<table>
<thead>
<tr>
<th>Index</th>
</tr>
</thead>
<tbody>
<tr>
<td>2G mobile phone licensing 92, 98, 100, 101, 102</td>
</tr>
<tr>
<td>3G mobile phone licensing</td>
</tr>
<tr>
<td>beauty contests 92, 97, 103–4, 105–7, 108–10</td>
</tr>
<tr>
<td>competition 95, 99, 100, 103, 109, 113</td>
</tr>
<tr>
<td>cross-national policy comparisons 93, 108–13</td>
</tr>
<tr>
<td>and efficiency 100</td>
</tr>
<tr>
<td>and individual world 93, 112–13</td>
</tr>
<tr>
<td>and innovation, world of 97, 113</td>
</tr>
<tr>
<td>legislation 98, 99</td>
</tr>
<tr>
<td>market conditions 104, 105, 106, 107, 111, 113</td>
</tr>
<tr>
<td>national champions 95, 96–7, 103, 105–8, 109, 111, 112</td>
</tr>
<tr>
<td>outcomes 100, 102, 104, 107, 110</td>
</tr>
<tr>
<td>policy learning 103, 105, 108</td>
</tr>
<tr>
<td>prices 98, 102, 104, 105, 106–7, 110</td>
</tr>
<tr>
<td>provisions 95</td>
</tr>
<tr>
<td>public monopolies 95, 101</td>
</tr>
<tr>
<td>and state world 95–7, 111–12</td>
</tr>
<tr>
<td>timing 108, 109–10</td>
</tr>
<tr>
<td>and worlds of innovation 186, 189</td>
</tr>
<tr>
<td>academics 2, 75, 77–8</td>
</tr>
<tr>
<td>actors 36, 37</td>
</tr>
<tr>
<td>see also academics; champions, organizational; consultants;</td>
</tr>
<tr>
<td>economists; experts; individual entrepreneurs; model mercenaries;</td>
</tr>
<tr>
<td>model misers; model missionaries; model modernizers; model</td>
</tr>
<tr>
<td>mongers; policy entrepreneurs; professionals; regulators; single-</td>
</tr>
<tr>
<td>person regulators; suppliers</td>
</tr>
<tr>
<td>Acworth, Sir William 75, 77–8, 82</td>
</tr>
<tr>
<td>ad hoc investigative committees 48</td>
</tr>
<tr>
<td>adoption 7–8, 19, 20, 25–8</td>
</tr>
<tr>
<td>advocacy coalition theory 25</td>
</tr>
<tr>
<td>affective dimension 19</td>
</tr>
<tr>
<td>AGCOM 103, 104</td>
</tr>
<tr>
<td>agenda setting 21, 169</td>
</tr>
<tr>
<td>Amato, Guiliano 104</td>
</tr>
<tr>
<td>American pit bull terriers 138–40, 146, 147, 149, 150</td>
</tr>
<tr>
<td>American Staffordshire bull terriers 139–40</td>
</tr>
<tr>
<td>analytical perspectives 5–6</td>
</tr>
<tr>
<td>anthropology 6, 17</td>
</tr>
<tr>
<td>APRA (Australian Prudential Regulation Authority)</td>
</tr>
<tr>
<td>innovation, motivations for 165, 167</td>
</tr>
<tr>
<td>innovation implementation 172</td>
</tr>
<tr>
<td>innovation processes 170, 171, 175</td>
</tr>
<tr>
<td>legislation 160</td>
</tr>
<tr>
<td>objectives 160</td>
</tr>
<tr>
<td>risk-based framework 161, 162, 163–4</td>
</tr>
<tr>
<td>and worlds of innovation 175, 176, 177</td>
</tr>
<tr>
<td>ARROW 170</td>
</tr>
<tr>
<td>ART 105, 106</td>
</tr>
<tr>
<td>Ashton, Joe 57</td>
</tr>
<tr>
<td>Attorney-General (New York State)</td>
</tr>
<tr>
<td>122–3, 126, 127–8, 130, 131, 181</td>
</tr>
<tr>
<td>auctions 92, 93, 97–103, 104–5, 106, 108–10, 111, 112, 113, 189</td>
</tr>
<tr>
<td>Australia</td>
</tr>
<tr>
<td>federal government 123, 126–7</td>
</tr>
<tr>
<td>gambling 117, 125, 126</td>
</tr>
<tr>
<td>(see also Australian Internet gaming policies)</td>
</tr>
<tr>
<td>legislative ethics regulation 59, 62, 188–9</td>
</tr>
<tr>
<td>Australian Internet gaming policies</td>
</tr>
<tr>
<td>effectiveness 133</td>
</tr>
<tr>
<td>enforcement 120–121, 123, 126, 129, 131–2</td>
</tr>
<tr>
<td>jurisdictions 123</td>
</tr>
</tbody>
</table>
Australian Internet gaming policies
(cont.):
legislation 127, 129, 133
licenses 125, 126
norms 120, 125–7
policy problem 120
prohibition 123, 124, 125–6, 127, 131–2, 133
Autorità per le Garanzie nelle
Communicazioni 104

Baker, Bobby 48
