Index

1952 ECAFE Study see Economic Commission for Asia and Far East, study (1952)
1956 US Reconnaissance Study of the Mekong River Basin see Reconnaissance Study
1957 ECAFE Study see Economic Commission for Asia and Far East, study (1957)
1976 Convention for the Protection of the Rhine against Chemical Pollution see Chemical Convention
1976 Convention for the Protection of the Rhine against Pollution from Chlorides see Chloride Convention

action arena 11, 14 Figure 1.1, 15, 18 Note 5
actor 18 Note 8
classifying 212–13
influence on institutional regime 6, 8, 20, 27, 33, 202–211, 204 Figure 8.1
in layers of transnational regimes 3–4, 13
perspectives 83–6
in Rhine pollution cleanup regime 8
in world politics 21, 24
see also local actor; non-state actor
Agreement on the Cooperation for the Sustainable Development of the Mekong River Basin see Mekong Agreement
Agricultural Council Bill (Thailand) 159
allocation of values 17, 89, 122–3, 126 Note 22, 195–6, 213–14
Alsatan mines and chloride effluent 93–4
AOP see Assembly of the Poor
Assembly of the Poor 151, 153 demands 161–2 Table 7.1, 172, 182–3 emergence 157, 170–71, 194 factors of relative success 193–6, 206–207, 208, 215–16 protests 44, 175, 191 publicity 154, 173, 174–6, 175, 177, 181, 182 research on Pak Mun Dam 183, 185 see also Thai Baan research and Thai Constitution (1997) 172 and World Commission on Dams 181–2 work with villagers 172
Auer, Matthew on global-local environmental problems 5
Ausonius 18–19 Note 8
Barock, Willy 48
base values 6, 15, 80 basin development planning (Mekong) 60, 64
Bentley, Arthur F. 13
Biermann, Wolf 82, 83
borderlessness behavior 31 bottom-up approach 24, 40
Cambodia 7, 51 Table 3.1, 51, 53–4, 56, 57, 58, 59, 61–2, 63 capacity building 64, 175–6, 214–15 Carson, Rachel 98 Note 10 Central Commission for Navigation of the Rhine 7 Charnovitz, Steve 20 Chemical Convention (1976) 40, 49, 80, 93, 120, 120 Table 5.5, 213

255
black and gray lists 80–81
failure of 89–90, 92–3, 97–8, 104, 119
issues, interests and actors in 81–90, 91 Table 4.1, 122
chemical industries 8, 47–8, 82, 85–6, 90, 91 Table 4.1, 108 Table 5.3, 112, 115 Table 5.4, 116–17
China 7, 9, 51 Table 3.1, 51, 53, 53–4, 62, 63, 73, 172
Chloride Convention (1976) 49, 93, 95–6
amended 95
delay of implementation 94–5
failure of 96, 97–8
issues, interests and actors in 93–8, 97 Table 4.2
Cold War 23–4
Committee on Life and Pak Mun Community Restoration 161
Table 7.1
Committee to Screen the Research Findings Concerning Pak Mun Dam’s Cases 187–8, 189
common-pool resource management 202
compensation
government opinion of 72, 144, 150–51
for loss of property 140–41, 141 Table 6.6a
for loss of livelihood 140–41, 141 table 6.6b, 144
measurement of 146–8, 218
process (Thailand) 141–4, 143 Table 6.7, 144 Table 6.8, 163–6, 167, 186
results 169, 174
constitutional choice 14, 22, 27, 111, 140
contributions of this book 5–6, 212, 214–16
Cornell University Peace Studies Program 23–4
dam
governance of (Thailand) 9
run-of-the-river 9, 127
Dawes Act (1887) 35
decision process 14–15, 218
democratic governance 13
democratization 1
drinking water companies/industries (Rhine River) 8, 81–2, 84, 88, 116–18, 126 Note 19, 213
shame and praise of chemical companies 116, 117
Dutch government
fighting water pollution 78–9, 84, 86, 93, 94, 95, 113, 121, 210
and the International Water Tribunal 107, 109
and the Rhine Action Program 114
ECAFE (now ESCAP) see Economic Commission for Asia and Far East
