Index

Abusah, S. 243
academic dimension, training 441–6
academic scholarship, scorecards in 402–5
access to regulation 8, 10
accountability 9, 28, 127, 179, 182, 183, 185, 330, 446
Ackerman, F. 54, 56
ACTAL (Dutch Advisory Board on Administrative Burdens) 97, 104, 247, 456
Action Plan for Better Regulation 304
Action Plan Simplifying and Improving the Regulatory Environment (EC) 98–9
Action Programme for Reducing Administrative Burdens in the European Union 98–9, 307, 311
Adelle, C. 232, 261, 263
administrative activities 96, 101, 102
administrative burden estimates 84
administrative burdens 74, 96
quantification 100–104, 307
socio-economic benefits of reducing 96
US initiative to reduce 94–5
see also red tape
Administrative Burdens Measurement Exercise 97
administrative costs 10, 95, 96, 307, 438
administrative law 22–6
administrative practices, diffusion of 273
Administrative Procedural Act (Mexico) 337, 338
administrative procedure(s) 4, 6–7, 103
Administrative Procedure Act (APA) 23, 181, 184
adoptability of IA 273, 274
adoption of IA 3, 78, 287
Europe 8, 9, 291
OECD 276–8
Advanced Notice of Proposed Rulemaking 170
Africa 68, 111, 383, 384, 443
government self-assessment 350–51, 352, 354
government signals, CBA as 48–9
agricultural actors, shaping of IA 263
agricultural policy 228–36
characteristics 229–30
subsidies 229, 230, 231
see also Common Agricultural Policy (CAP)
Albania 384
alternative scenarios 36–7, 178–9
ambiguity 60, 74, 336
Ambler, T. 404
analytical capacity 9, 156, 313, 368, 370
analytical control 6
analytical depth 4
analytical methods 84–5, 89, 370
analytical support 132
Ancine 341
Aneel 341, 342
Annex to the Communication on the EU Common Methodology for Assessing Administrative Costs Imposed by Legislation 98
Annual Policy Strategy (APS) 305
answerability 8
anti-delegation doctrine 182, 186n7
Anvisa 341, 342
The Argumentative Turn in Policy Analysis and Planning 52
Armstrong, K.A. 24
Article 11 (Treaty on European Union) 163
Asia 111, 383, 457, 458
assessment of IA 459–61
attitudes to compliance 72
Australia and New Zealand 276, 347–60
impact assessments
actors involved 353–5
adoption 275
benchmarking study 421
economic effects 10
performance reports and indicators 417, 424, 431
process and analysis 355–9
savings due to 243–4
scope 348–53
scorecard analysis 401
social (SIA) 111
stakeholder consultation 158–62
Australian Capital Territory (ACT) 349, 355
Austria 87, 114, 145, 197, 275, 278, 292, 417, 424
awareness raising 54, 120, 144, 201, 259, 443
‘back-of-the-envelope’ analysis 85–6
Baldwin, R. 25
Balla, S.J. 155
Bardach, E. 94
baseline scenarios 37–8, 83, 109, 116, 178
baseline statements 87
Bassanini, A. 242
Becker, H.A. 109, 118
behaviour 133
behavioural change 66
behavioural economics 39, 70, 251, 388
Behavioural Insights Teams 251
Beijing Platform for Action for Equality, Development and Peace Declaration (UN) 143
Belcore, J. 405
Belgium 114, 116, 145, 275, 292, 417, 425
Beneficial Ownership (UK) 452–6
benefits
classical IA method focus on 79 of IA 243–4
see also cost-benefit analysis
Benzene case 179, 181
best practice(s) 162, 170, 182, 277, 280, 357, 384, 393, 401
Best Practice Regulation Coordinators 354
better regulation 12, 25, 26, 183, 201, 215, 246, 336
Better Regulation Council 247
Better Regulation Delivery Office 168
Better Regulation Guidelines 309
Better Regulation Task Force 97
Better Regulation Toolbox 112, 309
Bianculli, A.C. 340
bias(es) 42, 46, 47, 79, 101, 143, 186n7, 442, 447
see also cultural biases; political bias; selection bias
biofuel impact assessment 57, 262–3
Boeheim, M. 101
Bosnia 291, 384
Bozeman, B. 94
Brazil 69–70, 278, 334, 336, 337, 339–43, 344
Brazil: Strengthening Governance through Development 340–41
Brundtland Commission Report 54
Brussels effect 290
Building Regulations Advisory Committee 214
Bulgaria 114, 293, 384
burden of proof 88, 135
Burdge, R.J. 120
bureaucracy 13, 93, 328, 340, 342, 343, 344, 368–74
bureaucratic efficiency 9
Bush, G.H.W. 322, 324, 402
Bush, G.W. 48, 184, 324, 328
business
compliance 72, 74
consultation with 167
demand for IA training 440–41
see also enterprise and competition
business compliance cost statement (BCCS) 352
Business Environment Strengthening for Tanzania (BEST) 384
business impact tests 84
business plans 212
Cabinet Office (Australia) 354
Cafaggi, F. 27
Canada 3, 111, 196, 275, 417
Canadian Environmental Assessment 201
capacity issues 120
adoptability of policy 273
as a barrier to compliance 72–3
CBA, Korea 370
developing countries 385, 389
gender impact assessment 148–9
risk analysis 183
capacity-building 390–91, 437, 444, 447
see also training
Carbone, M. 265
Cariño, L. 335
Carter, J. 321
Casa Civil da Presidência da República
339, 341
case selection, scorecard analysis 400
case studies, diffusion of IA 278
Cayuga Lake 58
Cecot, C. 403
Central Asia 457, 458
Central and Eastern Europe 275, 278,
290, 383, 384–5
see also individual countries
Centre for European Policy Studies
(CEPS) 112
challenger businesses 249, 250
checklist based IA 275
Chile 334, 336, 337, 338–9, 343, 417
Chittenden, F. 404
Circular A-4 (OMB) 35
Citizen Engagement Rulemaking
project 445
classical IA method
problems with 79
role in failure of implementation
82–6
suggest reforms to 86–8, 89
climate change 38, 57, 184, 199, 215,
216, 219, 229, 233
Clinton, B. 322, 323, 324, 325, 327, 402
co-decision procedure 312
co-production 58–60, 63
co-regulation 71, 348, 351
coders (scorecard) 406–7
Cogliense, C. 23, 155, 416
cognitive system model 134
Cohesion Policy (EU) 439–40
Coletti, P. 104
colllegiality 182
Columbia 443
Comisión Federal de Mejora
Regulatoria (COFEMER) 334,
337, 338, 343
Committees of Inquiry (Sweden) 166,
167
Common Agricultural Policy (CAP)
budget 231, 232, 235
history of 230–31
impact assessment 231–5
incoherence with EU development
objectives 258
problems faced by 236
reforms 230–31, 233, 234–5
Common Approach to Impact
Assessment 312
Commonwealth IA 349–50
communication 44–6, 391
Communication on Better Regulation
for Growth and Jobs in the
Communication of Consumer Health
and Safety (EC) 180
Communication on IA 304
Communication of the Precautionary
Principle (EC) 180
community involvement, in SIAs 120
community of practice 200
competence 136
competency groups 61
competition 212, 217
see also enterprise and competition
competition assessment 10
competitive advantage 74, 243, 453
competitiveness 33, 95, 96, 231, 242,
246, 278, 304, 305, 307, 309, 436
compliance see regulatory compliance
compliance benefits 75
compliance cost assessment (CCA)
system 348
compliance costs 74–5, 94, 96, 246,
250
see also administrative burdens
comprehensive rationality model
comparison of costs and benefits
39–40
disclosing, disseminating and
revising 41
generating alternatives 36–7
identification and measurement of
impacts versus baselines 37–8
monetization 38–9
practical limitations and critiques
41–3
preliminary determinations 35–6
revisiting/reviewing 41
testing assumptions and uncertainty 40–41
computer-based modelling 53, 63, 118, 196
conceptual barriers 120
conditionality principle 290, 439
Confederation for Relief and Development (CONCORD) 259, 261, 263, 264
confidence scores 406
confidentiality 217
conflict resolution 326
Congress (US) 6, 7, 133, 170, 181, 320, 321, 328, 329
Consensus on Development (EU) 258
constitutional law 22–6
consultants/consultancy 199, 214, 363, 443–6
consultation 7, 127, 155–71, 211
Australia and New Zealand 356–7 with business 440
case studies 158–70
confusion over 79, 88
developing countries 387, 391
functions, in impact assessment 155–6
goals and tools 157–8
improved policy-making/implementation 240
Italy 213
Korea 368–9
mandatory 261
Mexico 338
optimal 170–71
problem identification 88
purpose 170
regulatory review, US 323–4
scorecard analysis 401–2
on smart regulation 308
using to check reasonableness of regulatory solutions 88
as verification mechanism 263
consumer rights 342
contingent valuation method (CVM) 54–5
control 7, 9, 29
see also political control; procedural controls; quality control
controversial effects, incorporation into CBA 45