Baker, Kenneth 138, 145
Bank of England 165, 166–7, 170, 171, 175
banking supervision 168–9, 170
Barings 166
beauty contests 92, 97, 98, 103–4, 105–7, 108–10, 112
behavioural dimension 6, 11, 31
benchmarking 27
Berry, F.S. 28
Berry, W.D. 28
Binmore, Ken 99
bounded rationality 22, 23, 29, 31, 152, 176
Braithwaite, J. 34–5
Breyer, Stephen 151

Britain
banking supervision 168
(see also FSA (Financial Services Authority))
civil service 86–7, 88
dangerous dogs 138, 140–141, 145, 146, 148, 149, 150, 153, 182, 188
effectiveness 133–4
gambling 117, 123, 125
hyper-innovation 1–2, 14, 46, 195–6
institutional innovation 62–3
Internet gaming policies (see British Internet gaming policies)
legislative ethics regulation (see House of Commons ethics regulation)
liberal market economy 68, 74, 97
political system 68, 148
railway regulatory innovation (see British railway regulatory innovation)
state structures 68, 86–7, 96
British Internet gaming policies
enforcement 120–121, 129, 132
liberalization 123, 124, 133–4, 182
licensing 118, 127, 132
norms 120, 125, 127
policy problem 120
British Rail 69–70, 87
British railway regulatory innovation
in post-First World War years 70–71, 74, 75–6, 82, 84, 85, 86, 87, 88, 195
in post-Second World War years 69–72, 79–80, 82, 83, 85, 87, 88, 195
in 1990s 68–9, 70–71, 73–4, 82, 83, 84, 85, 87, 88, 89, 195
in 2000s 88
British Transport Commission 79–80
BT 97, 98, 107–8
bureaucrats 34–5, 151–3
Bush, George Sr. 50

Cabinet Office (Britain) 13
Campbell, J.L. 38
Campbell-Savours, Dale 56–7
Canada 59
see also OSFI (Canadian Office of the Superintendent of Financial Institutions)
capital goods 23
centralization 21
champions, organizational 18, 19, 21
see also national champions
change 4–5, 6, 8–11, 38
Chester, Norman 82
Citibank 130, 133, 134
clarifying 21, 169, 170
collective decision-making 18

clarity 21, 169, 170
club government 1, 61, 195
coordinated market economies (CMEs) 23, 68
codes of conduct 48, 49–50, 51
cognitive dimension 19, 31, 39, 179, 189, 192–3

collective decision-making 18
commissions 73, 85, 87, 118, 132, 136

"railway regulatory innovation (see British railway regulatory innovation)"
Index

Committee on Standards in Public Life 51, 54, 61, 62–3
committees 50, 56
Commons’ Members’ Interests
Committee 56–7, 59
commonweal functions 81, 82
communications 7–8, 21, 28
communications studies 17–18
competition
   and 3G mobile phone licensing 95, 99, 100, 103, 109, 113
   and innovation 13–14
   and Internet gaming 119, 127
   and national champions 96
   and policy templates 90
   and political science research 27
   and railway regulatory innovation 73
   and varieties of capitalism 96, 100
conflict 21
conflict of interest 45, 48–50, 57–8, 62–3
Congress ethics regulation
   Codes of Conduct 48, 49–50
   conflicts of interests 48, 49–50
   disclosure of interests 59, 60
   enforcement 60, 62
   legislation 50
   norms of conduct 48
   orders of change 46–7
   political scandals 48, 49
   pressure groups 55
   remuneration 49–50
   self-regulation 50, 60, 62
Conservative Party (Britain)
   ethics recommendations 51–2
   MP remuneration 48
   paid advocacy ban 51–2
   political scandals 50–51
   railway privatization 69, 72, 74
consultants 99, 100, 170
consumer protection 116, 122, 127, 132
consumers
   and 3G mobile phone licensing 95, 105
   and Internet gaming 116, 118, 122, 123, 126, 127, 131, 132, 133
contingency theory 22
credibility 54, 61, 175
crime 46, 54, 116–17, 120, 124, 128–9, 130
cross-national learning
   in 3G mobile phone licensing 105