Economic Commission for Asia and Far East 56–7, 58, 60, 130
statute 58, 59
study (1952) 57, 57–8, 60
study (1957) 58, 60
Economic Commission for Europe 56, 79
EGAT see Electricity Generating Authority of Thailand
Electricity Generating Authority of Thailand 9–10, 129–30, 138–9
compensating villagers 140–45, 141 Table 6.6, 143 Table 6.7, 144 Table 6.8, 146, 163–6, 164–5
Table 7.2, 167, 198 Note 32
consultation with villagers 147–8, 152 Note 8
design of Pak Mun Dam 130–31
downplay of protests 146, 150–51, 152 Note 7, 166–7
lack of consideration of livelihoods 145–6, 157, 193–4
miscalculations 140–41, 145, 146–8, 152 Note 5, 191
post-construction studies 184, 187
rationale for Pak Mun Dam 131–2, 152 Note 4, 155, 188, 193
environmental education perceived as issue in Mekong River Basin 67 Table 3.2, 68, 70, 71 Table 3.5, 135 Table 6.1
environmental governance 2, 4, 6
non-state actors in 7
environmental law 38, 39, 93–4  
in Haarlem 76  
ESCAP see Economic Commission for  
Asia and Far East  
European Community as member of  
ICPR 18 Note 2, 49  
European Economic Community see  
European Community  
European Union 7, 26, 119  
EU see European Union  
externalities 12, 14 Figure 1.1, 65, 204  
Figure 8.1, 205–207, 209–10  
fisheries (Mekong River Basin) 54, 193  
degradation/destruction of 67 Table  
3.2, 68, 69 Table 3.3, 76, 136,  
184, 188  
dependence on 10, 145–6, 190  
in Mekong Agreement (1995) 7  
 omitted from consideration for dam  
construction 180, 193–4, 213,  
218  
‘Fliessende Welle’ research 103, 111,  
125 Note 4  
flood as policy issue 67 Table 3.2  
flood control (Mekong River) 56–7,  
127, 130  
change 54  
in Mekong Agreement (1995) 7  
natural 53  
Fonseca, Gelson  
on theoretical solutions 4–5  
forest  
attitudes toward 133, 159  
loss of as an issue in Mekong River  
Basin 67 Table 3.2, 68, 71–2, 72  
Table 3.6, 135 Table 6.1, 136  
Table 6.2, 169  
formal rules 11, 15  
framework  
institutional 7, 10, 121  
legal 3–4, 7, 89  
policy 3–4  
France  
and the Chloride Convention 93–6,  
97 Table 4.2  
in ICPR 7, 48, 79, 95–6  
at the international layer 84–5  
in the Rhine River Basin 45, 47  
in Indochina 53, 55–6, 59  
and water pollution 77–8, 84–5, 86,  
93–4  
free market 20–21  
free trade 26  
French Indochina 53, 56  
Friedman, Milton 20–21  
functionalism in world order 24–5  
‘gargantua’ 38–9  
Germany  
federal system of 88–9, 99 Note 18  
perspective on pollution 85  
in the Rhine River Basin 45–6, 46–7  
globalization 29  
definition of 2, 30  
distinction from internationalization  
30–31  
and public policy 2  
theses of 31–7  
global transformation 1, 2, 4, 20  
governance  
global 2, 28–9, 31, 37–40  
human 1  
international 3–4, 33  
issues, interests and actors in 11, 12,  
13–14, 14 Figure 1.1, 15, 55,  
86–91, 122  
multilayer 10–11, 18 Note 4, 91–8,  
149–51, 217  
multilevel 18 Note 4  
national 3, 11  
polycentric 14  
problems 38, 72  
see also environmental governance;  
governance process;  
hyperglobalists on  
globalization; IAD framework;  
IAN framework; non-state  
actors; PS approach; skeptics  
on globalization and  
internationalization;  
transformationalists on  
globalization  
governance process 10, 11, 12, 13–16,  
14 Figure 1.1, 18 Note 5, 28–9,  
122–3, 195–6  
analysis of 121–5  
assets in 124–5, 195, 196, 207  
externalities 205–6  
outcomes 205–6
shocks 209
uncertainties 209
Green Party in Germany 107
Table 5.2, 117, 126
Note 18
