

Index

- accountability *see* transparency
- administrative burdens
 - business surveys 48
 - function tests 33, 66–7
 - regulatory budgets 42–5, 49, 50
 - South East Europe 256, 257–9, 262, 264, 265–7
 - standard cost model (SCM) 32, 45, 141
 - UK 141–2
- Africa 182, 183, 184
 - ethnicity 213
 - informal economy 209
 - see also* East Africa; individual countries
- Albania
 - business advisory councils 253
 - business impact reviews 253
 - FDI 251
 - GDP growth 252
 - regulatory quality control 251, 253, 254
- Allio, Lorenzo 72–105
- alternative remedies 11, 26, 58, 78, 80
 - Australia 149, 163
 - developing countries 178
 - EU 78, 80, 81, 96
 - Mexico 235, 237
 - UK 110, 119, 121–2, 136, 138, 140
- Asia 182, 183, 184
 - see also* individual countries
- Australia
 - alternative remedies 149, 163
 - analytical quality 30
 - avoidance activity 153–5
 - benefit–cost analysis 30, 150–51, 153, 158–9, 165
 - broadening of regulatory trends 163–4
 - business impact assessments (BIAs) 147–8
 - business lobbying 152
 - Cabinet 146, 148, 151, 161, 165
 - competition 147–8, 150, 152, 156–9, 161, 162, 163, 166
 - compliance costs 163
 - compliance monitoring 24, 30, 146, 149
 - consultancy services 20, 24, 149, 156–9, 161, 164
 - Council of Australian Governments (CoAG) 145–7, 148, 149, 154
 - decision-making 146, 147, 155–6, 157
 - distributional effects 31
 - economic issues 147
 - Federal Legislative Instruments Act 2003 160
 - Federal Productivity Commission 161
 - federal RIA requirements 146, 147, 148–9, 152, 157, 158, 159–60
 - food safety regulation 169
 - frequency tests 64
 - government effectiveness 164–5
 - health and safety regulation 156, 161
 - Kean Report 164
 - Legislative Instruments Act 2003 146
 - long-term trends 151–2
 - National Competition Council 161
 - National Competition Policy (NCP) 163, 166
 - New South Wales 145, 148, 160, 161, 163
 - Northern Territory 154
 - OECD ‘best practice’, disagreements with 162
 - Office of Regulation Review (ORR) 24, 25, 30, 146, 147, 149, 151–2, 158–9, 162
 - Office of Small Business 41–2
 - partial analyses 31
 - performance analysis 148–59, 163

- performance analysis publication 148–51
- policy-making culture, embedding RIA in 155–6, 157–8
- Principles for Developing a Service Charter 43
- process regulation 163–4
- Productivity Commission 162
- quality standards 15, 148, 149–50, 152, 159, 160, 161–2
- quasi-regulation 146, 153–4
- Regulation Review Unit (RRU) 151
- regulatory oversight bodies 160–62
- regulatory performance indicators (RPIs) 41–2, 43–4
- regulatory quality indicators 49
- RIA 143–70
- RIA effectiveness 152–3, 164
- RIA history 143–4, 151, 152, 154, 164
- RIA independence 161–2
- RIA performance determinants 159–65
- RIA requirements in law 159–60
- risk assessment 30, 33, 146, 158, 163, 165
- RISs (regulatory impact statements) 146–52, 154–7, 158–9, 160, 161, 163
- ‘safe harbours’ 163
- SMEs 41–2, 168–9
- social issues 147
- stakeholders 162, 164
- Standards Association 164
- subordinate legislation 147, 162
- ‘sunsetting’ requirements 162–3
- technical standards 150, 163–4
- training and guidance 25, 156–7, 160–61
- transparency 147, 148–51
- Victoria State Government 145, 147–8, 151, 152, 154, 158, 159–60, 162
- Victorian Competition and Efficiency Commission (VCEC) 147–8, 150, 152, 156, 157, 158–9, 161, 162
- Victorian Scrutiny of Acts and Regulations Committee (SARC) 147, 149
- welfare improvements 152–3
- Bangladesh
 - growth and poverty reduction 208
 - RIA initiatives 202
 - telecommunications 190
- Belgium
 - business surveys 48
 - dioxin scandal 76
 - regulatory budget 42, 45
 - regulatory quality indicators 49
- benefit–cost analysis (BCA) 28–30
 - Australia 30, 150–51, 153, 158–9, 165
 - business surveys 48
 - Canada 30–31
 - compliance tests 58, 59
 - and decision-making 4–5, 11, 12
 - developing countries 176, 178, 180, 184
 - diversification of methods 28–9
 - environmental regulation 66
 - functional test 66
 - Mexico 181, 229, 237
 - performance (output) tests 61–3