   and Pavlovian world 145–8
   in policy research 29
   in risk-based regulation 170–171, 175, 176
cross-national studies 25, 27, 93, 95–7, 108–13, 119–21, 197
culture 17, 20, 21, 35–6, 37, 39, 167
dangerous dogs
   attacks by 138–9, 140, 145, 146, 147–8, 149–50
   breed-specific regulation 138, 140–141, 146–7, 149–50, 152, 182
   legislation 138, 139–40, 146, 147, 149, 150, 151
   and Pavlovian world 142, 145, 146–8, 149–50, 191
   special measures 138, 139, 141, 147–8
   and worlds of innovation 187, 188, 191
Davies, Howard 167, 170
Dawes Commission 77
decentralization 21
decision making 18, 19, 21–2, 23–4, 27, 35
deflation 75–6, 88
Denmark 140–141, 146, 149
Department of Transport (Britain) 69, 72
Deutsche Bundesbahn 72–3, 81, 84, 85, 87, 88–9
Deutsche Reichsbahn Gesellschaft (DRG) 76, 77–8, 78, 82
Deutsche Telekom 101, 103, 106, 107–8
dialogue 35
diffusion 7–8, 17, 39
   see also global diffusion; policy diffusion; sectoral policy diffusion
diffusion studies 7–8, 25–8, 29–30
DiMaggio, P.J. 24
disclosure of interests, in legislative ethics regulation 51–2, 58, 59–60
Dobbin, Frank 87
Dolowitz, D. 59–60
Downey, Sir Gordon 55–6
Drahos, P. 34–5
drabbon, J. 22
German Shepherds 147, 149–50
Germany
  3G mobile phone licensing 96, 101–3, 106, 107–10, 111, 112, 113
  coordinated market economy 68
  dangerous dogs 139–41, 145, 146, 147–8, 149, 150, 188
  federal government 68, 73, 74, 148–9
  Länner 73, 81, 83, 139–41, 145, 146, 147–8, 149
  political system 148–9
  railway regulatory innovation (see German railway regulatory innovation)
  Reich government 76–8, 80–81, 82
  reparation 68, 77
  state structures 68, 86–7, 96
  unification 73
global diffusion 34–5, 67, 82, 83–6, 87–8, 90
global policies, Internet gaming 124
global polity world
  in case studies 185–7, 188–9
  research perspectives 33–7
  risk-based regulation 176–7, 178
  and transnational communication networks 33, 35, 176, 188–9
  government 1, 13, 25
see also legislation; legislative ethics regulation; state structures; state
world
Graebler, T. 21
Gregory, Roy 56
Haas, P. 33–4
Hall, P.A. 9, 31–2, 38
Hamilton, Neil 51
high-modernism 1, 87, 195
HIH 167, 175, 176
historical dimension 7, 197
historical institutionalism (HI) 32, 38–9
Hood, C. 12, 57, 88, 90, 191
House of Commons ethics regulation and conflict of interest 48–50, 57–8, 62–3
disclosure of remuneration 51–2, 58, 60
enforcement 60–62
and individual world 55–7, 188
Nolan Report 51–4, 55–6
orders of change 46–7
paid advocacy ban 51–2, 57
and pressure groups 55
self-regulation 49, 52–3, 60, 61
hyper-innovation 1–2, 14, 46, 68–9, 119, 125, 194–6
ideas
  and change 38
  and environments 63–4, 90
  and historical institutionalism (HI) 38–9
  and innovation, world of 38
  and railways, regulatory innovation 87–8
  and rational choice institutionalism (RCI) 38
  and regulatory innovation 6–7
types 39
imitation 34
incremental innovations 8–9, 23, 117–18, 196
individual entrepreneurs
  and 3G mobile phone licensing 93, 112–13
  and global polity world 35
  and Pavlovian world 143, 144–5
  personal characteristics 18–19, 170
  and risk-based regulation 166, 167, 170, 178
  role 184, 188, 193–4
individual innovators 18–19
see also champions, organizational;
individual entrepreneurs; model
mercenaries; model miser;
model missionaries;
model modernizers;
model mongers;
policy
entrepreneurs;
single-person
regulators
individual world
  and 3G mobile phone licensing 93, 112–13
  in case studies 184–8, 193–4
  and legislative ethics regulation 55–7, 188