Greenpeace in Europe 210
group interest 13
Haas, Ernst
on regional integration 26, 27
Haas, Peter 28
Held, David, and colleagues 30–31
Huisman, Pieter 50, 78, 85, 88, 89–90, 96
human–environment interactions 219
in Mekong Basin 51, 55
in Rhine Basin 123–4
hydroelectric dams in Indochina 9, 128, 129–30
hydropower
in the Mekong River Basin 7, 9, 51, 127, 128–9, 131, 133, 155, 189, 198
Note 29
from the Rhine River Basin 76
and local fisheries 131
hyperglobalists on globalization 31–2, 34
IAD see Institutional Analysis and Development
IAN see Issues, Interests, Actor Network
IAWR see International Association of Waterworks in the Rhine River Basin
ICA see International Cooperation Administration
ICPR see International Commission for the Protection of the Rhine
idealist view of world order 25
incentive structure 12–13
industrial revolution along the Rhine 77–8
informal rules 40
Institutional Analysis and Development (IAD) 11–12, 14, 15, 16, 18
Note 5
institutional arrangements 5–6, 13–14, 113, 119
institutional choice 15, 22, 40
institutional driver 11, 40, 44
Note 1, 199, 203
institutional transformation 4, 8, 22, 29, 100, 111, 121, 199, 202, 203–5, 204
Figure 8.1, 206, 209–12, 214
interests 78, 81
in development projects 133, 149, 193
in governance processes 11, 12, 13, 14–15, 14
Figure 1.1, 17, 40, 44
Note 1, 75, 89–91, 93, 100, 122–3, 199, 203, 213–14, 219
in IAN 16, 80
in layers of transnational regimes 29, 39–40, 86, 111, 215
within a situation 86
see also Chemical Convention;
Chloride Convention; IWT;
Pak Mun Dam struggle; RAP
Interim Mekong Committee (1978–1995) 51, 61
statute 61–2
International Commission for the Protection of the Rhine 7–8, 45, 48–9, 75, 78–9, 88, 116
actors in 78, 82, 88, 120
Table 5.5
area covered 87
black and gray lists of pollutants 80–81, 90
establishes RAP 112–16
European Union membership in 18
Note 2, 49, 90–91
influence of non-state actors on 88, 91, 111, 114–15
layers in 40
problems of 101, 104
and the Sandoz chemical accident 50, 112
and trust building 89
international cooperation, emergence of (Rhine) 49
international cooperation, emergence of (Mekong) 56
International Cooperation Administration 57
internationalization, distinction from globalization 30–31
international politics 1, 3, 4, see also international relations
international relations development of 57–8
theories of 20, 22–3, 24, 199, 212
see also functionalism; neofunctionalism; realism
International rivers
governance of 3–4, 40, 214
International Rivers Network 174, 183, 197 Note 16
International Water Tribunal
case book 77
composition of 104–6
establishment of 98, 100–103
funding sources and cost 107 Table 5.2, 107, 109
issues, interests, and actors in 108 Table 5.3, 111
organization of 105
response from indicted companies 103–4, 105–6
successes 109–10
survey of water pollution in Europe 77, 103
Irrigation
unsuitable for Pak Mun Dam area 188–90
as a valued outcome for Pak Mun Dam 193, 213
issues, interests, and actors
definition of 12–13
interplay of 11, 13–15
Issues, Interests, Actors Network 12, 16
in Chemical Convention 80, 81–91, 91 Table 4.1
in Chloride Convention 93–5, 97 Table 4.1
dynamic interplay of 13, 121–2, 123–4, 199, 219
framework of 16
as institutional driver 11, 40, 44
Note 1,
layers in 40
and public shaming 117
in the Rhine Action Program
IWT see International Water Tribunal
Keohane, Robert O. 23, 24, 29
Khor Jor Kor 159
Krasner, Stephen 27
Kroes, Neelie 88, 92
Lao PDR 4
on education 71
