–13, 140, 151–3, 156–7, 160–1
cited 81, 104, 131, 140, 150
existing 98, 107, 114
new 90, 97–8, 151
non-patent 79, 101
transaction-based 149
- double patenting issues 103, 162
- Drahos, P. 2, 38, 59, 177
- Dreyfuss, R.C. 50, 52, 54, 167
- e-commerce 69, 77–9, 88, 102, 159, 187–8
cases 79, 96, 101, 108, 112, 124, 159
see also electronic trading systems
E-Data case 29–30, 187
- EC *see* European Commission/Union
- econometric studies 21–2
- economic benefits 15–16, 24, 34, 60
- economic growth 1, 14–15, 20, 22–3, 37
- economic perspective 4, 8, 13, 33, 50, 55–6, 58
- economic policy 1, 7, 35, 57–9, 107–8, 123, 173
- economics of patent policy 8, 13–36
costs *see* costs
economic benefits 15–16, 24, 34, 60
exclusivity period 13–16, 19, 44, 170
externalities *see* externalities
first mover advantages 18, 69
incentives *see* incentives
induced patents 26–8, 179
monopolies *see* monopolies
network advantages 69, 78, 156
spillover benefits *see* spillovers, benefits
- effectiveness, patent policy 34, 63, 166–7
- efficacy, known 57–8

- electronic networks 10, 68, 77, 100, 105, 117, 156
 electronic trading systems 69, 77–9, 88, 102, 159, 187–8
 see also e-commerce
 eligibility 9, 49, 63–70, 86, 106–7, 141
 criteria 37–9, 43
 VAT refund 141–2
 see also patentability
 empirical analysis 7–8
 empirical data/evidence 2, 8, 13–15, 29–30, 34, 176, 194
 empirical studies 15–16, 20–1, 23, 54–5, 166, 178
 enantiomers 58
 Encaoua, D. 2, 34
 encryption 79, 101–2, 115–16, 137, 175
 entry, barriers to 18, 33
 EPC *see* European Patent Convention
 EPLA (European Patent Lawyers Association) 171
 EPO *see* European Patent Office
 estimates 7, 21, 24–6, 28–30, 126, 179, 190
 etalk 76, 151
 Europe 37, 48, 52–3, 65, 113, 154, 156
 European Commission/Union 11, 43, 169, 172
 European Patent Convention (EPC) 10, 37–8, 41, 43, 45, 135–46, 158
 European Patent Lawyers Association (EPLA) 171
 European Patent Office (EPO) 45–7, 74–7, 86–8, 90–6, 99–102, 127–31, 133–42
 Appeal Boards 39, 45–6, 65, 75, 136, 142
 applications 49, 75–6, 141
 examiners 93, 98, 103, 121–2, 125, 129, 186
 European search reports 92–3, 96, 150
 exact combination 93, 107, 123, 125, 130
 examination 9, 54, 75, 90–1, 155–6, 186–7, 189–92
 expedited 72, 87, 96, 103–4, 122, 130, 161
 modified 156, 160, 162, 184
 process 9, 72, 93, 106, 156, 169, 192–3
 requests 72, 151, 184, 191–2
 examiners 90–5, 99–106, 108–15, 130–4, 152–4, 160–4, 184–7
 Australian 80–2, 87–8, 94–6, 103–4, 111–12, 120, 149–53
 EPO 93, 98, 103, 121–2, 125, 129, 186
 objections 82, 185, 190
 reports 72, 87–8, 142, 149, 159, 185–9, 191–3
 UK 145, 191
 US 79, 82, 91–2, 96, 105–6, 111–13, 157–8
 exclusion of new entrants *see* barriers to entry
 exclusions 14, 20, 38, 41–7, 64, 84, 134–6
 fundamental 41–2
 statutory 135, 140
 exclusivity 13–16, 19, 44, 170
 existing knowledge 9, 121, 125, 129–31, 139, 141, 190
 finding and avoiding 86–116
 and novelty 51
 expedited examination 72, 87, 96, 103–4, 122, 130, 161
 expert systems 95, 105, 120, 147, 187, 190
 experts 7, 95, 97, 176, 187
 extensions 46, 48, 64, 69, 188
 to business methods *see* business methods
 to processes and chemicals 40–3
 to software 43–8
 external benefits 15–16
 externalities 21, 178
 net 14–15, 20–3
 positive 14, 20–1, 23, 28, 32, 34
 family members 122, 145, 163, 193
 Federal Court 47, 49, 172
 Federal-State Competition Principles Agreement 107
 Federal Trade Commission (FTC) 6, 33, 167
 fees 31, 87, 90–2, 184, 186, 188
 acceptance 161, 184, 187
 licensing 12
 renewal 30, 92, 144
 usage 160, 207