  research perspectives 17–19, 35, 197
  and risk-based regulation 177, 178
individuals 20, 31
see also academics; champions,
organizational consultants
Downloaded from Elgar Online at 08/20/2019 03:42:20AM via free access
individuals (cont.):
economists; experts; individual entrepreneurs; model mercenaries; model misers; model missionaries; model mongers; policy entrepreneurs; professionals; regulators; single-person regulators; suppliers
information 146–8, 152, 169, 170–171
innovation
academia, promotion by 2
and change 4–5, 8–11
definitions 4, 5, 13
and diffusion 7–8
as enacted ideas 7
failures 14, 67, 192, 196, 197
as fiasco 1–2, 14, 181
government promotion of 1
and invention 6–7
motivations 27, 157–8, 165–9
national styles 67, 87
and novelty 4–5, 182
objective definitions 5–7, 182–3
outcomes 14, 100, 102, 104, 107, 110, 196
in parallel 8
in political science 25–8
subjective definitions 5, 6–7, 182, 183
as success 1, 13–14, 181, 192, 196
innovation, world of
3G mobile phone licensing 97, 113
and legislative ethics regulation 57–8
and railways 67
and railways, regulatory innovation 67, 82–4
research perspectives 37–40, 67
and risk-based regulation 177, 179
innovation processes
and model of innovation 20, 21–2
stages 21, 169
step changes 8–9
innovation studies 5
institutional innovation 62–3
institutional isomorphism 24
institutional learning 34
institutional structures
and historical institutionalism (HI) 32
innovation
and Pavlovian world 143, 144, 148–50
and regulatory innovation 68
and risk-based regulation 177
and varieties of capitalism 31–2
institutionalism 38–9, 112
institutions 30–31, 35–6
see also firms; national champions; organizations
instrumental models 35–7
instruments 10
intelligence 146–8, 151–3, 191
inter-jurisdictional reach, in Internet gaming 116, 119, 123–4
interest groups 95
international policy convergence 67, 82, 83–6, 87–8, 90
Internet Bureau 122, 126, 127–8, 129–31
Internet gambling 115–16
see also Internet gaming
Internet gaming
competition 119, 127
and consumers 116, 118, 122, 123, 126, 127, 131, 132, 133
and crime 120, 124, 128–9, 130
cross-national policy comparisons 119–21
description 116
enforcement policies 120–121, 122–3, 126, 127–32
and financial intermediaries 118, 119, 129–31, 132, 133, 134
global policies 124, 182
inter-jurisdictional reach 116, 119, 123–4
legislation 116, 124, 125, 126, 127, 128–9
liberalization 123, 124, 126, 182
licensing 118, 124, 125, 126, 127, 182
norms 120, 125–7
and orders of change 117–19, 183
policy diffusion 133, 136
policy entrepreneurs 122–3, 188
prohibition 118, 120, 122, 123, 124, 125–6, 127, 131–2
regulatory effectiveness 133–4
and state policies 117–19
and taxation 120, 123, 126, 134
Index

and worlds of innovation 117–19,
186, 188, 189
interpretivism 6
invention 6–7, 20
investigatory techniques 54, 55–6
Ireland 140–141, 149
Italy
3G mobile phone licensing 97,
103–5, 108, 109–10, 111, 112, 113
state structures 96, 103
Japan 84, 85
Kaya, Volkan 139–40, 145, 146, 147–8,
149
Khan, Rhukhsana 138, 145, 149
Kingdon, J.W. 97, 144–5, 188
Klemperer, Paul 99
Knill, Christoph 86–7
Kuhn, T. 9, 45
Labour Party (Britain)
3G mobile phone licensing 99
conflicts of interest 48–9
legislative ethics regulation 56–7
political scandals 49
railway regulatory innovation 79–80
leaders 182
see also champions, organizational;
individual entrepreneurs; policy
entrepreneurs
learning see cross-national learning;
institutional learning; negative
learning; policy learning
legislation
on 3G mobile phone licensing 98, 99
and APRA (Australian Prudential