 - and policy changes, proposed 66
 - and policy-making approach 28–30, 66
 - regulatory budgets 42–5
 - regulatory quality assessment 49
 - Sri Lanka 193, 195
 - transparency in 29
 - UK 110–14, 119–20, 122–3, 129, 135–7, 140–41
 - USA 30, 66, 155
- Bosnia and Herzegovina
 - administrative simplification 258–9
 - ‘bulldozer’ initiative 259
 - business advisory councils 253
 - businesses and inspections
 - regulations 248, 256, 258, 259–61
 - consultation 258, 259, 260
 - Dayton Peace Agreement 258
 - donor agencies 258, 259, 260
 - economic growth 257
 - EU compliance reviews 253
 - FDI 251
 - GDP growth 252
 - government effectiveness 257–9, 260
 - guillotine review 260–61

- legal and administrative framework 257–8
- regulatory quality control 251, 253, 254, 258, 261
- regulatory reform 257–61
- Republika Srpska regulatory reform 259–61
- RIA 258, 259, 261
- transparency 260, 261
- World Bank Doing Business Indicators 257
- Bulgaria
 - economic growth 252
 - EU compliance reviews 253
 - FDI 251
 - regulatory quality control 251, 253, 254
 - RIA 248, 256
 - transparency 253
- Canada
 - Auditor General 47
 - benefit–cost analysis 30–31
 - compliance monitoring 24
 - decision-making 67
 - Delphi Group 48
 - Departmental Regulatory Plan 23
 - distributional effects 31
 - health and safety regulation 47
 - Joint Action Plan with EU 82
 - partial analyses 31
 - productivity and economic growth 10
 - regulator surveys 48
 - Regulatory Affairs and Orders 47
 - Regulatory Consulting Group, Inc. 48
 - regulatory process management standards 47
 - regulatory quality indicators 47, 49
 - Report on Plans and Priorities (RPP) 23
 - Special Committee of Council 22
 - Standing Joint Committee for the Scrutiny of Regulations 22
- China
 - EU, bilateral dialogue with 82
 - growth and poverty reduction 208
 - competitiveness 11, 29, 32
 - compliance tests 58, 59
 - EU 22, 36–7, 77, 83, 85, 90–91
 - regulatory quality assessment 50
 - RIA methods, pressure on 29
 - South East Europe 247, 253, 258, 262, 264
 - compliance costs
 - Australia 163
 - Mexico 235
 - UK 124
 - compliance monitoring
 - Australia 24, 30, 146, 149
 - Canada 24
 - Mexico 24
 - Sri Lanka 195
 - UK 24, 60, 106, 111, 112
 - USA 24, 60
 - consultancy services 4–5, 78
 - Australia 20, 24, 149, 156–9, 161, 164
 - developing countries 174, 176, 178, 180, 182, 184–5, 186
 - EU 20
 - New Zealand 20
 - performance tests 62
 - public consultation *see* public consultation and disclosure
 - South East Europe 253, 258, 259, 260, 262, 264, 268
 - Sri Lanka 191, 193, 195
 - Uganda 219–20, 221
 - UK 110, 111, 115, 119, 124, 126, 136, 157, 161, 164
 - Cordova Novion, Cesar 227–46
 - corruption
 - control 39
 - Mexico 227, 229, 231, 242
 - and poverty 214
 - South East Europe 263
 - Sri Lanka 191
 - Tanzania 214
 - Uganda 209, 214
 - Croatia
 - business advisory councils 253, 256
 - competitiveness councils 253
 - economic growth 252
 - EU compliance reviews 253
 - FDI 251, 253

- regulatory quality control 251, 252, 254
- RIA reviews 248, 256
- cultural change 48, 50, 61
- function tests 66–7
- De Francesco, Fabrizio 36–55
- decision-making 3, 5, 7, 9, 19
 - administrative burden tests 33
 - Australia 146, 147, 155–6, 157
 - benefit–cost analysis (BCA) 28–30
 - Canada 67
 - compliance tests 59
 - developing countries 176, 178
 - EU 75, 77, 81, 87, 89, 93, 94
 - function tests 63, 65, 67
 - layers of 75
 - OECD reference checklist 7, 47
 - Sri Lanka 193, 194–5
 - UK 110, 111, 138, 139
 - see also* problem-solving
- Deighton-Smith, Rex 145–70, 176
- Denmark
 - business surveys 48
 - International Development Agency (DANIDA) 202
 - model companies 48
 - public consultation processes (test panels) 20
 - regulatory budget 42, 45
 - regulatory quality indicators 49
- developing countries
 - accountability 174
 - alternative remedies 178
 - auditing 180
 - benefit–cost analysis 176, 178, 180, 184
 - best practice 175, 192
 - championing across government 179
 - consistency 174, 178
 - consultancy 174, 176, 178, 180, 182, 184–5, 186