in international cooperation 56, 57, 59, 62–3
in Mekong River Basin 51 Table 3.1, 53, 54
Lao People’s Democratic Republic see Lao PDR
Lasswell, Harold D. 12, 15, 206
layers 18 Note 4
governing institutions 10–11
of human governance 1
linkages among 40
of transnational regimes 40
League of Nations 25
Lelek, Anton 78
local actor
roles of 2
localization 2
in re-emergence of rural voices 133
Lower Mekong 54
studies of 57–8, 127
Lower Rhine 47
Luxembourg
member of ICPR 7
in the Rhine River Basin 47
Mandela, Nelson 213
McDougal, Myres 15, 206
Mekong Agreement (1995) 7, 63, 64, 65
Mekong Committee (1957–1978) 59, 60, 61, 130
donations to 59
Mekong River 50–51, 52 Figure 3.2, 54, 55–6
dam construction 9–10
development 57, 58, 60
flow 53–4
governance issues 2, 68–72
lack of international cooperation (1975–1991)
and livelihoods 184, 188
local names of 54
studies of 57–8, 60, 127
treaties for cooperative use of 56
Mekong River Commission 7, 9, 18
Note 1, 54, 61–3
challenges 64–6
members 63
programs implemented 64–5
structure 63, Figure 3.3 63–4
methodology used for this research 40–44
Middle Rhine 46–7
Min Htet Maung 54–5, 73 Note 5
‘Miracle of the Rhine’ 8, 119
Missingham, Bruce 133, 148, 196 Note 10
Mitray, David
on international organizations 25
Molden, David, et al. 200
monocentric world order 20, 200
challenging 114, 216–7
Morgenthau, Hans J. 23
MRC see Mekong River Commission
MRC Environmental Program 65
MRC-EP see MRC Environmental Program
Mun River 128 Figure 6.1, 131, 152
Note 3
livelihood and cultural reliance on 10, 145, 147, 156–7, 175
The Mun River Declaration 171
placement of dam on 130–31
Mun–Chi River Basin 127–8, 128
Figure 6.1, 132
Myanmar
and dam building 172
in Mekong River Basin 51 Table 3.1, 51, 53, 132
in MRC 7, 62
national institutions 10
nation-states 2, 4, 11, 17
power of 3, 83–4, 92–3, 151
within globalization theories 6, 23, 24–6, 31–2, 33, 34–5, 37–8
in the international system 20
NEA see Thai National Energy Authority
NEEA see North-East Electricity Authority
neo-functionalism in world order 24–5
Netherlands, the 7
and costs of cleaning up chloride pollution 94
establishing IWT 104–9, 107 Table 5.2
in the Rhine River Basin 47
and water pollution 76–7, 78–9, 82, 84, 86, 121
Ngan Wijai Thai Baan (village community research) see Thai Baan research
NGOs
donors’ purpose 209
in history of world politics 20
influence in the Pak Mun Dam struggle 133, 145, 154, 158, 166, 169–71, 174–5, 176, 177, 196
Note 6, 207
influence in the Rhine regime 8, 81, 82, 87, 91, 101–2, 115, 117, 118, 208, 213
Niederrhein 48
non-government organization see NGO
non-state actor 1, 2, 5–6, 7, 8, 20, 21, 75, 119, 122, 204–11
in Bern Convention (1999) 118
and institutional transformation 204–5, 211
and the IWT 98, 100, 107, 111
overlooked 8, 122
in Pak Mun Dam struggle 196
perceptions of issues in Pak Mun Dam struggle 66–73
power of 18, 203–4, 214
in RAP 8, 115–16, 118, 119–20, 121
in regime theories 27, 29, 32, 33, 34
roles of
in transnational environmental governance 4, 10–11, 38, 39, 92, 199, 200
in world politics 20, 29
non-traditional actors see local actors; non-state actors
North-East Electricity Authority 128, 129
Nye, Joseph S. 23, 24
operational-choice level 27
in IAD framework 14
in IWT 109
in Rhine regime 111, 112
in Thai political system 138
Ostrom, Elinor 11–12
and colleagues 202
Ostrom, Vincent, and colleagues 38–9
on ‘gargantuas’ 37–8
Pak Mun Dam 9–10, 127, 128 Figure 6.1, 130–31
approvals of costs 9, 131