Regulation Authority) 160
on dangerous dogs 138, 139–40, 146,
147, 149, 150, 151
and FSA (Financial Services
Authority) 159
on Internet gaming 116, 124, 125,
126, 127, 128–9, 129, 133
and OSFI (Canadian Office of the
Superintendent of Financial
Institutions) 159
in railway regulatory innovation 69,
72, 73, 74, 75–6, 78, 79, 80–81,
82, 83, 84, 85, 86
legislative enactments 5, 26
legislative ethics regulation
codes of conduct 48, 49–50, 51
and conflict of interest 48–9, 57–8,
60
disclosure of interests 51, 52, 58,
59–60
and individual world 55–7, 188
innovation, world of 57–8
legislation 50
orders of change 46–7
and organizational world 58–63
paid advocacy ban 51–2, 57
and political scandals 48, 49
self-regulation 47, 49, 52–3
state world 58–63
and worlds of innovation 186, 188–9
see also Congress ethics
regulation; House of Commons
ethics regulation
legislatorial structures 58–9
leverage, in legislative ethics regulation
50, 57
Leverve, Gaston 77–8, 82
Levy, B. 68
liberal market economies (LMEs) 22–3,
68, 74, 97
liberalization, Internet gaming 123, 124,
126, 182
licensing, 3G mobile phones, see 3G
mobile phone licensing
Light, Paul 14
Lindblom, Charles 152
local government 62–3
Major, John 51, 69–70, 74
markets 11, 104, 105, 106, 107, 111, 113
Marsh, D. 59–60
Mastino Napolitano 139
matching 21, 169
Maudling, Reginald 49
McCubbins, M. 151–2
measurement 5–6, 7–8, 14
media 138, 139–40, 142, 145, 150, 152,
153
methodologies 5–6, 184
Meyer, J.W. 36, 39–40
Ministry of Transport (Britain) 74, 76,
88
Ministry of Transport (Germany) 76–7
mobile telephony 94–5, 98, 101, 105
see also 2G mobile phones; 3G mobile phone licensing
model mercenaries 18, 34
model misers 35
model missionaries 34
model modernizers 35, 175
model mongers 18, 34, 35
modelling 34–5, 169–71, 175
modernism 1, 36–7, 87, 158, 179, 195, 196
Mohr, L. 7, 13
money laundering 117, 124, 129, 130
monopolies 79, 82, 87, 94, 101
morality 31, 116, 122, 123, 124, 182
Moran, Michael 1–2, 14, 46, 61, 68–9, 87, 119, 194–5, 196
Morrison, Herbert 80, 88
motivation 27, 157–8, 165–9
national champions 95, 96–7, 103, 105–8, 109, 111, 112
national styles, of policies 67, 87
nationalization 74, 76–8, 79–80, 82, 83, 195
negative learning 59, 189
Neill, Lord Patrick 56
Netherlands
dangerous dogs 139, 140–141, 146, 147, 148, 149
3G mobile phone licensing 102, 104, 108
new institutionalism 30–33, 38–9
new technology 111–12
New York State Internet gaming policies
effectiveness 133
enforcement 120–121, 122–3, 127–31, 133, 183
financial intermediaries 118, 119, 129–31
norms 120, 125, 126
policy problem 120, 122
prohibition 120, 122, 123, 124, 125
newness 4–6, 182, 197
Nolan, Lord Michael Patrick 51–4, 56–7
normative ideas 39, 192–3
norms of conduct 48
novelty 4–6, 182, 197
objectivity, in defining innovation 5–7, 182–3
OCC (Office of the Comptroller of the Currency) 168–9, 171
OECD 117, 124
Oftel (Office of Telecommunications) 97, 99
Ombudsman 56
openness 58–9, 64, 175
orders of change
in conflict of interest regulation 45
description 9–11
ethics regulation 46–7
and historical institutionalism (HI) 32
and Internet gaming 117–19, 183
and organizational champions 18
regulatory innovation 12, 182–3, 192–3
organizational champions 18
organizational culture 20, 21, 167
organizational entrepreneurship 93, 112–13, 170, 177
organizational environment 22, 167–8, 176
organizational processes 12–13
organizational structure 20–21, 22, 189
organizational world
in case studies 185–7, 189–90
and legislative ethics regulation 58–63