 - data collection, effective 178, 180
 - decision-making 176, 178
 - donor agencies 177
 - economic issues 174, 175, 177, 183–4, 185–6
 - environmental methods 183
 - ex post assessment methods 183
 - expertise levels 176
 - financial crises 177
 - financial regulation, weaknesses in 176–7
 - good governance goals 174, 175, 184–5
 - health and safety 176
 - horizontal coordination 175
 - implementation gaps 181
 - institutional endowment 176
 - investment in 174
 - legislation, primary 178
 - market failure 183
 - market-led development 171–2, 175
 - monitoring 175
 - and OECD guidelines 177, 181, 183, 192
 - peer reviews 179
 - policy transfer 192–3, 217
 - political involvement 177–8, 179, 185
 - poverty reduction 175, 176, 185–6
 - private sector behaviour 175
 - public consultation 178, 182, 184–5, 186
 - questionnaire (University of Manchester) 181–6
 - regulatory capacity constraints 177
 - regulatory capture 187–8
 - Regulatory Impact Assessment Units (RIAU) 177–8, 179
 - regulatory management system, building an effective 177–8
 - regulatory quality issues 172, 178, 179–80
 - regulatory reform programmes 13
 - rent-seeking behaviour 176
 - RIA 17, 171–89
 - RIA application 173–80
 - RIA, evidence on use of 180–86
 - risk assessment 176
 - screening procedure 180
 - social issues 18, 174, 183
 - stakeholders 178, 185, 187–8
 - sustainable development 176
 - time periods 180
 - training programmes 178, 179–80
 - transparency 12, 174, 176, 178, 182, 184–5, 186
 - utilities regulation 184, 185
 - see also* individual countries; transition economies

- East Africa
 competitiveness 210–13
 donor countries 201, 202
 regional integration 210
 regulatory quality and efficiency improvements 209–10
 RIA 201–26
 stakeholders 213–14
 transparency and accountability 213–14
see also Africa; Kenya; Tanzania; Uganda
- environmental regulation 28, 40
 benefit–cost analysis 66
 and compliance tests 58
 EU 75, 76, 85, 86, 87, 88
 Sri Lanka 195
 UK 118–19, 120–21, 126, 140
 USA 47–8, 66
- EU
 Action Plan on Better Law-making 79–80, 83, 86
 administrative burdens 32, 37, 45, 49, 84
 alternative remedies 78, 80, 81, 96
 Amsterdam Treaty 85
 Annual Policy Strategy 88, 90
 Barroso Commission 83, 85, 90
 benchmarking 84, 96
 ‘better regulation’ (BR) 12, 73–7
 ‘better regulation’ (BR) milestones 77–85
Better Regulation for Growth and Jobs 84
 bilateral cooperation 82
 budgetary impact 45, 85
 central oversight mechanisms 22
 comitology procedures 79, 80, 95
 ‘Common Approach to Impact Assessment’ 92
 competitiveness 22, 36–7, 77, 83, 85, 90–91
 Competitiveness Council Group (CCCG) 22, 36–7, 85, 90–91
 compliance monitoring 24, 84
 Constitution for Europe Draft Treaty 81–2
 consultancy services 20
 Convention on the Future of Europe 81–2
 decision-making 75, 77, 81, 87, 89, 93, 94
 Directors and Experts on Better Regulation (DEBR) 78
 distributional effects 31
 diversity and convergence 50
 economic assessment 83, 86, 87, 88
 environmental regulation 75, 76, 85, 86, 87, 88
 EU–US Guidelines on Regulatory Cooperation and Transparency 82
 EU–US Summits 84
 European Network for Better Regulation project 52
 finalité politique 76–7
 Göteborg European Council meeting 12
 Health and Consumer Protection (SANCO) Scoping Paper 94
 health and safety regulation 75, 76, 94
 Impact Assessment Working Group (IAWG) 89, 91
 input and output legitimacy 77
 inter-institutional agreements (IIA) 22, 29, 36, 80–81, 83–4, 91–2, 95
 internal market project 75, 92
 international dimension 73–5, 82, 84
 Joint Initiative on Regulatory Reform (2004) 82–3
 Laecken European Council Conclusions 76–7, 78
 Lisbon Agenda 50, 77, 83
 Maastricht Treaty 85
 Mandelkern Report 78
 multilateral cooperation 82
 New Approach Directives 87, 94
 and OECD 82, 94
 partial analyses 31, 32
 proportional analysis 86–7, 90
 public sector reform 75
 regulatory budget 45
 regulatory quality indicators 46, 48–9, 50–51
 reward and promotion structure 96
 RIA, extended (Ex.IAs) 88–9
 RIA guidelines 13, 81–2, 83, 85–92
 RIA improvements 72–105