compensation for losses 10, 140–44, 146–7, 157, 159, 163, 164 Table 7.2, 166, 167, 169
distribution of benefits 132–3, 155, 158, 182, 189–90
environmental impact mitigation report 145
evaluation of costs for 134, 138, 140–41
feasibility studies for 129, 130
history of 160 Table 7.1
impact of 68, 131, 134–8, 135 Table 6.1, 140–41, 145–6
issues, interests and actors in 131–3
lack of villagers’ input 147–8, 149–50
as a multipurpose development project 188
opening of the sluice gates 183–4, 191–2
rationales for and against 131–2, 153, 171, 193
resettlement of villages 140, 142, 146–7, 148, 159
Pak Mun Dam struggle 10, 133, 134, 140, 151, 153, 156–7
actors in 154
for compensation 146
history of 160 Table 7.1
importance of information 175–81
influence of villagers on 166–9
at international layer 154, 173–7
in media reports 167 Table 7.3, 168 Figure 7.1, 179, 180
at national layer 169–70, 173
and NGOs 133, 151, 166, 170, 171, 173, 174–5, 176, 177, 207
not recognized 150–51
protests 10, 144–6, 150, 153, 154, 158–9, 163, 167–9, 178, 191
and rural poor 158–9
shift to demand decommission of dam 174, 175, 179–80, 182, 186
stages 153–4, 157–8, 173–4, 183–4
World Bank statement about 145 see also Assembly of the Poor Pak Mun villagers
adaptations 175–6
ceremonies 156–7 see also Wan Nao ceremony
connection to urbanites 175
getting their voices heard 166, 167
sentiments toward Pak Mun Dam development 155–6
see also Pak Mun Dam struggle at international layer; at national layer
Philip of Leyden 75
plagues in Europe 77
Polanyi, Michael 21–2
Policy Sciences approach see PS approach
political freedom 196, 209
degree of 18, 124
in Europe 122–4
political will 59, 90, 95–6, 119
polycentric governance 14
polycentricity 20, 197 Note 17, 199
attributes of 22
of global economic structure 21
in global politics 21, 22, 29, 199, 219
of the pencil market 21
and societal order 21–2
poverty
definitions 149–50
different views of 68–70
power of knowledge 103–4, 194, 195
preferences 13–14, 15, 123–5, 210
shaped by knowledge 124
Probe International 174, 177
problem orientation 15
protests see Pak Mun Dam struggle
protests
provincial power 89, 104
PS approach 14–16
value categories of 15–16
public participation 217, 218–19
in Thai Constitution (1997) 219
Note 2
Putnam, Robert 3, 18 Note 3
RAP see Rhine Action Program for Ecological Rehabilitation
realism in world order 23, 25
Reconnaissance Study (1956) 57–8, 60
262  Governing international rivers

regime
analysis 27, 75
design 28–9, 100, 200
environmental 2, 10–11, 28, 39, 215–16
international 27, 28–9, 75
soft-law 40, 75, 79, 116
treaty-type 40, 119
regime theory 27, 29
regional integration 26, 27
research methods for this book,
assumptions in 66–7, 68, 73–4
Note 10, 211
research questions guiding study in this
book 5
resettlement see Pak Mun Dam
resettlement of villages
Rhine Action Program for Ecological
Rehabilitation (1987) 8, 40, 111, 210
goals of 50, 112
implementation of 50, 112–13
institutional approach
issues, interests and actors in
113–18
outcomes 118–21
Rhine Appeal Committee 101
Rhine River
canalization of 46 Figure 3.1, 78
catchment area 47
cleanup 8, 81–2, 102, 109, 111, 113, 203, see also ‘Miracle of the
Rhine’
flow of 45, 47
governance of 2, 100–101, 110, 111, 114–17, 119–21, 121–2, 123, see also International Commission
for the Protection of the Rhine;
International Water Tribunal;
Rhine Action Program for
Ecological Rehabilitation
measuring pollution 126 Note 19