and railways, regulatory innovation 67–8, 84–6
research perspectives 20–24, 67
and risk-based regulation 175–6, 178
organizations 18, 20–21
see also firms; institutions
organized crime 46, 117
Osborne, D. 13, 14, 21
OSFI (Canadian Office of the Superintendent of Financial Institutions)
innovation, motivation for 165, 166, 169
innovation implementation 172
innovation processes 169–70, 171, 175
legislation 159
objectives 158–9
risk-based framework 161, 162, 163–4
and worlds of innovation 175, 176,
paid advocacy ban 52, 57
PAIRS (Probability and Impact Rating System) 167, 171
Palmer, John 166, 176
paradigm shifts see third-order changes
paradigms 9, 10, 39, 67, 82, 83–4
Parliamentary Commissioner for Standards (PCS) 51, 52–4, 55–6, 57, 63–4
Pavlovian world
in case studies 185–7, 190–191
and dangerous dogs 142, 145, 146–8, 149–50, 191
description of 142–4
and governance structures 143, 144, 148–50
and individual entrepreneurs 142, 143, 144–5
policy diffusion 143, 144, 145–8
and policy learning 143, 144, 145–8
and rationality and intelligence
economization 146–8, 151–3, 191
and risk-based regulation 157–8, 173, 178, 191
pay for ethics 57, 183
Paypal 130
pluralism 25, 193
policies 25–8, 67, 82, 124
policy actors 33, 34
policy advice 99, 100
policy change 9, 18, 30–33
policy communities 29
policy diffusion
and geographic location 28
global 34–5, 67, 82, 83–6, 87–8, 90
and imitation 34
and Internet gaming 133, 136
and modelling 35, 175
and Pavlovian world 143, 144, 145–8
risk-based regulation 170–171, 175, 176
sectoral 74, 80, 84–6, 87–8, 90, 170–171, 175
policy entrepreneurs
in 3G mobile phone licensing 97, 101, 104–5
definition 18, 97
in Internet gaming 122–3, 188
in legislative ethics regulation 55, 57, 188
policy ideas 6–7
policy inventions 6–7
policy learning
in 3G mobile phone licensing 103, 108, 110, 111
in legislative ethics regulation 59–60
and Pavlovian world 143, 144, 145–8
and public pressure 29, 32
in risk-based regulation 177
in state world 29–30
policy-making, in epistemic
communities 34
policy templates 83–4, 90
policy windows
and 3G mobile phone licensing 97
and global polity world 35
and individual world 19, 35, 57
and risk-based regulation 166, 167
and state world 35, 97
political discourse 38
political parties 103
see also Conservative Party (Britain); Labour Party (Britain)
political pressure 165–7, 174, 189
political scandals 48, 49, 50–51
political science 25–8, 30
political systems 18, 68, 143, 144, 148–50
politicians
and 3G mobile phone licensing 105
earnings 48, 49–50
electoral security 26
and Pavlovian world 138, 139–40, 142, 143, 145, 149, 151–2, 153
(see also Congress ethics regulation; Conservative Party (Britain); House of Commons ethics regulation; Labour Party (Britain))
Pons, Nicolas 138–9
population ecology 23
positivism 5, 6, 28
Poulson, John 49
Powell, W.W. 24
power 21–2, 33, 53–4
pressure groups 55
private protection services 117
privatization 5, 69, 72–4, 82, 83, 86, 87, 88, 105
Privileges Committee (Britain) 51, 53
problem definition 21, 169
products 8–9, 11, 20
professionals 60
programmatic ideas 39
progressive innovations 8–9
prohibition 117, 118, 120, 122, 123, 124, 125–6, 131–2, 133
proper names see individual entrepreneurs
public choice theory 25
public management 13–14, 21
public monopolies 79, 82, 87, 88
public opinion response theory 25
public policies 13, 18
public policy entrepreneurs 18
public policy-making 31–2
public pressure
and Pavlovian world 139, 142, 145, 151
in policy learning 29, 32
in political science research 27