 RIA, integrated approach 86–9

- RIA, past initiatives 85–6
- RIA, preliminary (Pre.IAs) 88, 90
- risk assessment 76, 80, 95
- ‘roadmaps’ 84, 90
- Santer Commission 75
- simplification proposals 81, 82, 84
- SMEs 32, 84
- social assessment 86, 87, 88
- South East Europe compliance
 - reviews 248, 253, 262, 263–4
- stakeholder involvement 84, 86, 94, 96
- state role 74–5, 77, 96
- Strategic Objectives 2005–2009* 83
- Strategic Planning and Programming (SPP) 23, 88
- subsidiarity 82, 83, 87
- substantive amendments criteria 80–81, 92
- Sustainable Development strategy 13, 36, 78
- technical requirements 25, 75, 84, 95, 97
- Toubon Report 92
- training and guidance 25, 96
- Transatlantic Economic Partnership (TEP) 82
- transition economies *see* transition economies
- transparency 12, 81, 82, 86, 88, 90, 92, 95
- Unfair Commercial Practices Directive 115
- White Paper on European Governance 78
- Work Programmes 13, 19, 83, 86, 87, 88, 89, 90
- Working Together for Growth and Jobs* 83
- see also* individual countries
- France, health and safety regulation 76
- Germany, public consultation processes (test panels) 20
- globalization 74, 76
- government effectiveness 11, 39
 - Australia 164–5
 - compliance (process) tests 57–61
 - Mexico 242–3
 - South East Europe 248, 252, 253, 257–9, 260, 263
 - Sri Lanka 194
 - state role, EU 74–5, 77, 96
 - Tanzania 210, 211, 214
 - Uganda 210, 211, 213–14, 220, 223–4
- health and safety regulation 30, 40, 47
 - Australia 156, 161
 - Canada 47
 - developing countries 176
 - EU 75, 76, 94
- Humpherson, Ed 6, 9, 110, 132–44
- impact evaluation *see* RIA
- India, growth and poverty reduction 208
- interest groups *see* stakeholders
- international trade
 - compliance tests 58, 59
 - and investment barriers 40
- Ireland
 - cross-departmental steering groups 22
 - ‘screening’ RIAs 24
 - targeting strategies 20
- Jacobs, Colin 13, 106–31, 192, 195
- Jacobs, Scott H. 3–4, 11, 17–35, 172
- Japan, EU, bilateral dialogue with 82
- Kenya
 - Better Regulation Guide 204
 - corruption 204, 214
 - deregulation 204
 - donor countries 204
 - Economic Recovery Strategy for Wealth and Employment Creation (ERS) 202, 204
 - good practice activities 206
 - government effectiveness 210, 211
 - Institute for Public Policy Research and Analysis (KIPPRA) 204
 - and regional integration 210, 212
 - regulatory impact assessment 201, 204–5, 206, 210
 - SMEs 204

- Kirkpatrick, Colin 1–16, 46, 100, 171–89, 192
- Knight-John, Malathy 190–200
- Ladegaard, Peter 56–71
- Latin America 182, 184, 209
see also Mexico
- Macedonia
business advisory councils 253
competitiveness councils 253
EU compliance reviews 253
FDI 251
GDP growth 252
regulatory guillotine 248
regulatory quality control 251, 254
regulatory review 248, 252
- Mexico
administrative procedures 234, 243
Agreement for the Deregulation of Business Activity (ADAE) 229–30, 231, 232, 233
alternative remedies 235, 237
benefit–cost analysis 181, 229, 237
compliance costs 235
compliance monitoring 24
consultation 229, 232, 239, 241
corruption 227, 229, 231, 242
data collection 243
deregulation 227, 228, 229–31, 232–4, 241–3
Economic Deregulation Council (CDE) 230–31, 234
Economic Deregulation Unit (UDE) 228, 230, 231, 232, 233, 234, 241
electronic submission system 236, 239, 241
fast-tracking 235–7
Federal Administrative Procedures Law (LFPA) 229, 231–2, 233, 234, 235, 239, 240
Federal Commission of Better Regulation (COFEMER) 24, 232, 233, 234–7, 239, 240, 241, 242
Federal Council for Regulatory Improvement 234
Federal Regulatory Improvement Commission 233
Federal Standardization Law 232
GATT membership 227
government effectiveness 242–3
incentive system 240
inflation 227
institutional support 230, 233
legal framework 235, 241
Ministry of Public Administration 240
NAFTA membership 227, 229, 231
National Regulatory Improvement Programme 233
OECD Recommendations 230, 233, 234, 241
Presidential Legal Counsel 230, 231, 232, 234, 239, 240
quality monitoring 232–3, 237, 242
regulation moratorium 237