- finance 55, 77–9, 89, 132, 163, 185
 cases 78–9, 88, 102–3, 118, 130, 132,
 190–1
 financial management system 88, 195,
 197–9
 financial markets 113, 146, 152, 161
 financial products 74, 88, 152, 161,
 163, 174
 fingerprints 101–2, 115–16, 137, 164
 Firestone, O.J. 30, 179
 first mover advantages 18, 69
 forward citations 55, 185
 four-step test 46, 135, 141–5, 186,
 188–9, 191
 fraud 79, 132, 150
 free-riding 176
 FTC (Federal Trade Commission) 6,
 33, 167
- games playing
 semantic 70, 80
 strategic 32, 72, 106, 173–4
 GAO (Government Accountability
 Office) 3, 59
 generic pharmaceuticals 35, 41, 182,
 193
 genuine contributions to knowledge/
 knowhow 70, 166
 genuine inventive step 172, 176
 Germany 28, 38, 41, 45, 52
 Federal Court of Justice 65
 Government Accountability Office
 (GAO) 3, 59
 governments 33–5, 38, 44–5, 58–9,
 107, 173, 175–6
 democratic 162
 executive 12, 50, 170
 intervention 3–4, 69, 117, 176,
 193
 Graham 29, 53, 181
 grammar expressions 123–4
 Granstrand, O. 34
 Grant 2005 60
 Grant 2006 49, 60, 82, 114
 Griliches, Z. 4, 7, 30, 70
- Hall, B.H. 23, 68, 177, 181, 185
 harmonisation 162–4, 180
 High Court, Australia 42–3, 51, 54,
 56–7
- Hotchkiss 52
 human knowledge *see* knowledge
 Hunter, S.D. 54, 86, 181
- ideas
 abstract 41, 49, 54, 154
 business *see* business ideas
 musical 69–70
- IBM 47
 IBM Corp 66, 183
 IPIPI *see* International Intellectual
 Property Institute
- images 58, 77, 89, 100, 150–1, 196–7,
 204–5
 imagination, lack of 8, 52–3
 imitation 16–18, 24, 32, 34, 177–8
 costs 16, 35, 178
 see also copying
 implementation 6, 66–7, 83–4, 91–2,
 114–15, 142–3, 157–60
 incentives 18, 31, 34, 104, 175
 patent 22, 24, 62, 67, 84, 169, 176
 India 23, 41, 58, 172, 182, 194
 indirect evidence on copying 19–20
 induced patents 26–8, 179
 industrial innovation 2, 14–18, 21–2
 Industrial Property Advisory
 Committee (IPAC) 33, 44, 47, 86,
 133
 industrial R&D 23, 35
 infringement 11, 20, 24–5, 31–2, 38,
 61, 96
 ingenuity 57, 91, 95, 114–15, 168
 innovating firms 2, 19, 25, 32, 36, 165,
 167–8
 innovation(s)
 diffusion of 17, 178
 impact of patents 23–9
 incremental 17
 independently invented 14, 20
 induced 1–2, 4, 15, 26, 28–9, 35, 84
 industrial 2, 14–18, 21–2
 investment 4, 14–15, 20, 34
 markets 14, 23, 35
 pharmaceutical 25, 170
 policy 50, 102, 133, 194
 policy makers 3, 178
 subsequent 18, 32
 technological 136, 162, 165
 see also *Introductory Note*