and risk-based regulatory innovation 165–7, 174
public sector 9, 11, 18
public sentiments 39, 151
public services 8, 11, 13
qualitative studies 17
quantitative studies 17, 25–8
radical innovation 8–9, 22–3, 196
see also third-order changes
radio spectrum licensing 97–9
Radiocommunications Agency 98, 100, 101
Railtrack 72
railways, regulatory innovation
developments 66–7
franchising 72, 73, 82, 83
and ideas 87–8
innovation, world of 67, 82–4
international policy convergence 67, 82, 83–6, 87–8, 90
legislation 69, 72, 73, 74, 75–6, 78, 79, 80–81, 82, 83, 84, 85, 86, 87
nationalization 74, 76–8, 79–80, 82, 83, 195
and organizational world 67–8, 84–6
privatization 69, 72–4, 82, 83, 86, 87, 88
sectoral policy diffusion 74, 80, 84–6, 87–8, 90
socialisation 82, 83, 87, 195
and state world 67, 86–8
and vertical integration 69–70, 72, 84–5, 88
and vertical separation 67–8, 72–3, 79, 85
and worlds of innovation 185
RATE (Risk Assessment, Tools and Evaluation) 166–7, 170, 171
rational choice institutionalism (RCI) 22–3, 31–2
rationality 25, 27, 146–7, 151–2, 158, 179, 189, 191
reasoning by analogy 27, 29
reconstruction 76, 82
redefining 21, 169
Register of Members’ Interests 48–9, 50
regression 6
RegTP 101
regulation 4, 11, 12
regulators 95, 97, 99, 100, 101, 102, 103, 104, 105
regulatory innovation
concept 12
definition 4, 192
dynamic approaches 7
forms 11–13
future research 196–7
‘how to’ innovate 192–4
and ideas 6–7
images of 3–4
motivations 27, 157–8, 165–9
and orders of change 12, 182–3, 192–3
and policy ideas and inventions 6–7
see also worlds
regulatory overloads 167, 169
regulatory reform 13, 192–4, 196
regulatory regimes 12
regulatory retreat 150
‘reinventing government’ agenda 13
relational networks 67
remuneration 48, 49–50, 51–2, 58, 60
resources 26, 31
restructuring 21, 169
risk-based regulation
and choice 157
definition 156
frameworks 160–165, 179
influences 157–8
innovation, motivations for 165–9, 173–4
innovation implementation 172–3
innovation processes 169–71, 174–6, 178
and Pavlovian world 157–8, 173, 178, 191
and worlds of innovation 175–7, 178–9, 187, 189
see also APRA (Australian Prudential Regulation Authority); FSA (Financial Services Authority); OSFI (Canadian Office of the Superintendent of Financial Institutions)
Roche, Barbara 99
Rogers, E.M. 5, 6, 37, 170
Rottweilers 147, 149–50
routinizing 21, 169, 170
Royal Commission on Standards in Public Life (Britain) 49
RPI-X formula 7, 9, 10
rules 24, 36
rural sociology 7–8

Schlager, E. 28
Schwartz, T. 151–2
second-order changes 10, 32, 46–7, 52, 117, 118–19, 182–3, 192–3
sectoral policy diffusion 74, 80, 84–6, 87–8, 90, 170–171, 175
Select Committee on Members’ Interests (Britain) 49
Select Committee on Standards and Conduct (US) 48
Select Committees 61–2
self-regulation, in legislative ethics regulation 47, 49, 50, 52–3, 60, 61, 62
services 8–9, 11
shocks 165–6
see also financial failures
Simon, Herbert 152
single-person regulators 54, 56, 63–4
see also Parliamentary Commissioner for Standards (PCS)
skunkworks 170
SOARS (Supervisory Oversight and Response System) 167, 171
social problems 116–17, 130
socialisation 82, 83, 87, 195
sociological institutionalism 23–4
sociology 6, 7–8, 17
soft law 10
Sondervermögen 76, 81
Soskice, D. 31–2
Sparrow, M.K. 11, 59
Spiller, P. 68
Spitzer, Eliot 122–3, 126, 127–8, 130, 131, 181
Staffordshire bull terriers 140
standards 177
Standards and Privileges Committee (Britain) 53–4
state structures 68, 86–7, 96
state world
and 3G mobile phone licensing 95–7, 111–12
in case studies 185–7, 189–90