regulatory results 240–43
RIA 180, 183, 227–46
RIA, current system 233–40
RIA development history 228–33
SECOFI (Trade and Industrial Development Ministry) 228, 231
SMEs 229, 239
stakeholders 233, 234
taxation 234
technical standards 228–9, 231, 232
Tequila Crisis 229
training programmes 237, 241, 243
transparency and accountability 228–9, 232, 233, 234, 239, 240, 241, 242, 243
Transparency Law 239
- Moldova
business impact reviews 253, 261, 263, 264
competitiveness 262, 264
consultation 262, 264
corruption 263
donor agencies 263
economic growth 252, 261, 263, 264
EU Action Plan 262, 263–4
FDI 251, 261
government effectiveness 263
guillotine review 263
legal and administrative framework 262, 264
Regulatory Guillotine 248, 256

- regulatory quality control 251, 252, 253, 254, 262
- regulatory reform 261–4
- RIA 256, 263, 264
- transparency 262, 264
- World Bank assessment 262–3
- Montenegro 253, 255
- NAFTA 227, 229, 231
- neoclassical economics, pressure on
 - RIA methods 29
- Netherlands
 - administrative burdens 32
 - frequency tests 64
 - public consultation processes (test panels) 20, 155
 - regulatory budget 42, 45
 - regulatory quality indicators 49
 - Royal Netherlands Embassy 202
- New Zealand
 - compliance monitoring 24
 - consultancy services 20
 - policy impact assessment 129
 - targeting strategies 20
- OECD
 - administrative burdens, standard cost model (SCM) 32–3
 - best practice guidance 2–3, 5–6, 94, 159–60, 161, 162, 163, 177, 192, 230
 - economic performance links 10
 - EU, multilateral dialogue with 82
 - good governance programmes 36, 40, 74
 - guidelines in developing countries 177, 181, 183, 192
 - Guiding Principles for Regulatory Quality and Performance* 250
 - Investment Compact report (2004) 248, 252–3, 256
 - and Mexico 230, 233, 234, 241
 - New Public Management (NPM) approach 249–50
 - partial analyses 32–3
 - Recommendation on Improving the Quality of Government Regulation* 10, 250–52
 - Red Tape Scoreboard 32–3
 - regulation definition 172–3
 - regulatory quality indicators 37, 40, 41, 47, 49
 - Report on Regulatory Reform* 10–11
- Pakistan, growth and poverty reduction 208
- Parker, David 1–16, 171–89, 192
- policy-making approach 2–5
 - benefit–cost analysis (BCA) 28–30, 66
 - and good governance 3, 6–7, 9, 13
- poverty reduction
 - developing countries 175, 176, 185–6
 - Sri Lanka 195
 - Uganda 205, 208–9, 218
 - see also* welfare analysis
- problem-solving 18, 58
 - cost-effectiveness analysis 30–31
 - performance tests 62
 - see also* decision-making
- public consultation and disclosure 11, 12, 20
 - compliance (process) tests 57–61
 - regulatory performance indicators 44
- Sweden 20
 - see also* consultancy services; transparency
- Radaelli, Claudio M. 11, 12, 36–55, 98, 112, 139, 169, 192
- regulatory quality control 5–10, 12, 13, 18, 19–20, 214–16
 - central oversight mechanisms 21–2
 - compliance monitoring 24, 59
 - data collection and standards 26–7
 - early planning and preparation 23–4
 - institutional involvement, other 22–3
 - and policy process 215–16
 - scientific peer review 24–5
 - South East Europe 247–72
 - and timing 31
 - training and guidance 25–6
- regulatory quality indicators
 - assessment of 48–9
 - auditing bodies' review 46–7
 - Canada 47, 49
 - composite measures 38, 41
 - developing countries 172, 178, 179–80

- diversity and convergence, EU 50–51
- East Africa 209–10
- EU 46, 48–9, 50–51
- government measures 41–9
- index of *laissez faire* 38–9, 41
- objective 39–40, 41
- regulatory budgets 42–5, 49, 50
- scorecards 46
- simple measures 37
- Sri Lanka 194–5
- standards and checklists 47
- subjective 38–9, 41
- surveys 47–8, 49, 50
- Uganda 205, 219–20, 223
- World Bank 37, 39, 40, 242, 250
- see also* individual countries
- regulatory quality and performance measurement
 - administrative/regulatory culture changes 66–7
 - audit trails 65
 - common characteristics of RIA 58
 - compliance (process) tests 57–61, 62, 68
 - compliance tests, examples of 60
 - cost/benefit differences 66
 - evaluation strategies 68–9