- innovators 13–14, 16, 21–4, 26, 28, 175, 177–8
 In re Appalat 44
 In re Bilski 49, 82, 142, 158, 161, 190
 see also Bilski
 Institute of Patent and Trade Mark Attorneys of Australia (IPTA) 171
 Intellectual Property Laws Amendment (Raising the Bar) Act 186
 Intellectual Property Owners Association 33, 167
 Internal Revenue Service (IRS) 67
 international harmonisation 162–4, 180
 International Intellectual Property Institute (IPI) 171
 International Patent Classification (IPC) 70–1
 International Preliminary Examination Report (IPER) 80, 90, 137, 139, 141, 157, 186
 International Preliminary Search Report (IPSR) 149
 International Search Report (ISR) 74, 93, 190
 internet 29, 56, 69, 78, 87–9, 100–1, 206
 intervention, government 3–4, 69, 117, 176, 193
 inventions *see* *Introductory Note and detailed entries*
 inventive concepts 31, 69, 74, 79, 101, 106, 108
 inventive contributions 6, 63, 73, 144
 inventive elements/features 9, 88, 92, 103, 106, 118, 122
 inventive step 5–7, 11–12, 51–5, 70, 101–3, 167–8, 170
 genuine 172, 176
 high 10, 116, 178
 higher 85, 135, 175
 raising of 170–2
 scintilla of inventiveness 5, 52–3, 84, 112, 146
 tests 51–2, 54
 inventiveness 3–12, 50–4, 90–3, 99–109, 123–5, 159–64, 166–72
 analogous use doctrine 10, 135, 146–51, 164
 assessment 73, 87, 106
 centrality of 4–7
 as continuum 3, 50, 64, 168
 criteria 50
 degree of 4, 11, 73, 112, 114–16
 grounds 81, 86, 100, 108, 113–14, 124, 154
 measurement 5, 54, 168
 movement of words achieving 107, 116, 131
 and novelty 50–8
 objections 77, 82, 86–8, 92, 97, 111–13, 152–3
 patent law definition 8, 107, 134, 166
 quantum of 2–3, 5–7, 15, 53, 55, 135–65, 167–8
 redefining 83–5
 scintilla of 5, 52–3, 84, 112, 146
 standards 10, 21, 50, 53, 58–9, 159, 166–7
 technical 92, 102, 116, 125, 136–7, 141, 150
 and technical equivalents 134–5, 146, 150
 tests 10, 57, 68, 102, 105, 107, 115
 threshold *see* inventiveness, standards
 and workshop variations 10, 64, 69, 77, 108–10, 126–7, 150–5
 see also non-obviousness; obviousness
 Inventors Protection Act, United States 3, 59, 188
 investment 14, 19–20, 22–3, 26, 35, 56–7, 152–3
 innovation 4, 14–15, 20, 34
 R&D 13, 18, 34–5, 117, 140
 IP Australia *see* Australia, Patent Office
 IPAC *see* Industrial Property Advisory Committee
 IPC *see* International Patent Classification
 IPER *see* International Preliminary Examination Report
 IPSR (International Preliminary Search Report) 149
 IPTA (Institute of Patent and Trade Mark Attorneys of Australia) 171