and gambling 116–17
and Internet gaming 117–19
in legislative ethics regulation 58–63
and railways, regulatory innovation 67, 86–8
research perspectives 25–33, 35, 68
step changes 8–9
Strang, D. 39–40
subjectivity, in defining innovation 5, 6–7, 182, 183
success, of innovation 1, 13–14, 181, 192, 196
supervision, financial 162, 164, 165, 166–7, 168–9, 171, 172, 177
suppliers 95, 100, 102, 103–4, 105–6, 107
Sweden 85
synoptic legibility 2, 195
task environments 167–8, 169
taxation 117, 120, 123, 126, 134
technical complexity, of railways 67–8
technological development 111, 197
technology sector 23
Telecom Italia 103
Terry, F. 69
theorization 39–40
third-order changes
description 10–11, 182–3, 192–3
in ethics regulation 47
in historical institutionalism (HI) 32, 38
in Internet gaming 117, 188–9
Index

<table>
<thead>
<tr>
<th>Term</th>
<th>Page(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>timing</td>
<td>26, 108, 109–10</td>
</tr>
<tr>
<td><em>see also</em> historical dimension;</td>
<td></td>
</tr>
<tr>
<td>historical institutionalism (HI)</td>
<td></td>
</tr>
<tr>
<td>Tosa Inu</td>
<td>140, 147</td>
</tr>
<tr>
<td>trade unions</td>
<td>48, 69, 75, 79, 81, 106</td>
</tr>
<tr>
<td>transaction costs</td>
<td>31, 152, 191</td>
</tr>
<tr>
<td>transnational communication networks</td>
<td>33, 35, 176, 188–9</td>
</tr>
<tr>
<td>transparency</td>
<td></td>
</tr>
<tr>
<td>in 3G mobile phone licensing</td>
<td>95</td>
</tr>
<tr>
<td>in hyper-innovation</td>
<td>1–2</td>
</tr>
<tr>
<td>in legislative ethics regulation</td>
<td>46, 47, 55</td>
</tr>
<tr>
<td>in risk-based regulation</td>
<td>174</td>
</tr>
<tr>
<td>Treasury (Britain)</td>
<td>72, 88</td>
</tr>
<tr>
<td>UMTS Auction Consultative Group</td>
<td>99, 100</td>
</tr>
<tr>
<td>UMTS Forum</td>
<td>100</td>
</tr>
<tr>
<td>UMTS mobile licensing</td>
<td><em>see</em> 3G mobile phone licensing</td>
</tr>
<tr>
<td>uncertainty</td>
<td>21, 34, 36</td>
</tr>
<tr>
<td><em>see also</em> risk-based regulation</td>
<td></td>
</tr>
<tr>
<td>United Kingdom</td>
<td><em>see</em> Britain</td>
</tr>
<tr>
<td>United States</td>
<td></td>
</tr>
<tr>
<td>banking supervision</td>
<td>168–9, 170–171</td>
</tr>
<tr>
<td>gambling</td>
<td>117, 118, 122, 125</td>
</tr>
<tr>
<td><em>see also</em> New York State Internet gaming policies</td>
<td></td>
</tr>
<tr>
<td>institutional innovation</td>
<td>62</td>
</tr>
<tr>
<td>value judgements</td>
<td>14</td>
</tr>
<tr>
<td>varieties of capitalism</td>
<td>22–3, 31–2, 96, 100, 112</td>
</tr>
<tr>
<td>Versteeg, Chantal</td>
<td>139, 147</td>
</tr>
<tr>
<td>vertical integration of railways</td>
<td>69–70, 72, 84–5, 88</td>
</tr>
<tr>
<td>vertical separation of railways</td>
<td>67–8, 72–3, 79, 85</td>
</tr>
<tr>
<td>veto players</td>
<td>75, 81, 97, 103, 108, 112, 148</td>
</tr>
<tr>
<td>voluntary sector</td>
<td>14</td>
</tr>
<tr>
<td>Wade, Sir William</td>
<td>52–3</td>
</tr>
<tr>
<td>Walker, J.L.</td>
<td>5, 26, 27, 28, 35</td>
</tr>
<tr>
<td>Wildavsky, Aaron</td>
<td>152, 153</td>
</tr>
<tr>
<td>Wilson, Harold</td>
<td>49</td>
</tr>
<tr>
<td>world culture</td>
<td>35–6, 37, 39</td>
</tr>
<tr>
<td>world polity</td>
<td>35–6</td>
</tr>
<tr>
<td>worlds</td>
<td>16–17, 40–41, 184, 185–7, 193–4</td>
</tr>
<tr>
<td><em>see also</em> global polity world;</td>
<td></td>
</tr>
<tr>
<td>individual world</td>
<td></td>
</tr>
<tr>
<td>innovation, world of</td>
<td></td>
</tr>
<tr>
<td>organizational world;</td>
<td></td>
</tr>
<tr>
<td>Pavlovian world; state world</td>
<td></td>
</tr>
</tbody>
</table>