 - frequency tests 64
 - function (outcome) tests 63–7, 68–9
 - non-compliance 60–61
 - performance (output) tests 61–3, 68
 - predictive ability 62–3
 - proposed policy changes 64–6
 - question examples 59, 62
 - stakeholder perceptions 67
 - systemic factor identification 63
 - and timing 62
- RIA 10, 26, 78
 - benchmarking 11, 57–61, 84, 96
 - benefit–cost analysis *see* benefit–cost analysis
 - definition and coverage 1–3
 - distributional effects 31
 - excessive regulation, effects of 247
 - framework 4
 - internationalization of 10–13
 - ‘light’ (early) 24, 26
 - mainstreaming into policy process 17–35
 - outcome evaluation 6, 9–10
 - output evaluation 7–9
 - partial analyses 31–3
 - policy-making approach *see* policy-making approach
 - processes 19–27
 - quality control *see* quality control
 - ‘smart regulation’ movement 18
 - South East Europe 248, 256, 258, 259, 261, 263, 264, 267
 - statistical methodology 39–40
 - targeting and scope 19–20
 - techniques *see* techniques
 - uncertainty analysis *see* uncertainty analysis
 - see also* individual countries
- risk assessment 7, 12, 25, 30, 33–4
 - Australia 30, 33, 146, 158, 163, 165
 - developing countries 176
 - EU 76, 80, 95
 - lifestyle risks 76
 - and policy changes, proposed 66
 - UK 33, 107, 114, 123, 137, 140, 141, 142
 - USA 21, 30
- Romania
 - consultation 253
 - economic growth 252
 - FDI 251
 - regulatory governance unit 248, 252, 253
 - regulatory quality control 251, 255
 - Sunshine Law 253
- Serbia
 - business impact reviews 253, 267
 - competitiveness councils 253
 - consultation 268
 - economic growth 252, 265
 - FDI 251, 253, 265
 - government effectiveness 265–7
 - legal and administrative framework 265–7
 - regulatory quality control 251, 252, 253, 255
 - regulatory reform 265–8
 - Regulatory Reform Council 248, 252, 253, 256, 266–8
 - RIA 256, 267
 - transparency 268

- Washington Consensus reforms 265
- World Bank Doing Business Indicators 265
- Slovakia 202
- SMEs
 - Australia 41–2, 168–9
 - business impact tests 29, 32
 - compliance tests 58, 60
 - EU 32, 84
 - and poverty reduction 208
 - regulatory budgets 46
 - Sri Lanka 194
 - Sweden 60
 - Uganda 208–9, 214, 218
 - UK 21, 46, 107, 110–11, 114, 121, 123, 124, 126, 128, 218
- South East Europe
 - administrative burdens 256, 257–9, 262, 264, 265–7
 - business start-ups 252, 253
 - competitiveness 247, 253, 258, 262, 264
 - consultation 253, 258, 259, 260, 262, 264, 268
 - corruption 263
 - donor agencies 248, 256, 258, 259, 260, 263
 - economic growth 247, 250, 252, 257
 - EU compliance reviews 248, 253, 262, 263–4
 - FDI 247, 248, 250, 251, 253, 261, 265
 - government effectiveness 248, 252, 253, 257–9, 260, 263
 - implementation tools 253
 - legal and administrative framework 257–8, 262, 264, 265–7
 - New Public Management (NPM) approach 249–50
 - OECD Investment Compact report (2004) 248, 252–3, 256
 - regulatory governance 249–56
 - regulatory quality control 247–72
 - regulatory reforms case studies 256–68
 - RIA 248, 256, 258, 259, 261, 263, 264, 267
 - transparency 253, 260, 261, 262, 264
 - welfare improvements 247
 - see also* individual countries
- South Korea, RIA 180, 181, 183
- Sri Lanka
 - benefit–cost analysis 193, 195
 - Competition Law 194
 - compliance monitoring 195
 - Concept Paper on RIA 191–2, 193–6
 - consultative process 191, 193, 195
 - corruption 191
 - decision-making 193, 194–5
 - Deregulation Process 193
 - Economic Service Charge 194
 - environmental impact assessment (EIA) 195
 - International Traffic By-Pass Control Rules 194
 - investment 194, 197
 - monopolies and mergers 194
 - policy transfer 193
 - political involvement 194
 - poverty reduction 195
 - privatization 191
 - Public Interest Program Unit 193
 - Public Utilities Commission 193
 - reform ‘buy-ins’ 197
 - regulatory quality issues 194–5
 - regulatory reform 190–200
 - rent-seeking 191
 - RIA template 195
 - screening criteria 195, 196
 - SMEs 194
 - social issues 194, 195
 - stakeholders 191, 193, 194, 195