- IRS (Internal Revenue Service) 67
 ISR *see* International Search Report
 Israel 163
 Italy 15, 28, 41
- Jaffe, A.B. 5, 12, 22–3, 34, 58, 177
 Japan 20, 28, 75, 181
 judge-made law 5, 8, 37, 39, 41, 50–1, 58–9
 see also *Table of Cases*
- Kahin, B. 3, 33, 59, 67, 156–8, 167
 Kitch, E.W. 52
 know-how 5–6, 9–12, 61–4, 68–9, 83–4, 115, 168–70
 contributions 9, 156
 knowledge 8–12, 17–18, 20–1, 63–7, 77–81, 86–8, 110–15
 cited 77, 83, 101, 108, 111, 122, 124
 contributions 6, 12, 64, 84, 136, 160
 documented *see* documented knowledge
 existing *see* existing knowledge
 flows 18, 21
 model 97–8
 new 1, 3–11, 13–15, 17–18, 61–4, 68, 84
 spillovers 4, 15, 20–3, 28
 uncodified 17–18
 known efficacy 57–8
 known elements 10, 53, 56–7, 64–5, 68, 117, 119
 combinations of *see* combinations
 known processes 9–11, 68–9, 85, 117, 126, 130–3, 152
 combinations of *see* combination
 Korea 96, 102, 106, 122, 147, 187, 200
 Patent Act 187
 KSR 8, 52, 54, 158, 161
- Landes, W.M. 2, 34, 59
 language 17, 81–2, 96, 112, 123, 174
 see also words; semantics
 Lanteigne, H.K.C. 187
 Lawson, C. 50, 54, 57
 legal doctrines *see* doctrines
 legislatures *see* parliaments
 Lerner, J. 5, 12, 23, 29, 58, 152, 185
 Levine, D.K. 8, 34, 41, 79, 177–9
- Liardet 51
 licensing fees 12
 linked databases, cases 91–2, 104, 130, 137–8, 143, 186, 193
 lobbying 2, 7, 20, 34, 37, 171, 175
 logic 9, 45, 47, 65–7, 82, 159
 logistics *see* trade and logistics
 López, A. 20
 losses 11, 15–16, 31–2, 175
 welfare 29, 32
 Lundgren 48, 180
 Lunney, G.S., Jr. 5, 50, 53–4, 167, 182
- Macdonald, S. 29, 32, 167, 176
 MacGarvie, M.J. 33, 181
 machine-or-transformation test 49, 54, 158
 machines 40, 45, 82, 91, 126
 Machlup, F. 1–2, 7, 15, 23, 30, 107, 178
 Macrossan 46
 magic words 44, 107, 139
 Mandeville, T.D. 17, 34, 167, 178
 manipulation 64, 81, 198–9
 manner of manufacture 42, 51, 162
 manner of new manufacture 37, 40, 42, 44, 50–1, 155, 180
 Mansfield, E. 16, 21–2, 25, 177, 179
 manual processes 68, 141, 144
 marginal cost 13
 marketing 6, 57, 74, 77, 88, 147
 cases 80, 88–90, 112, 144, 147, 190
 markets 2–4, 13–14, 17–20, 26–7, 33, 63, 176–7
 exclusivity 13–16, 19, 44, 170
 failure 13, 20, 67
 financial 113, 146, 152, 161
 impediment 68, 109
 innovation 14, 23, 35
 intervention 64, 170, 172
 mechanisms 18, 20, 167
 research 80–1, 91
 size 35, 179
- Massachusetts Code of Laws (1641) 4, 40
 mathematics 62, 65–7, 82, 158, 183
 Mayo 41, 49, 60, 162, 181
 mechanical equivalence 55, 56–7, 69, 150, 169
 medical data 95–6, 200–2

- medical systems, expert 95, 105, 147, 190
 medicines *see* pharmaceuticals
 mental steps 47, 94, 107, 111–12, 118, 126–7, 136
 methodology 9, 21, 121, 147
 representativeness 62, 71
 selection of cases 70–7
 Meurer, M.J. 25, 29–30, 32–3, 67, 167, 171, 177
 Microcell 56
 Mirabella 51, 181
 modified examination 156, 160, 162, 184
 monopolies 1, 4–5, 40, 56–9, 165–7, 179–80, 186–8
 patent 66–7, 116–17, 143–4, 154–5, 157, 162–3, 173
 monopoly grants 1, 50, 56, 134, 166, 170, 172
 Mooney, B. 29
 multiple ownership
 Accenture 76, 97, 100, 120, 124–5, 189
 Cantor Fitzgerald 76, 146, 163, 189, 193
 Cantor Index 76, 113, 146, 189
 Contentguard 76, 122–4, 189–90
 etalk 76, 151
 musical ideas 69–70

 national benefits 4, 7, 63, 107, 133, 166
 National Innovation Surveys (NISs) 8, 36–7
 national interest 62–85
 national phase 74–5, 94, 113, 156, 161
 negative reports 76, 80, 105, 141, 149, 182, 191
 negotiations 24, 108, 127, 161, 180
 net benefit 4–5, 15, 21, 34–6, 70, 168–9, 172–3
 net externalities 14–15, 20–3
 network advantages 69, 78, 156
 networks 69, 76, 101–2, 106, 126–7, 138–40, 200–3
 cases 77–9, 88–9, 100
 electronic 10, 68, 77, 100, 105, 117, 156
 new fields 7, 50, 56, 95, 147
 new knowledge 1, 3–11, 13–15, 17–18, 61–4, 68, 84
 new manufacture, manner of 37, 40, 42, 44, 50–1, 155, 180
 new technology 13, 18–20, 131, 176, 178
 new tests 48, 145, 180, 191
 new uses of old substances 41, 57, 64
 New Zealand 26, 44, 46, 75, 87–8, 163, 204–5
 newness 40, 43, 51, 180
 NISs (National Innovation Surveys) 8, 36–7
 noise 30, 32, 170
 non-final rejections 158, 185, 187, 189–92
 non-obviousness 5, 52, 55, 123
 see also inventiveness
 non-patentable subject matter 82, 125, 141, 145, 164, 186
 see also eligibility
 non-residents 27–8
 notices of allowance 114, 125, 127, 140, 145, 150, 187–92
 novelty 79–83, 86–8, 90–5, 99–101, 108–14, 141–3, 156–61
 and existing knowledge 51
 grounds 123, 144, 151, 154, 190
 and inventiveness 50–8
 objections 90, 111, 114, 188
 NRDC 42, 46, 57, 162, 174