corporate social responsibility (CSR) 112
corruption 388
cost(s)
classical method and focus on 79
of regulation 94, 96, 97, 382
see also compliance costs
cost-benefit analysis (CBA) 29, 84, 127, 438
agriculture 234
alternative goals and methodologies 43–9
comprehensive rationality model 35–43
control of agency behaviour 7
energy sector 213, 214, 219
Europe 23–4
government handbooks 35
incorporation of controversial effects into 45
interpretive analysis 53–6, 59
Korea 363, 369–70
methodological debate, EU 313
risk analysis and 177, 178, 180, 181–3
shallow 296, 297, 298
situating within IA 33–5
transition countries 87
UK Beneficial Ownership project 454–5
United States 11, 12, 13, 23, 33, 45, 83, 244, 319, 320, 321, 322, 327, 329
Cost-benefit Analysis 35
cost-effectiveness analysis (CEA) 43–4, 84, 127, 234, 296, 297, 298
Council of Australian Governments (COAG) 347, 349, 351, 353
Council of Europe Group of Specialists on Mainstreaming 143
Council on Wage and Price Stability (COWPS) 321
courts, and impact assessment 127–39
Crafts, N. 10
credibility of IA 391
critical discourse analysis 52
Croatia 289, 291, 384
Croley, S. 11
cultural biases 110, 118
cultural proximity 275
cumulative cost assessments 311, 314
cumulative effects, SIA stemming from 116–18
Cyprus 114
Czech Republic
impact assessment (2006–2012) 293
adoption 289
compliance with IA guidelines 404
implementation of ex ante IA 385
institutionalization 384
performance reports and indicators 417, 425–6
social (SIA) 114
symbiotic 296
two-tiered system 299–300
scrutiny of legislation 247
Dalkmann, H. 200
Damonte, A. 8, 13
De Búrca, G. 24
De Francesco, F. 8, 9
De Nederlandsche Bank (DNB) 456–7, 458
decentralization 24, 81, 337, 338
decision analysis 209, 210, 219
decision methods 87
decisions, environmental 197
deep embedding 81
‘defending decisions’ approach 47–8
debate 6–8, 214
democracy 34, 44–6, 47, 49
democratizing science 184
demonstration effect 392
Denmark 9, 98, 114, 289, 293, 417
Department for Energy and Climate Change (DECC) 214, 215, 217–18
deregulation 94, 169, 201, 215, 248, 249, 251, 252, 337
‘Details Stage’ RIS 356–7
developing countries 381–93
differentiation 265
impact assessments
adapting, to requirements of 389–92
diffusion 8, 382–6
EC guidelines 259, 260
environmental 210
policy coherence for development 261–3
social (SIA) 110
training 437
transfer of 386–9
impact of EU agricultural policies 232
development projects, social impact assessments 111
DG CLIMATE 216, 224, 226
DG DEVCO 261, 262, 263, 264
DG ECOFIN 216, 223, 225
DG EMPL 309
DG ENERGY 216, 223, 224, 225, 226, 227
DG ENTR 201, 309, 311, 314
DG ENV 216, 222, 223, 313
DG JUSTICE 309
DG MARKT 313
DG REGIO 309
DG TRADE 313
DG TREN 216, 222, 223
DGIT 216, 224
diffusion of IA 5, 8–9
Australia and New Zealand 347–60
cross-national, Europe 286–301
developing countries 382–6
European Union 304–16
Korea 362–75
Latin America 334–44
OECD countries 271–82, 336
United States 319–31
see also adoption of IA;
implementation of IA
direct financial costs 96
direct interviews (consultation) 169
Directorates General (DG)
impact assessments
divergent approaches 308–9, 311, 313, 314
energy 215, 216, 222–7
number and type (2011) 310
risk analysis 182
see also individual directorates
disciplinary barriers 120
discounting 40, 56–7, 62–3
discourse(s) 52–3, 54, 57, 200–201
discretion, CBA and 49
discrimination 148
discussion fora 158
distributive goals 34, 39, 47
Djankov, S. 242
‘doctrine of executive leadership’ 329
Doing Business (World Bank) 95, 385, 444
donor dimension 257, 382, 383, 384, 388, 437, 441, 443–6
double-counting problem 370
‘dual stage’ approach 305
Dudley, P. 402
Dudley, S. E. 155
Dunlop, C. A. 13, 144, 307, 446
Dutch Research Institute 96
dynamic efficiency gains 241
dynamic micro-simulation 119
East Africa 384
econometric models 9, 10, 118, 119
economic analysis 3, 4, 11, 13, 28, 144, 233, 234, 244–5
see also cost-benefit analysis
economic discourse 57
economic effects/impacts 5, 10, 11–12, 45, 233, 260, 305, 306
economic efficiency 96, 327, 381
economic expertise 23
economic liberalization 335, 337, 340, 388–9
economic optimisation 53
economic performance 10, 95–6, 181, 243–4, 245, 382, 385, 386
economic rationality 277
economic regulation 336, 340, 381, 382
economic theory 35–6, 56, 62, 381, 393n3
ecosystem services, quantification 55
efficiency
bureaucratic 9
CBA and 34, 43
competition and 241
economic 96, 327, 381
public administration 444
regulation as, Brazil 340
standard cost model 104
see also market efficiency
Egyptian Regulatory Reform and Development Activity (ERRADA) 437
Eifert, B. P. 385
EIM Dutch Economic Institute for SMEs 97
Electricity Market Reform (UK) 214, 217–18
Ellig, J. 404, 405
emulation, diffusion of IA 288
Energy Company Obligation 213
energy policy 209–20
centrality of environmental issues 210
impact assessments 57, 209, 219
conducted by EC 215–16, 222–7, 262–3
data 216–19
institutions carrying out 211–16
institutionalization 213
tools to assess 210–11
energy regulatory authorities 211–13
enforcement 5, 26, 68, 70–71, 103, 249
enfranchised interest 6, 7, 9, 13, 46–8
enterprise and competition 240–53
importance 241–5
improving regulation for, UK 245–51
Environmental Action Plan (OFGEM) 212
environmental impact assessment (EIA) 196–7
developing countries 260
enabling factors 199, 200, 201
energy sector 209, 210, 211
European Union 291, 403–4, 440
legal backing 202
see also strategic environmental assessment
Environmental Impact Statements 197
environmental impacts
agricultural 229, 233
incorporation into IIAs 305
environmental policy analysis 52–63
discharging, uncertainty and ambiguity 56–60
Index 471