 - sustainability 195
 - technical capacity 195–6
 - telecommunications 190
 - transparency 196
- stakeholders
 - compliance tests 57–61
 - function tests 67
 - performance tests 62
- Sweden
 - compliance tests 60
 - International Development Cooperation Agency (SIDA) 202
 - legislative proposals quality 47
 - public consultation processes 20
 - regulatory process quality standards 47
- SimpLex team 47

- SMEs 60
- Swedish Board of Industry and Commerce (NNR) 47, 60
- Tanzania
- Better Regulation Unit (BRU) 203, 206
 - Business Environment Strengthening (BEST) programme 201, 202–3, 206, 207
 - corruption 214
 - donor countries 202
 - good practice activities 206–7, 221
 - government effectiveness 210, 211, 214
 - informal economy 209
 - Public Service College 221
 - and regional integration 210, 212
 - RIA 201, 202–3, 210
 - stakeholders 214
 - technical working groups 203
 - training 203, 206, 221
- techniques 27–34
- benefit–cost analysis (BCA) *see* benefit–cost analysis
 - cost-effectiveness analysis (CEA) 30–31
 - distributional effects 31
 - partial analyses 31–3
 - pressures on methods 29
 - risk assessment and uncertainty analysis 33–4
 - and timing 31
- Thomas, Margo 247–72
- trade liberalization 74, 76
- training programmes
- Australia 25, 156–7, 160–61
 - developing countries 178, 179–80
 - Mexico 237, 241, 243
 - Tanzania 203, 206, 221
 - Uganda 205, 206, 220–21, 222, 224
 - UK 25, 121, 127
- transition economies 13, 182–3, 184
- South East Europe *see* South East Europe
- see also* developing countries
- transparency
- Australia 147, 148–51
 - in benefit–cost analysis (BCA) 29
 - compliance (process) tests 57–61
 - data quality standard 26
 - and decision-making layers 3, 9, 75
 - developing countries 12, 174, 176, 178, 182, 184–5, 186
 - East Africa 213–14
 - EU 12, 81, 82, 86, 88, 90, 92, 95
 - frequency tests 64, 68
 - Mexico 228–9, 232, 233, 234, 239, 240, 241, 242, 243
 - regulatory budgets 43, 46
 - regulatory quality assessment 50
 - South East Europe 253, 260, 261, 262, 264
 - Sri Lanka 196
 - Transparency International's Corruption Perceptions Index 214
 - UK 110, 111, 115, 119, 136
 - see also* consultancy services
- Uganda
- Cabinet Office 207, 219–20, 223, 224
 - consultation 219–20, 221
 - corruption 209, 214
 - Deregulation Programme 205, 220–21
 - and DFID 205
 - good practice activities 206–7
 - government effectiveness 210, 211, 213–14, 220, 223–4
 - informal economy 209
 - institutional support 219–20, 223
 - Management Institute (UMI) 206, 221
 - Medium-term Competitiveness Strategy 201
 - Ministry of Finance 207
 - phased approach and change management 222
 - piloting programme 223
 - policy transfer 217, 218
 - Poverty Eradication Action Plan 205
 - poverty reduction 205, 208–9, 218
 - Prime Minister's Office 219, 220, 223
 - private sector competitiveness 205
 - and regional integration 210, 212
 - Regulatory Best Practice Programme (RBP) 205, 220, 221
 - regulatory quality monitoring 205, 219–20, 223

- religious impact 218
- RIA 201, 205, 208–9, 216–24
- SMEs 208–9, 214, 218
- stakeholders 213–14
- training 205, 206, 220–21, 222, 224
- UK
 - accountability 9, 21–2, 110
 - administrative costs 141–2
 - alternative remedies 110, 119, 121–2, 136, 138, 140
 - Animal By-products Regulations 121
 - ‘arm’s length bodies’ 110
 - benefit–cost analysis 110–14, 119–20, 122–3, 129, 135–7, 140–41
 - Better Regulation Award 125
 - Better Regulation Commission (BRC) 12, 22, 23, 108, 110, 115
 - Better Regulation Executive (BRE) 12, 21, 24, 47, 108, 115, 134, 141, 222
 - Better Regulation Task Force (BRTF) 12, 22, 23, 35, 108–10, 121, 132–3, 137, 141, 222
 - bottom-up initiatives 115
 - British Chambers of Commerce (BCC) 46, 111–12, 132
 - BSE crisis 76
 - Cabinet Office 107, 108, 112–14, 115, 118–19, 120, 126, 136
 - central oversight mechanisms 21, 23
 - chocolate manufacture 128
 - competitiveness 111, 140
 - compliance costs 124
 - compliance monitoring 24, 60, 106, 111, 112
 - Confederation of British Industry (CBI) 111
 - consistency 110