 objections 75, 83, 121–2, 126, 137, 149–51, 164–5
 examiner 114, 118, 153, 162
 inventiveness 77, 82, 86–8, 92, 97, 111–13, 152–3
 novelty 90, 111, 114, 188
 obviousness 90, 114, 117, 130
 obviousness 3, 8–9, 31–2, 52–4, 85–7, 122, 192–3
 grounds 80, 91–2, 94, 156
 and lack of imagination 8, 52–3
 objections 90, 114, 117, 130
 and PHOSITA (person having ordinary skills in the art) 53–4, 182
 and problem/solution approach 9–10, 156–7

- and PSA (person skilled in the art) 52–3
- test 8, 53, 169
- old substances, new uses of 41, 57, 64
- one-click patent *see* Amazon one-click patent
- onus of proof 58, 61, 70, 101, 105, 107–14, 185
 - reverse 5, 10–11, 58, 85, 146, 190
- Oppenheim, C. 29, 178
- opposition 161, 175, 184, 186
- oral hearings/proceedings 95, 100, 106, 123, 185, 190, 192–3
- organisations 73, 98, 110, 120–1, 171, 173, 180
- overlapping technologies 24, 167, 171
- overseas applications 9, 73–6, 79, 84, 118, 122, 144
 - see also* parallel applications
- overseas grants 162–4
- owners 21, 30, 34, 60, 63, 72–4, 152–3
- parallel applications 6, 9–10, 75, 79–80, 114, 159–60
- parent applications 75–6, 93, 95, 101–2, 151, 187–8, 191–2
- parliaments 12, 37, 59–60, 65, 170, 181
- Patent Act
 - Korea 187
 - United Kingdom 41, 180, 188
 - well-balanced 172–6
- patent attorneys 3, 59, 94, 189, 195
 - and cost of patenting 171
 - in-house 72, 172
 - negotiations with examiners 108
 - numbers 48, 171
 - and policy change 171, 194
- patent community 2, 7, 54, 59, 115, 172, 174–5
- Patent Cooperation Treaty (PCT) 94, 152, 195
 - applications 90, 93–5, 101, 132, 141, 156, 188
 - process 73–4
 - route 73–5
- patent databases 21, 70, 167, 193
- patent documents *see* documents
- patent eligibility *see* eligibility
- patent examiners *see* examiners
- patent families 11, 169
- patent holders 14, 29, 31
- patent incentive 22, 24, 62, 67, 84, 169, 176
- patent life 47, 172
- patent monopolies 66–7, 116–17, 143–4, 154–5, 157, 162–3, 173
- patent offices 5, 37–8, 42, 49–50, 58–9, 70–1, 84–5
 - Australia 6, 54, 59, 120–1, 167–8, 177, 193–4
 - Europe 45–7, 74–7, 86–8, 90–6, 99–102, 127–31, 133–42
 - United Kingdom 10, 46, 75, 86, 144–5, 177, 191
 - United States *see* USPTO
- patent policy
 - determined by whom 37–61
 - economics of 8, 13–36
 - effectiveness 34, 63, 166–7
 - objectives 4, 37, 60, 107, 147, 173, 182
 - rules 55–8, 117
 - stronger 172, 176
 - see also* *Introductory Note*
- patent refusals 56, 75–6, 102, 141, 146–7, 185, 189
 - see also* rejections
- patent renewal *see* renewal
- patent specifications *see* specifications
- patent statutes 37–8, 41, 60, 87, 107, 169, 173
- patent systems 1–4, 14–16, 23–36, 38–9, 63–5, 165–9, 171–6
 - Australia 26, 28, 33, 42
 - balanced 11, 61
 - costs 14, 29, 35
 - rebalancing 166–76
 - United States 19, 28, 33
- patentability 10, 38, 41–2, 47–8, 57–8, 62–70, 158–9
 - requirements 43, 77
 - software 44–5, 66, 86, 134
 - see also* eligibility
- patentable inventions 4, 8, 45, 49, 60, 62–85, 173
- patentable processes 154, 181
- patentable subject matter 37–8, 40–50, 54, 78, 82, 84, 162
- patenting paradox 20