the future of IA 60–62
valuing costs and benefits 53–6
environmental policy appraisal
barriers and enablers 198–201
promotion of 193
types 194–7
variation in national practices 202
environmental problems 193
environmental protection 180, 193, 211
Environmental Protection Agency
(EPA) 3, 11, 35, 38, 48, 180, 193, 194, 321, 326, 403
environmental reform 235
environmental regulation 23, 35, 45, 61, 62, 93, 339
epistemological issues, risk analysis 178
Equality Act (2010) 148, 149, 150
Equality and Human Rights Commission 150–51
equality impact assessment 117, 120, 147, 150–52
Erasmus, D. 462
Espoo Convention 201
Esteves, A.M. 109
Estonia 114, 162–3, 289, 292, 293, 384, 385, 404, 417, 421, 426
EU Net Cost Model 98
Europe
environmental policy appraisal 194
impact assessments
diffusion 8, 9, 286–301
energy 209
institutions 293–5
legal studies 21, 23–6, 28
rationale 8
types 295–300
use and effectiveness 13, 244
regulatory agencies 9
see also individual countries
European Commission (EC) 25
consultation 163–4
emphasis on importance of impacts of EU policies 259
environmental policy appraisal 201
impact assessments 22
(2006–2012) 293
diffusion 304–15
energy 215–16, 222–7
guidelines 82–3, 98, 112, 113, 259, 260, 261, 264, 308
non-falsifiable statements 28
scorecard analysis 112, 403
social (SIA) 114–15
utilization 13
initiatives to address red tape 98–9, 246–7
monitoring of accession to EU 290–91
PCD reports 259, 262
reform of CAP 231
risk analysis 180, 182
Strategy on Corporate Social Responsibility 112
support/assistance to developing countries 381, 382
see also Directorates General
European Council 24, 28, 98, 180, 182, 247, 312, 314
European Court of Auditors 263
European Court of Human Rights 132
European Court of Justice (ECJ) 26, 132, 133, 134, 313
European Food Safety Authority (EFSA) 180
European Journal of Risk Regulation 26
European Parliament 24, 28, 180, 182, 247, 312, 313, 314, 315
European Social Policy Agenda 148
European Union (EU) accession to 290–91
Cohesion Policy 439–40
competition and efficiency 241
environmental policy appraisal 201
impact assessments 4
agricultural 232
as an agent of diffusion 289
analytical capacity to scrutinize 313
as co-production 58–9
diffusion 304–16
early years of integrated 305–6
energy sector 218
environmental 403–4, 440
failure 80
integrated (IIA) 112–13, 116, 305–6
introduction and implementation of 129–30

Claire A. Dunlop and Claudio M. Radaelli - 9781782549567
Downloaded from Elgar Online at 02/18/2019 09:02:09AM
via free access
legal studies 21
performance reports and indicators 417, 419, 426
scorecard approach 403
social (SIA) 110, 112–13, 114–15, 403–4
strategic environmental (SEA) 197, 200, 211
utilization 13
initiatives to address red tape 97–100
judicial review 133
‘New Approach’ Directives 73
Policy Coherence for Development 257, 258–9
risk analysis 179, 180–81, 185
see also individual countries
evaluative models 273, 274, 279
event history analysis, diffusion of 1A 277–8
evidence-based judicial reflex 132, 133, 134, 135, 136, 137, 138
evidence-based policy-making 12, 14, 120, 131–2, 244, 248, 262, 263, 280, 304
ex ante analysis 7
agricultural 230, 232–3, 234
Brazil 339
developing countries 385
environmental 57
European Union 304, 307, 440
incorporation of ex-post evaluation 83
rational instrumental model 59
and risk assessment 388
social impact analysis 111, 119
ex post analysis 7, 137
Brazil 339
cost-benefit 41
European 265, 311
incorporation into ex ante analysis 83
Korea 369
of regulation, by NAO 247
as a restraint on capture of IA process 392
Executive Office of the President (EOP) 244, 319, 321, 322, 323, 327, 329
Executive Orders (EOs) 13, 22, 23, 95, 195, 321, 322, 325
expert networks 307
expertise 182, 320, 369, 388, 412, 440
economic 23
in gender impact assessment 149, 152
scientific 58
technocratic 128, 278
experts, involvement of 103, 214, 461–2
extended impact assessment (ExIA) 163, 305
externalities, diffusion of IA 288
Fagan, C. 144, 145, 146
fairness 10
Farrow, S. 11, 244
‘fast trial and error learning’ 62
Fawcett Society 149, 150
Fazekas, M. 292, 295
feasible policy scenarios 83–4
Federal Energy Regulatory Commission (FERC) 218
federalism, EU 24
Federation of Small Businesses (FSB) reports 246
feminist analysis 142–4
financial crisis (2008) 251
Finland 114, 417, 421, 427
Finnveden, G. 211
Fischer, F. 52, 59, 61
Fischer reforms 231
fitness checks (economic) 311, 314
Flyvbjerg, B. 61
Focus on Enforcement 249
Food and Agricultural Organization (FAO) 27
Food and Drug Administration (FDA) 180
Food Law (EU) 180
food safety 67, 73
Food Standards Agency 73
Ford, G. 321
Foreign Affairs Council (EU) 262
Forester, J. 52, 59
Fraas, A. 403
Framework Act on Administrative Regulation and Civil Petitions (FAAR) 362
Framework for Regulatory Policy Evaluation 413–16
practical application 416–21
France
and the CAP 235
impact assessments
(2006–2012) 293
adoption 278
diffusion 275
energy 214
environmental 197
gender (GIA) 145
mandatory 129
motivation for introduction of 289
performance reports and
indicators 417, 427
social (SIA) 114
symbiotic 296
stakeholder consultation 155, 164
Free, Prior and Informed Consent
(FPIC) 156
Fritsch, O. 403, 404, 416
Frontier Economics 214
gender equality 142, 143, 145, 147, 149, 152
gender impact assessment (GIA)
117–18, 142–9
effectiveness 152
feminist origins 142–4
issues around current use of 147–9
as a tool for gender mainstreaming
144–7
gender inequality 146
addressing, in policy-making 143–4
gender mainstreaming 143–4, 149, 152
GIA as a tool for 144–7
gender sensitive analysis 145
General Agreement on Tariffs and
Trade (GATT) 229–30, 231
general equilibrium models 118, 313
geographical proximity 265
Georgia 458
Germany
environmental reform 235
impact assessments
(2006–2012) 293
adoption 275, 278
audit office review 421
gender (GIA) 145
performance reports and
indicators 417, 427–30
social (SIA) 114
scrutiny of regulation 247
stakeholder consultation 164–5
Gibson, R. 201
Global Competitive Index Report 339
The Globalization of Cost-benefit
Analysis in Environmental Policy
35
Good Engagement Code of Practice
(Estonia) 162
Good Regulatory Practice (GRP)
Programme 445
Gorgens, T. 242
Göteborg Council 304
governance
anchoring of social impacts into IA
systems 120–21
democratic 144
uncertainty and 179
see also risk governance
government
impact assessments
on energy 213–15
gender (GIA) 148–9
treated as a ‘bolt on’ 244
Government Equalities Office 151
government intervention 79, 87–8, 89,
179, 215, 240, 245, 320, 323, 367,
372
government-initiated bills (GIBs)
371
Graham, J.D. 244
Greece 114, 145, 293, 417
Green Book 54
Green Deal 213–14
Griffiths, R. 241
Guidelines for Preparing Economic
Analysis (EPA) 35
Guidelines for Preparing IA Reports
(Korea) 363, 364
Guiding Principles on Business and
Human Rights (UN) 112
‘guillotine’ initiatives 444
Hahn, R. 10, 11, 244, 402, 403, 405
Hajer, M. 52
Hampton Review (2005) 98
handbooks 35, 294
Hanley, N. 53, 215
hard policy 265
harm-hazard relationship 181
Handbook of regulatory impact assessment