pollution of 17, 76–9, see also ‘Sewer
of Europe’
riparian states/countries 6–7
in the Mekong River Basin 51, 54, 55, 130
in the Rhine River Basin 48, 75–6, 88, 124, 210
Roberts, Tyson 176–7
rules-in-form 15
rules-in-use 15
Salmon Treaty (1885) 76, 86–7
Sandoz Laboratories (now Novartis)
chemical accident 50, 89, 92, 112
Pieter Huisman’s comment on 50
scaling down/up 29, 40
Schulte-Wülwer-Leidig, Anne 92, 95, 113–14, 117
scope values 15, 16, 122
Scott, James
on globalization 35, 36
on neoliberal new order 35
SEARIN see Southeast Asia Rivers
Network
‘Sewer of Europe’ 8, 48, 87, 89, 206
Shah, Tushaar, et al. 201
Shinawatra, Thaksin 10, 188, 191, 208
Shivakumar, J. 155, 179–80
villagers’ responses to comments 180
skeptics on globalization and
internationalization 32
social process framework 80
Sofrelec study (1967) 129, 130
Southeast Asia Rivers Network 176, 177, 185, 180, 207
Soviet Union 23, 24
standardization thesis 34–5, 36
puzzles of good and bad in 36–7
weakness of 37
statecentric theory 4, 22–3, 24–5
state sovereignty
challenge to international law 37–8
and non-state actors 37
Statute of the Committee for
Coordination of Investigations of
the Lower Mekong see Economic
Commission for Asia and Far
East
Stokke, Olav Schram 27
Stoks, Peter G. 116–17
supranational organization 25
Switzerland 7, 45, 49, 88
and chemical industries 82
paying for Rhine clean-up costs 94
in the Rhine River Basin 47
Thailand 4
Thai Baan research 10, 185, 186–7, 190
1997 Constitution 140, 197 Note 15, 219 Note 2
development projects 132–3
disrespect for rural poor 182
and education 70, 71, 182
government reaction to Pak Mun Dam protests 168
in Mekong River Basin 51, 52
Figure 3.2, 53
military power in politics 139
rural–urban inequality in 133
rural voices in 133, 158–9
state as a benevolent actor 151
and Western influence 156, 182
Thai National Energy Authority 9, 129–30
Thai Power System Project 9
top-down approach 4, 40
transformationalists on globalization 33–4
transnational governance 1–2, 6, 10–11, 29, 38, 40, 61, 66, 111
transnational institutions 11
trust building 89, 121
Tulla rectification of Rhine River (1817–1876) see Rhine River canalization
Ubon Ratchathani University study 184, 186, 187, 189, 190
UNECE see United Nations Economic Commission for Europe
United Nations Economic Commission for Europe (UNECE) 79
Upper Mekong 53, 54
Upper Rhine 45–6
Vietnam 61, 62
in the Mekong River Basin 51, 54
and international cooperation 56, 57, 62

Wan Nao ceremony 156, 196 Note 2
Wasi, Prawes 149, 151
water pollution in the Rhine River
distinction between chemicals and chlorides 85–6
vs. economic growth 78, 206
effluents contributing to 80, 82
fees for 90
as governance problem 76–9
Water Resources and Hydrology Program 9
Water Utilization Program (1999) 64
WCD see World Commission on Dams
Wescoat, James L. Jr. 201–2
Westphalian world order 20
Wheeler Mission 60, 127
Wheeler, Lt. General Raymond 60
Wittfogel, Karl 4
Wood, David M. 26
Workshop in Political Theory and Policy Analysis 11
World Bank 131
evaluation report of Pak Mun Dam Hydropower Project 145, 157
World Commission on Dams study of Pak Mun Dam 138, 148, 181–2, 194
World Health Organization 10, 187
world order
statecentric 20, 22–3, 30
World Wildlife Fund
funding of IWT 107
reports on Rhine 82
WRK Water Works monitoring water quality 117
WUP see Water Utilization Program
WWF see World Wildlife Fund
Yesilada, Birol
on regional integration 26
Young, Oran 28
Zürn, Michael 28