- Patents Act, Australia 37, 46, 86, 182, 185, 188
- patents of addition 72, 157, 184
- payments 29, 91, 110–11, 132, 152, 175
- PCT *see* Patent Cooperation Treaty
- penalties 174–5
- Penrose, E. 7, 15, 21, 27, 32, 178
- person having ordinary skills in the art *see* PHOSITA
- person skilled in the art *see* PSA
- pharmaceuticals 11, 15–16, 25–7, 29, 41, 130–1, 169–72
- enantiomers 58
- generic 35, 41, 182, 193
- see also* chemicals
- PHOSITA (person having ordinary skills in the art) 53–4, 182
- Plant, A. 15, 23
- policy
- and doctrinal issues 155–64
- doctrines 67, 133, 150
- economic 1, 7, 35, 57–9, 107–8, 123, 173
- innovation 50, 102, 133, 194
- patent *see* patent policy
- positive externalities 14, 20–1, 23, 28, 32, 34
- Posner, R.A. 2, 34, 59
- Potts, H.E. 52–3
- Pray, C.E. 23
- prescriptive rules 8, 62, 166
- prices 1, 4, 13, 26, 32, 77, 126–7
- prior art *see* existing knowledge
- priority 79–84, 87–8, 94–7, 108–13, 123–4, 147–8, 150–4
- dates 9, 71, 73–4, 89, 98, 151, 159–60
- private costs 14, 29
- private interests 58, 165
- private returns 21–3, 29
- probabilities, balance of 58, 108, 182, 189
- problem/solution approach 9–10, 156–7
- processes 13–14, 39–40, 42–9, 56–8, 120, 123–4, 142–4
- and chemicals 40–3
- complex 120–1, 125
- existing/known *see* known processes
- manual 68, 141, 144
- PCT 73–4
- software 72, 79, 103, 109, 115, 134, 136
- programming 66, 69, 87, 91–2, 95, 97, 108
- programs *see* software
- prolific patenters 72, 180
- proof, onus of 58, 61, 70, 101, 105, 107–14, 185
- protection 2, 20, 24, 34, 37, 59–60, 65–6
- proxies 5, 15, 28, 51, 54, 168, 185
- PSA (person skilled in the art) 52–3
- public choice theory 2, 59
- public interest 1–4, 6, 8, 33, 38, 59–60, 120–1
- quantum
- of difference 56, 153
- of inventiveness 2–3, 5–7, 15, 53, 68–9, 135–65, 167–8
- of new knowledge 6, 35, 84
- of spillover benefits 15, 62
- Quillen, C.D., Jr 7–8, 12, 53–4, 167, 170, 178–9, 194
- quinellas 113–14
- R&D 2, 15, 19, 21–4, 34–5, 84, 177–8
- costs/expenditure 20, 23, 66–7, 168
- industrial 23, 35
- investment 13, 18, 34–5, 117, 140
- re-examination 7, 31, 92, 180
- re-labelling 45
- real estate, cases 77–8, 87, 118–19, 147, 150, 191, 196
- reforms 7, 12, 174–5
- refusal of patents 56, 75–6, 102, 141, 146–7, 185, 189
- rejections 92, 99, 101–2, 120–2, 124, 186–9, 192–3
- final 93, 122, 158, 187
- first 80, 95–6, 99, 142, 154, 188
- non-final 158, 185, 187, 189–92
- second 80, 101, 113, 125, 142, 149, 184
- relevant academic knowledge 152, 185
- relevant existing knowledge 131, 140
- renewal 31, 33, 179
- data 30, 179
- fees 30, 92, 144
- rates 30, 179

- rent-seeking 4
- replication 13, 16–17
- reports
 - European search 92–3, 96, 150
 - examiners 72, 87–8, 142, 149, 159, 185–9, 191–3
 - International Preliminary Examination Report (IPER) 80, 90, 137, 139, 141, 157, 186
 - International Preliminary Search Report (IPSR) 149
 - International Search Report (ISR) 74, 93, 190
 - negative 76, 80, 105, 141, 149, 182, 191
- representativeness 62, 71
- reputation 24, 120
- research and development *see* R&D
- returns 4, 13, 18–19, 21, 23–4, 26, 67–8
- rewards 18, 89, 104, 160
- rights expressions 122–4
- risks 25, 61, 79, 121, 175