Harris, R. 241
Hauf, H. 214
health impact assessment (HIA) 119–20
Heclo, H. 320
Heinzinger, L. 54, 56
Helm, D. 104
Helming, K. 232
Hertin, J. 59
Herzegovina 291, 384
High Level Group of Independent Stakeholders on Administrative Burdens 99, 104
Hoepner, S. 28
Hoppe, R. 62
horizontal diffusion 287
horsemeat scandal 73
human behaviour see behaviour
human rights 27, 29, 112
Hungary 114, 275, 293, 384, 385, 404, 417
hybridization 276, 280
Hygiene Rating Scheme 75
hyper-rationality 79
Iceland 275, 291, 417
ideational agency, OECD as an 276, 277, 280
Identifying and Reducing Regulatory Burdens 95
impact assessment analytical methods 84–5, 89
benefits of 243–4
compliance and implications for 68–71
consultation see consultation
contestability of results 60
contribution to quality of decisions 86
courts and 127–39
diffusion see diffusion of IA
economic effects 10
as a ‘fire alarm’ mechanism 7, 131, 326
‘good’ 59
guidelines 35
American 84
Australia and New Zealand 359
EC 82–3, 98, 112, 113, 259, 260, 261, 264, 308
Korea 363
OECD 83, 388, 389, 394
institutionalization 12–13, 273, 329–30, 384
interpretive analysis 52–63
in legal studies 21–9
logical structure 69
notion of 3
performance measurement 412–13
Policy Coherence Development 257, 258–64
quality see quality of IAs
rapid spread of 78
rationales 6–8, 127, 144, 240
specifying and assessing 459–61
teaching 436–49
transnational interdependence 272, 278–9
utilization 5, 10–13
see also classical IA method; cost-benefit analysis; integrated impact assessments; risk analysis/assessment; social impact assessment
Impact Assessment Directorate (IMPA) 312
implementation of IA 9, 287
classical IA method and role in failure of 82–6
determinants 279
developing countries 392
European Union 129, 291
failures 78, 80–82
Italy 165–6, 212
Latin America 336, 337
practitioner stories 451–62
training as essential to 390
implementation plans 70
Improving Regulation and Regulatory Review (2011) 95
inappropriate policy transfer 387
incentives 86, 87, 181–3, 288
incertitude 60
independent regulatory agencies (IRAs) 335, 337, 339–40, 341, 342–3
<table>
<thead>
<tr>
<th>Index</th>
<th>475</th>
</tr>
</thead>
</table>

indexes 339, 408
Indicative Guidelines (EC) 440
indicators see Doing Business; performance indicators and reports
indirect effects 37
inference guidelines 183–4
information asymmetry 6, 7, 240, 381
information availability 388, 400, 413–16
information exchange 217, 259–61, 273
information obligations, standard cost model 101–2
infrastructure 69
Inmetro 69–70, 73
innovation 8, 9, 241, 242–3, 247, 272, 273–6, 277, 279, 280–81, 288, 296
inspections 94
institutional barriers 120
institutional endowment 387, 388
institutional structure 390–91
institutional underpinning 81
institutional visibility 338
institutionalization of energy policy 213
impact assessments 12–13, 273, 329–30, 384
regulatory review, United States 323
institutions
European
diffusion of IA 293–5
energy IAs 211–16
involvement in better regulation strategy 312–13
United States, growth of IA 320
instrumental rationality 59, 61
integrated impact assessments (IIA) 84, 196
of CAP 233
classification of social impacts 110
European Union 112–13, 116, 305–6
inter-coder reliability 407
inter-disciplinarity 29, 138
Inter-Institutional Agreement on Better Law-making 312, 313
interest groups 7, 45, 46, 131, 157, 158, 193, 214, 280, 328
International Association for Impact Assessment (IAIA) 442
international development 257–65
PCD and impact assessment 258–64
International Finance Corporation (IFC) 444
International Institute of Administrative Sciences (IIAS) 442
International Social and Environmental Accreditation and Labelling (ISEAL) 27
International Standard Cost Model Manual 101
Internet 157, 163, 165
interpretive analysis 52–63
intersectional inequalities 148
Investment Climate Advisory Services Department 444
Ireland 114, 145, 214, 275, 293, 417, 430
Israel 417
issue networks 320
Italy
impact assessments (2006–2012) 293
adoption 278
diffusion 275
energy sector 212–13
gender impact assessment 145
motivation for introduction of 289
performance reports and indicators 417, 430
simplified template 87
social 114
stakeholder consultation 165–6
Jacobs, C. 166
Jacobs, S. 80, 84, 86
Jacobzone, S. 241
Jalilian, H. 10, 242, 386
Japan 417, 431
Jasanoff, S. 58, 59
Jay, S. 211
Jha-Thakur, U. 200
Jordan, A. 11, 13, 263
Jordana, J. 338
judicial oversight 128
‘judicial rationality awakening’ 134
judicialization 128
Claire A. Dunlop and Claudio M. Radaelli - 9781782549567
Downloaded from Elgar Online at 02/18/2019 09:02:09AM
via free access
Handbook of regulatory impact assessment

