

Table of legislation and statutory instruments

EUROPEAN UNION

- Directive 2006/49/EC of 14 June 2006 on the Capital Adequacy of Investment Firms and Credit Institutions [2006] OJ L177/201 (Capital Requirement Directive)49.
- EU Commission Delegated Regulation (EU) No 448/2012 of 21 March 2012 supplementing Regulation (EC) No 1060/2009 with regard to regulatory technical standards for the presentation of the information that credit rating agencies shall make available in a central repository established by the European Securities and Markets Authority [2012] OJ L140/17,146.
- EU Commission Delegated Regulation (EU) No 449/2012 of 21 March 2012 supplementing Regulation (EC) No 1060/2009 with regard to regulatory technical standards on information for registration and certification of credit rating agencies [2012] OJ L140/32,146.
- Regulation (EC) No 1060/2009 of 16 September 2009 on credit rating agencies [2009] OJ L302/1 (CRA Regulation),4, 72–75, 93, 144, 146–148, 150–151.
- Regulation (EU) No 513/2011 of 11 May 2011 amending Regulation (EC) No 1060/2009 on credit rating agencies [1011] OJ L145/30 (CRA II Regulation),73, 151.

FRANCE

- Loi française No 2010-1249 du 22 octobre 2010 de régulation bancaire et financière (codified in Code monétaire et financier),80–82.

SWITZERLAND

- Federal Ordinance of 29 September 2006 concerning Capital Adequacy and Risk Diversification for Banks and Securities Traders [2006] SR 952.03 (Capital Adequacy Ordinance),11, 235.
- FINMA Circular on Recognition of Rating Agencies for the Assessment of Capital Adequacy Requirements, FINMA-Circ 08/26 (20 November 2008)47, 49.

UNITED STATES

- Credit Rating Agency Reform Act of 2006, S 3850, 109th Congress (2006) ..29, 68, 166, 198, 208, 214.

<p>Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010, HR 4173, 111th Congress, 2nd Session (2010) (Dodd-Frank Act)4, 9, 11, 61, 64, 69–71, 79, 86, 89, 93, 144–145, 148–150, 155, 197, 225–226, 231, 233.</p> <p>SEC, Rating Agencies and the Use of Credit Ratings under the Federal Securities Laws, 68 Fed Reg 35,258 (June 12, 2003)23.</p> <p>– Oversight of Credit Rating Agencies Registered as Nationally Recognized Statistical Rating Organizations, 72 Fed Reg 33,564 (June 18, 2007)69.</p> <p>– Amendments to Rules for Nationally Recognized Statistical Rating Organizations, 74 Fed Reg 6,456 (February 9, 2009)69.</p> <p>– References to Ratings of Nationally Recognized Statistical Rating Organizations, 74 Fed Reg 52,358 (October 9, 2009)60.</p>	<p>– Amendments to Rules for Nationally Recognized Statistical Rating Organizations, 74 Fed Reg 63,832 (December 4, 2009)69.</p> <p>– Release No 68703, Order Making Findings and Imposing Remedial Sanctions and Cease-and-Desist Orders Pursuant to Sections 15E(d) and 21C of the Securities Exchange Act of 1934 against Egan-Jones Ratings Company and Sean Egan (January 22, 2013)150–151.</p> <p>Securities Act of 1933, 15 USC § 77a et seq (as amended through PL 112-106, approved April 5, 2012)78.</p> <p>Securities Exchange Act of 1934, 15 USC § 78a et seq (as amended through PL 112-158, approved August 10, 2012)71, 145, 147–150.</p>
--	---