- scanning 92, 98, 160, 207–8
- scintilla of inventiveness 5, 52–3, 84, 112, 146
- search reports, European 92–3, 96, 150
- secrecy 19, 178–9
- semantic games playing 70, 80
- semantics 102, 106, 118, 142, 162, 172, 174
- Sena, V. 22, 178
- service delivery 132, 143, 203–4
- services 47, 62, 69, 77–8, 84, 90, 110
- siblings 145, 151, 190
- Silverbrook 72, 184, 192
- Signature Financial Group 11, 67, 183
 - see also* State Street
- small firms 2, 30
- social benefits 15, 23, 60–1
- social costs 14, 23, 28–30, 32, 34, 60–1, 109
- social returns 21–3
- society 1, 14, 23, 59, 61, 64–5, 175
- software 38, 43–50, 65–7, 107–10, 120–1, 125–6, 141–5
 - and copyright 44, 66, 109, 121
 - exclusion 43, 46, 136
 - extensions to 43–8
 - modules 129, 139, 169
 - patentability 44–5, 66, 86, 134
 - processes 72, 79, 103, 109, 115, 134, 136
 - programming *see* programming systems 120, 124, 127, 137, 139, 142, 144
 - solutions 95, 102, 109, 114, 129, 155–6, 161–2
 - problem/solution approach 9–10, 156–7
- Sorensen, J.B. 70
- Spam Act, Australia 97
- specifications 32, 37, 51, 71, 73
- spillovers 20–2, 27, 35, 63, 178
 - benefits 3–5, 20–2, 28, 34–5, 61–4, 84, 168
 - quantum of 15, 62
 - knowledge 4, 15, 20–3, 28
- Stallman, R. 69
- standards 7, 49–50, 54, 57, 59, 64, 168–70
 - inventiveness 10, 21, 50, 53, 58–9, 159, 166–7
- stare decisis* rule 39, 45, 180
- State Street 33, 48–9, 67, 69, 77, 183
 - see also* Signature Financial Group
- statute law 5, 39, 50–2, 59, 133, 170
- Statute of Monopolies 4, 40, 51, 61, 173
- steps
 - inventive *see* inventive step
 - mental 47, 94, 107, 111–12, 118, 126–7, 136
- strategic games playing 32, 72, 106, 173–4
- Stuart, T.E. 70
- study design *see* methodology
- sub-sets 74, 76, 150, 161, 166, 195
- subject matter 81–2, 90–1, 99–100, 113, 124–5, 135–6, 158–62
 - excluded 91, 109–10, 114, 125, 136, 142, 191
 - extensions 46, 48, 64, 69, 188
 - to business methods *see* business methods
 - to processes and chemicals 40–3
 - to software 43–8
 - four-step test 46, 135, 141–5, 186, 188–9, 191

- grounds 65, 94–5, 141, 144, 192
- non-patentable 82, 125, 141, 145, 164, 186
- refusals 75, 140, 145, 191
- statutory 118, 121
- subsidiary claims 81, 88, 92, 97, 104, 132, 159–60
- suggestion doctrine 68, 91, 117, 120, 127, 131, 133
- supply chain 143–4, 147, 169
- Supreme Court, United States 8, 43, 46, 49, 52–4, 117, 181
- Symbian 46
- synergy
 - doctrine 53, 117, 133, 135, 164
 - test 68
- Szabo 49