Kagan, E. 12, 329
Kagan, R. 94
Kahneman, D. 134
Kaldor-Hicks criterion 82
Kaufman, H.A. 93, 329
Kazakhstan see National Bank of Kazakhstan
Keijzer, N. 262, 264
Kenya 384
Keohane, N.E. 46
Kim, J.H. 369
Kim, S.J. 368
Kim, S.Y. 367
Kirkpatrick, C. 10, 148, 149, 336, 383, 385
Knickel, K. 232, 234
know-how 149, 370
knowledge
agricultural IA 234
development of local 392
PDC-relevant 264–5
‘knowledge utilization’ approach 448
Korea 362–75
criteria and guidelines for regulatory monitoring 372, 373, 377–80
impact assessments
challenges and issues 368–74
definition 362
diffusion 275
performance reports and indicators 417
targets and methodology of 362–8
Korean Institute of Public Administration (KIPA) 367, 374
Korean Society for Regulatory Studies (KSRS) 372–4
Kwiatkowski, R.E. 120
Kysar, D.A. 11, 53, 55, 59, 60
Ladegaard, P. 81, 86
land use 233, 234
Latin America
impact assessments
diffusion 334–44
social (SIA) 111
see also Brazil; Chile; Columbia; Mexico
Latvia 114, 292
law see administrative law;
constitutional law; private law;
soft law
learning 13, 62, 200, 274, 281–2,
287–8
Lee, H.Y. 369
Lee, J.K. 370
Lee, K.J. 364–5
legal journals 25
legal principles 135–6
legal review and improvement 103
legal studies 21–9
legality of an action 130, 131
legality of IA 129–30
legislation
Korea 371–5
see also primary legislation;
quasi-legislation; secondary legislation; subordinate legislation
legislative mandate 24, 49, 75, 165, 328,
331n16, 371
see also mandatory consultation;
mandatory impact assessments;
mandatory notice and review;
mandatory reporting
Legislative and Work Programme (EC) 305, 306
Less is More (BRTF) 97
Levi-Faur, D. 338
Li, Q. 241
LIAISE project 232
LIAISE-ALREG database 232
Lianos, I. 292, 295
Light IA 87, 447, 457, 462
Lisbon Treaty 258, 264, 265n1
Lithuania 115, 166
Livermore, M. 11, 35
Loayza, N.V. 241–2
local enterprise partnerships 168
London Economics 214
Longworth, J. 246
look back 95
Lowi, T.J. 401
LSE GV314 Group 155
Luchetta, G. 28
Lula da Silva, L.I. 339
Lutter, R.W. 403
Luxembourg 115, 417
Index

McCauley, D.J. 55
McCubbins, M. 326
McGarity, T.O. 12
McLaughlin, P.A. 405
macro-level analyses 118, 198
macro-scale factors 200–201, 202
macroeconomic modelling 216
Maduro, A.G. 134
Malaysia 383, 443
Malta 115
mandatory consultation 163, 164, 169, 261
mandatory impact assessments 4, 22, 78, 129, 164, 168, 197, 294, 335, 390
mandatory notice and review 169, 170
mandatory reporting 250
Mandelkern Group Report on Better Regulation 289, 314
Mangwana, S. 150
Manos, B. 232
market access conditionality 290
market efficiency 388, 438
market entry 240, 242
market failure 35, 36, 39, 240, 381, 405, 462
market liberalization (energy) 211, 216
market monitoring 218
market-informed IAs 209, 217
Masters of Public Administration 439, 442, 446
Masters in Regulation 442
May, T. 150
Mazey, S. 152
MENA-OECD Governance Programme 443–4
Meroni doctrine 186n7
meso-level analyses 118, 198
meso-scale factors 199–200, 202–3
Messerschmidt, K. 133
meta-regulation 28
Meuwese, A.C.M. 313
Mexico 275, 334, 336, 337–8, 343, 417, 431, 443
micro-level analyses 118, 119, 198
micro-scale factors 198, 199, 202, 216
Middle East 383, 443
Millennium Development Goals (MDGs) 257, 258
Minogue, M. 335
Minor Assessment Statement 350
Mishan, E.J. 35
Mistral 97
Moe, T.M. 329
Moldova 291, 384
monetization 38–9, 42, 45–6
Monte Carlo analysis 184
Morall, J.F. 404, 405
Morgan, B. 28
Morgenstern, R.D. 11
Mott MacDonald 214
Muething, M. 11
multi-criteria analysis (MCA) 127, 210, 309, 313
multi-functional analysis 234
National Academy of Science 183
National Assembly Budget Office (Korea) 365–6
National Assembly-initiated bills (MIBs) 371, 372
National Audit Office (NAO) 245, 246, 247, 248
National Bank of Kazakhstan (NBK) 456, 457–9
national ownership 389–90
National Plan of Consumption and Citizenship 342
National Research Council 184
Natural Environment White Paper (2011) 193
negative gender impacts 117, 118
Nelkin, D. 58
neoliberalism 54, 195, 229, 231, 235, 335, 336
NERA 214
Net Administrative Cost Model 307
Netherlands 276
impact assessments (2006–2012) 293
adoption 275, 304
audit office review 421
DNB and NBK design projects 456–9
energy 217
gender (GIA) 118, 144–5
motivation for introduction of 289
performance reports and indicators 417
social (SIA) 115
initiatives to address red tape 97, 98
see also ACTAL
Standard Cost Model 97, 98, 101
‘New Approach’ Directives 73
‘new comitology’ measures 314
new public management (NPM) 272, 273, 276, 278, 323, 329, 442
New South Wales (NSW) 349, 351, 354, 355
New Zealand see Australia and New Zealand
Nijsen, A. 103
Nixon, R. 321, 326
non-compliance 67, 73
non-development policies 257, 258
non-falsifiable statements 28
normally efficient business 102
normative judgements 58
North Africa 443
Northern Territory 349, 354, 356
Northoff, C. 462
Norway 275, 293, 417, 432
notice-and-comment 127
nudge approach 70, 251
Obama, B. 13, 324, 329
objective analysis 323, 325, 326
objectives, defining 391–2
Occupational Safety and Health Administration (OSHA) 180
OECD countries
impact assessments 412
diffusion 8, 271–82, 336
economic effects 10
environmental 210
failure in implementation 80
introduction of requirements 129
performance reports and indicators 416–19, 418, 422
strategic environmental (SEA) 197
policy appraisal 194–5
regulatory reform 387
training 437
OFCOM 249
Office of Best Practice Regulation (OBPR) 350, 351, 353, 354, 357
Office of Information and Regulatory Affairs (OIRA) 6, 11, 22–3, 94–5, 129, 180, 184, 244, 321, 322, 323, 324, 328, 329
Office of Management and Budget (OMB) 6, 35, 94, 180, 244, 321, 324, 402, 404
OFGEM 212, 217
OFWAT 249
‘one in, one out’ (OIOO) 215, 248, 249
‘one size fits all’ approach 86, 252, 277, 387
‘one in, two out’ (OITO) 248, 249, 252
online consultation 157, 163, 164, 165
ontological issues, risk analysis 178
open access to information 103
open-ended questions 79, 86
optimal approach to IA 83
optimal consultation 170–71
‘Options Stage’ RIS 356, 357
Organisation for Economic Co-operation and Development (OECD)
as an ideational agency 276, 277, 280
environmental policy appraisal 193
impact assessments
adoption of 276–8
advocacy for use of 243
on barriers to mainstreaming 81–2
diffusion of 271, 272, 277, 280, 288, 335, 443
external validation 336
framework for evaluating IA systems 413–21
guidelines 35, 83, 388, 389, 394
implementation 279
overview of regulatory capacity 385
rationale for 144
training 437, 443–4
Policy Coherence for Development 257
policy innovation diffusion 273–6
regulation, enterprise and competition 243
regulatory delivery 67
regulatory reform 95, 195, 271, 272, 273, 277
Index 479

reports

Brazil: Strengthening Governance through Development 340–41

on economic expertise in law-making 23
implementation of IA, Mexico 337–8
stakeholder consultation 156
support/assistance to developing countries 381, 382
see also OECD countries

Organization for Security and Co-operation in Europe (OSCE) 445

organizational barriers 120
organizational learning 200
organizational streamlining 103
‘other significant’ rules 322
OUT regulatory measure 248
outsourcing 42, 182, 214
Owens, S. 12
ownership 156, 165, 447

Palmer, J. 57, 58
panels 157–8, 168
Paperwork Reduction Act 1980 (US) 94–5, 321
Parker, D. 10, 148, 149

partial equilibrium models 118, 313
partial IA tests 84–5
participation 103, 120, 183, 184, 263
participatory IAs 296, 297, 298
peer review 56, 273, 279, 280, 406
people issues 71–3
performance indicators and reports 416–21
Performance and Innovation Unit report (2000) 252
performance measurement 412–13
perverse incentives 86, 87
phronesis 61, 63
Pilot Project on Administrative Burdens (EC) 98
Pingiaro, C. 243
Plan of Legislative Works 299
plan and programme-level appraisal 197
planetary boundaries 57
Planning, Programming, Budgeting Systems (PPBS) 319–20

Poland 115, 289, 293, 417
Police and Crime Commissioners (UK) 148–9

policy see evidence-based policy-making
policy analysis 79, 82–6
gender impact analysis 149
social impact assessment 111–12
United States 320
see also environmental policy analysis

policy appraisal 4, 13, 193
micro, meso and macro-level 198
RPC emphasis on importance of 248
see also environmental policy appraisal

Policy Coherence for Development (PCD) 257
and impact assessment 257, 258–64
Rolling Work Programme 265
policy delivery 66–76
policy learning 13
cpyolicy processes/policy-making
addressing gender inequality 143–4
interpretive analysis 53
science-based 179
scrutiny of outcome (and input) 132–4
transparency 131
UK adoption of IA 245
unease about effectiveness of IAs in 245
see also evidence-based policy-making
‘policy wheel’ model 67
political bias 11, 34–5
political capacity 183
political commitment 389–90, 393, 444, 446–7
political control 6, 7, 8, 11, 12, 13, 14, 23, 274, 277, 279, 341
political economy 5, 6–7
political effects 5, 6, 13
political ideology 275
political oversight 46–7
political science 5, 13, 24, 399, 441
political support 148, 390, 392
Portugal 115, 145, 292, 417
Poschke, M. 242
post-delegation 14
post-graduate programs 442
post-structuralism 52
poverty IA 117
power asymmetry 263, 265
practical rationality 61, 63
practical reason 61, 63
pre-accession conditionality 290
pre-legality check 130, 132
precautionary principle 25, 60, 63n1, 180, 304, 403
precision 41, 45, 46, 79, 89, 94, 100
prediction mechanism 109
Preliminary Assessment 350, 356
preliminary impact assessment 305
preliminary impact and risk assessment (PIRA) 352, 356
presidential authority 12, 328, 330
presidential interference 184
presidents (US) 4, 6, 7
see also Executive Office of the President; individual presidents
price control reviews 212
Primary Authority scheme 250–51
primary legislation 3, 4, 166, 170, 245, 348, 349, 350, 390
principal-agent analysis 6, 29, 46, 48, 182
‘principles of proper law-making’ 130, 132, 133
priorities, CBA and 48–9
prioritization 61
private law 27
private sector, and regulatory reform 441
privatization 240, 251, 335, 337, 340
pro-poor IAs 388
pro-poor policy 387, 439
PRO-REG 337, 339, 341, 343
problem definition 82–3, 87, 439
problem identification 88, 178, 184
procedural controls 7, 94
proceduralization 128
process re-engineering 103
process-based IA 4, 117
productivity 10, 104, 241
Productivity Commission (Australia) 162, 347, 350, 421
project-based IAs 110, 111, 117, 196–7
proportionality principle 24, 25, 26, 133, 135, 138
proportionate analysis 116, 182, 305, 314
Protocol 30 (EU) 98
public administration 4, 437–9, 444
public debate 44–6, 79, 171n2
public engagement 61
public impact assessment 27
public involvement 120, 144, 177, 179, 184, 200, 445
public petitions, and CBA 45
publication of consultation papers 158
of IA drafts, Korea 364
Quah, E. 35
qualitative approaches 116, 117, 118, 313–14, 401
quality control 81, 120, 199, 247
Australia 355
Korea 370–71
Mexico 338
quality of IAs developing countries 393
economic analysis 244–5
European 183, 232, 246, 403
Korea 364–8, 374
U-shaped curve 80–81
United Kingdom 245, 402
Quality of Life Reviews (QRs) 321
Quality of Supply Regulation 212–13
quantification 45–6
administrative burdens/costs 100–104, 307
of benefits in IAs 78
of benefits of IAs 243–4
ecosystem services 55
in risk analysis 178, 181, 182
see also cost-benefit analysis; standard cost model
quantitative approaches 117, 118, 234, 313
quasi-legislation 348, 349, 351
quasi-scientific process, regulation as 157
Queensland 349, 355, 356
questionnaires (scorecard) 406
Quick Gender Checklist 118
Index 481