- tacit knowledge 17
- tangible useful output 160–2
- tax law 173–4, 183
- technical contributions 118, 145
- technical effect 45–6, 65, 95, 136, 145
- technical elements/features 107, 136, 192
- technical equivalents 134–5, 146, 150
- technical features 92, 108, 163
- technical inventiveness 92, 102, 116, 125, 136–7, 141, 150
- technical problems 95, 102, 106, 121–2, 129, 137, 144
- technological advances/development 32, 34, 64–5
- technological arts 48, 112, 180
- technological innovations 136, 162, 165
- technology basis/base 9, 38, 49, 64, 65–6, 77, 84
- technology fields 6, 8, 11, 70, 164, 169
- termination 145, 191–2
- tests 8, 13, 51–5, 57–8, 68, 158, 169–71
 - four-step 46, 135, 141–5, 186, 188–9, 191
 - inventive step 51–2, 54
 - inventiveness 10, 57, 68, 102, 105, 107, 115
 - machine-or-transformation 49, 54, 158
 - new 48, 145, 180, 191
 - obviousness 8, 53, 169
 - synergy 68
- Thambisetty, S. 12, 38–9, 45, 48, 172, 174, 192
- TPP *see* Trans-Pacific Partnership Agreement
- trade and logistics, cases 77, 110–11, 126–7, 129–30, 137–8, 186–7, 190
- trade negotiations 2, 57
- Trade Related Aspects of Intellectual Property Rights *see* TRIPS Agreement
- Trans-Pacific Partnership Agreement (TPP) 57
- transaction costs 1, 4, 18, 35
- transformation 49, 54, 94, 110, 126, 151, 158
- translation 47, 74, 89
- transport 74, 137–8, 144, 191
- trifectas 113–14
- TRIPS (Trade Related Aspects of Intellectual Property Rights) Agreement 7, 15, 44, 65, 83, 174, 182
- trivial grants, prevention 146–55
- trivial patents 32, 35, 69–70, 114, 165, 171
- trivial variations 57, 69, 76, 107, 146, 153, 164
 - cases 77, 81–2, 96–8, 112–13, 151–2, 190–1, 195–7
- truckloads of patents 72, 171

- UKIPO *see* United Kingdom, Intellectual Property Office
- uncodified knowledge 17–18
- uninventive inventions 6, 11, 56, 61, 91, 102, 105
- United Kingdom 30, 41, 45–6, 74–5, 135–6, 140–6, 188–9
 - Court of Appeal 46, 51, 84
 - examiners 145, 191
 - grants 136, 141, 164, 186, 190
 - Intellectual Property Office (UKIPO) 10, 46, 75, 86, 144–5, 177, 191
 - Patent Act 41, 180, 188
 - patent courts 45, 126, 181, 187
 - United States 26–30, 51–5, 72–6, 132–5, 143–6, 162–6, 178–82

- applications 76, 94, 118, 121, 123, 163, 184
- Board of Patent Appeals and Interferences (BPAI) 48, 52, 123–4, 158, 180, 190, 192
- Congressional Budget Office 22
- Court of Appeals for the Federal Circuit (CAFC) 7–8, 19, 29, 46, 48–9, 52–4, 181–2
- District Courts 181, 187
- documents 90, 99, 111–13, 130, 140–1, 149, 161
- examiners *see* USPTO (United States Patent and Trademark Office), examiners
- Federal Trade Commission (FTC) 6, 33, 167
- firms 16, 29, 179
- Government Accountability Office 3, 59
- International Preliminary Search Report 149
- Inventors Protection Act 3, 59, 188
- law 40–1
- markets 26–8
- Patent and Trademark Office *see* USPTO
- patent system 19, 28, 33
- President's Commission 44, 47, 86
- Supreme Court 8, 43, 46, 49, 52–4, 117, 181
- universal product codes (UPCs) 207
- usage fees 160, 207
- useful arts 37, 44, 48–9, 180, 183
- users 22–3, 97–9, 105, 131–3, 148–9, 197–9, 204–5
- USPTO (United States Patent and Trademark Office) 6, 55, 75–6, 79–82, 86–7, 90–1, 149–51
 - examiners 79, 90–3, 105–6, 111–13, 115, 149, 157–8
 - rejections 143, 159
- validity 8, 31, 167, 169, 180–1
- Van Caenegem, W. 47, 52
- Velcro 56, 63, 68
- Von Heyden 51
- Walterscheid, E.C. 39–40, 180
- websites 77, 89, 94–5, 113, 125, 160, 206
- Welcome 11, 31, 56, 164
- welfare losses 29, 32
- well-balanced Patent Act 172–6
- Wellcome 46, 60
- Westpac 103–4, 184
- White 51
- winners 113–14, 175, 190
- Winter, S.G. 32, 34
- WIPO *see* World Intellectual Property Organisation
- words, movement achieving
 - inventiveness 107, 116, 131
- workshop improvements 3, 56–7, 104, 126, 134, 151, 153–5
 - mere 57, 64, 139
- workshop variations 10, 64, 69, 77, 108–10, 126–7, 150–5
- World Intellectual Property Organisation (WIPO) 155
- World Trade Organization (WTO) 15
- Yale survey 16–17, 19