race to the bottom 462
Radaelli, C.M. 9, 13, 59, 104, 313, 329, 405, 416, 446
Radin, B.A. 12
rationality
CBA and 42, 49
see also comprehensive rationality model
economic 277
instrumental 59, 61
of policy-making 53, 138, 144
practical 61, 63
in risk analysis 181
technical 263, 342
see also hyper-rationality; ‘judicial rationality awakening’
Rattle, R. 120
REACH 99
reactive oversight 326, 329
Reagan, R. 23, 194, 320, 321, 322, 324, 402
Recommendation of the Council on Regulatory Policy and Governance 443
Recommendation on improving the quality of government regulation (OECD) 275
red tape 93
concerns with 93–4
pragmatic definition 94
programmes addressing Europe 96–100
origin and development of 94–6
see also compliance costs
Red Tape Challenge 151, 169, 249–50, 252
Red Book 179
RedPoint 214
Reducing Regulation Committee (RRC) 247, 249
reductionism 120, 128
reference panels 168
reflexive flexibility 60–62
regulation
concern for economic theories of 144
cost of 94, 96, 97, 382
see also compliance costs
enterprise and competition 241–3
impact assessment applicability 3
improving for business, UK 245–51
innovation, and adoption of impact assessment 8
as a quasi-scientific process 157
theory 28, 144, 390
see also better regulation; economic regulation; environmental regulation; smart regulation; social regulation
Regulatory Analysis Review Group 321
regulatory budgets 95
Regulatory Calendar 322
regulatory capture 46, 48, 86, 144, 151, 156, 244, 388, 393
regulatory compliance 66–76
attitudes to 72
barriers to 71–5
Central and Eastern Europe 404
court assessment 130
European 9, 403
implications for IA 68–71
importance of 66–8
see also compliance costs
regulatory delivery 66–76
regulatory drift 6, 7, 8, 14
Regulatory Fitness and Performance Programme (REFIT) 99, 309–12, 314, 315
Regulatory Flexibility Act 1980 (US) 95, 169
Regulatory Flexibility, Small Business and Job Creation (2011) 95
regulatory impact assessment see impact assessment
Regulatory Impact Assessment Team (New Zealand) 353
Regulatory Impact Assessment Unit (RIAU) 390–91
Regulatory Impact Manifestation (MIR) 337
regulatory legitimacy 27, 58–9, 60, 75, 156, 157, 179, 181–2, 183, 271, 344, 387, 447
regulatory management 271
regulatory oversight
ACTAL 104
integration of IA in policy and rulemaking 412
scorecards in 401–2
United States 7, 11, 12, 13, 182
regulatory oversight bodies
Australia and New Zealand 353, 355
Central and Eastern Europe 385
Latin America 337, 338, 341, 342
provision of support and training 412
see also Impact Assessment Board; Office of Information and Regulatory Affairs
Regulatory Policy Committee (RPC) 69, 247–8, 249, 250, 402
regulatory quality 271, 279, 288, 299, 439
beneficial influence of OIRA 11
developing countries 384, 385–6, 390
economic performance 10
European Union 312
Korea 372
Latin America 339, 341
management 81
New Zealand 355
suggested IA reform 87
Regulatory Quality Team 348
regulatory reform
bureaucracy in 93
developing countries 381, 384, 385, 438
Latin America 335, 336, 340
market for IA 436
OECD and 95, 195, 271, 272, 273, 277
OECD countries 387
post-graduate programs 442
private sector and 441
Southeast Europe 384
Regulatory Reform Committee (RRC) 364, 370, 371, 374
regulatory reform units/groups 444
regulatory review
adoption of IA 278
United States see United States
Regulatory Scrutiny Board (EU) 247, 315
regulatory watchdogs 128
regulocrats 337, 341, 342, 344
reliability 81, 88, 338, 368, 407
Renda, A. 27, 403
research and analysis, outsourcing 214
resource availability 388
resource constraints 42, 43, 388
resource scarcity 120
resources, training 446
responsive regulation 71–2
Retaking Rationality 11
Revesz, R. 11, 35
Review of the Commission Consultation Policy 311
Rho, H.J. 368
Ricketts, T.H. 55
Rio Declaration (1992) 197
risk analysis/assessment 53, 85, 177–86, 243, 304, 403
competency groups 61
ex ante analysis 388
institutional combination between risk management and 179–83
practical reason in 61
technical and conceptual evolution 177–9
risk communication 178, 179, 183–4
risk governance 177, 179–81
risk management 23, 178, 179–83, 243
Roadmaps 305
Rockström, J. 57
Roggeband, C. 145
Rolling Work Programme (EU) 265
Romania 73, 111, 115, 293, 384
Rubery, J. 144, 145, 146
rudimentary IAs 296, 297
‘rule of thumb’ approach 87–8
rules
as a barrier to compliance 74
costs of 94, 97
IA and design of 5
Russel, D. 11
Sahlin-Andersson, K. 273
Sanderson, I. 61
savings, IA use and 243–4
scenario analysis 53
Schrefler, L. 13
Schwartz, T. 326
science-based policy-making 179
scientific expertise 58
scientific reductionism 120
scientific uncertainty 7, 60, 183–4
scorecards 28, 112, 399–408
academic scholarship 402–5
key benefit 408
methodological issues 405–7
in regulatory oversight 401–2
Scott, C. 27
screening function, of IAs 325
scrutiny, of policy process outcome
(and input) 132–4
Scrutiny of Acts and Regulations
Committee (SARC) 355
secondary legislation 3, 4, 166, 170,
245
Secretariat General 308, 309, 311, 313,
314
selection bias 84
self-regulation 71
semi-structured interviews 158
sensitivity analysis 85, 145
Serbia 293, 384, 445
shallow IAs 296, 297, 298
Shapiro, S. 11–12, 56, 62, 95, 404, 405
Short Term Operating Reserve 218
significance criteria 352
SINDEC 342
‘sites of economic adjudication’ 28
Slovakia 115, 293, 384, 404, 417, 432
Slovenia 115, 293, 384, 385, 404, 417,
421, 432–3
Small Business Act (EC, 2011) 99
Small Business Regularity Enforcement
Fairness Act 1996 (US) 95
small and medium enterprise (SME)
tests 84, 163, 164, 168
small and medium enterprises (SMEs),
admirative burdens 96, 99, 246
Small and Micro Business Assessment
249, 250
smart meter IA 214
smart meters 215, 217
smart regulation 25, 99, 246, 251,
307–9, 315
social change 108, 121n6
social impact assessment (SIA) 53,
108–123
around the world 111–15
common approaches 109–11
developing countries 260
differentiation between tool and
process 108–9
European Union 403–4
goal of 109
human rights 27
in-depth 121
Korea 369
methods 116–19
micro-simulation 118–19
origins 108
as a prediction mechanism and
decision-making tool 109
see also equality impact assessment;
gender impact assessment
social impacts 108–9
incorporation into IIAs 305
non-measurability 121
taxonomy of 109–111
social knowledge 448
social media 169
social regulation 320, 336
socialization, diffusion of IA 272, 273,
288
socio-cultural contexts 62
socio-economic conditions, agriculture
and 229
socio-legal studies 28–9
soft law 129, 130, 276
South Australia 349, 353, 354
South Korea see Korea
Southeast Asia 111
Southeast Europe 384
Spain 115, 214, 293, 417
Spain v. Council 133
Spash, C.L. 215
specification 459–61
spillover effects
agricultural policy 229, 233, 236
increased reliance on IA 136
Squires, J. 143
stakeholders
involvement see consultation;
participation; public
involvement
power to trigger analysis 47
standard of causal optimality 55–6
standard cost model (SCM) 86–7,
93–105, 275, 307
basic elements 101–2
diffusion 278, 279
doubts about 100
efficiency implications 104
as a method to quantify administrative burdens 100–104
Netherlands 97, 98, 101
popularity 438
resources required for application 104
success of 79
Standard Cost Model (SCM) Network 104
standardization 86, 183, 184, 185, 462
Staroňová, K. 384, 404
Statement of New Regulation (SNR) 249
static efficiency gains 241
static micro-simulation 119
Steering Group (EC) 261
Stirling, A. 60, 61
Stoiber, E. 99
Strategic Environmental Assessment Directive 211
strategic environmental assessment (SEA) 197, 199, 200, 201, 202, 209, 210, 211
Strategy on Corporate Social Responsibility (EC) 112
subjectivity, scorecard analysis 406–7
subordinate legislation 348, 349
subsidiarity principle 24–5, 26, 130, 136, 138
sunset clauses 251
Sunstein, C. 23, 182
supply chains 73
supply and demand, market for IA 436–49
Support for Improvement in Governance and Management (SIGMA) Programme 278, 385, 443
supranational institutions, IAs on energy 215–16
Supreme Court (US) 132, 133
surveys 157, 168
Sustainability Assessments of Food and Agricultural Systems (SAFA) 27
sustainability impact assessment 84, 195, 304
Sustainable Building Task Force 214
Sustainable Development Strategy 259
Sweden 9, 276
impact assessments (2006–2012) 293
adoption 275
performance reports and indicators 417, 432–3
scorecard analysis 401
social (SIA) 115
scrutiny of legislation 247
stakeholder consultation 166–7
Swedish Agency for Growth Policy Analysis 242
Swedish Better Regulation Council 167
Switzerland 167–8, 275, 417, 419, 420, 433–4
symbiotic IAs 296, 297, 298
symbolic adoption 9, 279
systematic IAs 4, 215
systems (cognition) 134
Table of Eleven (T11) 70, 71, 72, 75
Tanzania 384
TAPIS system 166
Task Force on Regulatory Relief 321–2
Tasmania 349, 350, 354, 355, 356, 358
taxation 246
technical approach, gender mainstreaming 144
technical capacity 183
technical compliance 73
technical expert reports 63
technical rationality 263, 342
technocratic expertise 128, 278
TEP-CEPS 119
Tetlock, P.C. 10, 244, 403
theory of regulation 28, 144, 390
think tanks 144, 442
Thomas, M. 384, 438
Timmermans, F. 315
Toner, H. 27
Torriti, J. 104, 213, 403
trade-offs, in risk analysis 179–80
training 81, 120, 200, 374, 390, 436–49
Trans-Tasman Mutual Recognition Arrangement 351
transatlantic convergence 25
transition economies 9, 87, 210, 336, 382, 383, 385, 440, 446, 456, 457
translations, of ideas and innovations 276–7
transnational interdependence, impact assessment 272, 278–9
transnational networks 271, 275, 276, 277, 278, 288
transnational policy innovation, diffusion of IA as 272–6
transparency 10, 127
and accountability 185
administrative procedures 103
consultation 162, 168, 171
IA and the promotion of 461
information exchange and 217
inter-coder reliability 407
policy-making process 131
of regulation, Mexico 338
regulatory legitimacy 184–5
regulatory policy development 444
risk analysis 179, 183
SNRs and 249
US IAs 218
‘travel’ metaphor 276–7
Treasury (New Zealand) 348, 352, 353, 354
Treaty on European Union 163
Treaty on the Functioning of the European Union (TFEU) 314
Truth in Regulating Act 2000 (US) 95
Turkey 278, 291, 418
Turnpenny, J. 13
two-stage IA consultation 162–3

United Kingdom
Beneficial Ownership project (2000/12) 452–6
compliance burden placed on business 246
environmental impact statements 197
environmental policy appraisal 193, 195
environmental reform 235
impact assessments 11, 25
adoption in policy-making process 245
diffusion 275, 289, 304
distribution (2006–2012) 293
energy sector 213–14, 214–15, 217–18
equality 147, 150–52
gender (GIA) 145, 147, 149
performance reports and indicators 418, 435
scorecard analysis 401–2
social (SIA) 115
strategic environmental (SEA) 199, 200
utilization 13
improving regulation for business 245–51
initiatives to address red tape 97–8
regulation and innovation 242–3
stakeholder consultation 168–9
total factor productivity growth 241

United Nations
Beijing Platform for Action for Equality, Development and Peace Declaration (UN) 143
Guiding Principles on Business and Human Rights 112

policy appraisal 201
World Commission on Environment and Development (WCED) 54

United Nations Development Programme (UND) 445

United Nations Economic Commission 201

United States 319–31
environmental policy appraisal 193, 194, 195, 196
guidelines on scientific uncertainty 183–4

uncertainty
cost-benefit analysis 40–41
decision analysis 210
environmental problems 193
in risk analysis 179, 183–4
uncertainty analysis 85

Understanding Risk: Informing Decisions in a Democratic Society (NRC) 184

Unified Regulatory Agenda (US) 169–70
Union of Concerned Scientists 328
Unit of Economic Deregulation 337

Uganda 384
Ukraine 291
impact assessments 3, 56
adoption 275
as co-production 58
economic effects 10
energy sector 217
guidance 84
history 4, 319–23
issues surrounding 327–9
legal studies 21, 22–3, 28
mandates for 129
performance reports and indicators 418
political purpose 325–6
rationale for 6
scorecard analysis 402–3, 404–5
social (SIA) 108
utilization 11–12, 13, 23
see also cost-benefit analysis
initiatives to address red tape 94–5
judicial review 133
precautionary principle 63n1
proceduralization 128
regulatory review
constitutioality 328–9
criteria for 325–6
evolution of 321–3
institutionalization 323
organization, process and impact 323–5
progressives' view of 327–8
study on 244
risk analysis 179–80, 181–2, 185
‘rule of thumb’ approach 87–8
stakeholder consultation 169–70
Uruguay 337
Uruguay Round (GATT) 231
utilitarianism 55, 59
Uzbekistan 458
validity 365, 368, 370, 371
value judgements 136
Vanclay, F. 109
Verloo, M. 145
vertical diffusion 275, 287, 288, 289
vetting function 326
Victoria 243, 349, 355
Victorian Competition and Efficiency Commission (VCEC) 353
Vietnam 10
Vodafone 134
Walby, S. 144
Warghade, S. 10
Wegrich, K. 144
Welch, D. 384
welfare maximization 34, 59, 62, 63, 240, 243, 277
West, W.F. 12
Western Australia 350, 353, 354, 356
‘whole of government’ approach 335–6
willingness to accept 39
willingness to comply 72
willingness to pay 38–9, 42, 54, 213
World Bank 87, 95, 144, 193, 210, 289, 343, 382, 385, 445
World Bank Group 381, 444, 447
World Bank Institute 444–5
World Commission on Environment and Development (WCED) 54
World Economic Forum 246
World Health Organization (WHO) 120
World Trade Organization (WTO) 229
worldviews 120
Worldwide Governance Indicators (WGI) database 385, 386
Yackee, S.W. 155
zero carbon homes 214
zero IN regulation 248
zero net cost 248
zero-base analysis 363
Zhang, Y-F. 383, 438