 - consultancy services 110, 111, 115, 119, 124, 126, 136, 157, 161, 164
 - Customs & Excise 116, 117, 118, 123–4
 - decision-making 110, 111, 138, 139
 - DEFRA (Department for Food, Environment and Rural Affairs) 108, 117, 118, 125, 126
 - Department for Culture, Media and Sport 138
 - Department for Environment, Transport and the Regions (DETR) 120–21
 - Department of Health (DOH) 110, 117, 122, 123
 - Department for International Development (DFID) 202, 204, 205, 259
 - Department for Trade and Industry (DTI) 17, 107, 108, 110, 115, 117, 121, 138
 - Department for Transport 127, 138
 - deregulation 107
 - economic impact 126
 - environmental issues 118–19, 120–21, 126, 140
 - external review challenges 138–42
 - Food Standards Agency 128, 132
 - gateway programmes 126, 127
 - goal attainment scaling (GAS) 116
 - Hampton Report 141
 - Health and Safety Executive (HSE) 117, 118, 121, 122
 - health and safety standards 112, 117–19, 120–22, 126, 133
 - Home Office 117, 138
 - ‘Imaginative Thinking for Better Regulation’ 110, 121
 - Inland Revenue 116, 117, 118, 119, 122, 124
 - internal processes 112–14
 - Less is More* Report 141
 - Making Good Use of Regulatory Impact Assessments* 135
 - Manchester University questionnaire 181–6
 - maternity rights 121
 - methodology diffusion 125–7
 - monitoring and evaluation (M&E), weak 122–4
 - National Audit Office (NAO) 6–7, 8, 9, 34, 47, 108–10, 111, 115, 116, 120, 132, 133–4
 - National Audit Office (NAO), 2001 Report 135
 - National Audit Office (NAO), 2004 Report 136–7
 - National Audit Office (NAO), 2005 Report 137–8

- National Audit Office (NAO), 2006 Report 138
- National Audit Office (NAO) neutrality 139–40
- National Audit Office (NAO), RIA findings 134–42
- National Audit Office (NAO) rigidity 140–41
- New Chemicals Regulations (CHIP 3) 120–21
- non-compliance effects 137
- ‘null hypothesis’ 118–19, 136
- objectives setting 116–18
- Occupational Pensions Regulatory Authority (OPRA) 122–3
- Office of Fair Trading 133
- Panel for Regulatory Accountability (PRA) 21–2, 114–15
- Policy Effects Framework (PEF) 126
- policy-making process 112–14, 118, 121, 123, 124, 125–7, 134, 135
- private sector 111, 112
- productivity and economic growth 10
- public consultation processes (test panels) 20
- public sector audit 133–4
- Public Service Agreement target 21
- regulatory budget 45
- Regulatory Impact Unit (RIU) 12, 21, 25, 108, 115–16, 118–19, 122, 125–6, 127
- regulatory quality audit review 47, 108
- regulatory quality indicators 49, 108–10, 114–25, 132–3, 139
- regulatory quality scorecards 46
- RIA 2, 6–7, 8, 9, 19, 106–31, 217, 218
- RIA auditing 132–44
- RIA, early introduction 124–5, 135
- RIA, history 107–12, 134
- RIA shortcomings 138
- risk assessment 33, 107, 114, 123, 137, 140, 141, 142
- self-regulation 110, 112, 121
- Small Business Council 110
- Small Business Service (SBS) 21, 110–11
- Small Firms’ Impact Test (litmus test) 110
- SMEs 21, 46, 107, 110–11, 114, 121, 123, 124, 126, 128, 218
- ‘Smoking Kills’ White Paper 119
- stakeholders 107–12, 126, 135
- targeting strategies 20, 110
- taxation 121, 122, 123, 124
- technical content 136, 137
- timing 114, 120, 136
- Tobacco Advertising and Promotion (Sponsorship) Regulations 2003 119
- top-down initiatives 114–15
- training and guidance 25, 121, 127
- transparency 110, 111, 115, 119, 136
- voluntary codes of practice 110
- Work and Pensions 117, 124, 127
- uncertainty analysis 3, 4, 7, 33–4, 62
- USA
 - benefit–cost analysis (BCA) 30, 66, 155
 - business surveys 47–8
 - central oversight mechanisms 21
 - compliance monitoring 24, 60
 - data collection and standards 26–7
 - distributional effects 31
 - environmental regulation 47–8, 66
 - EU–US Guidelines on Regulatory Cooperation and Transparency 82
 - EU–US Summits 84
 - frequency tests 64
 - General Accounting Office 22
 - General Audit Office (GAO) 47
 - health and safety standards 30
 - inflation 12
 - Office of Information and Regulatory Affairs (OIRA) 21, 24, 42
 - Office of Management and Budget (OMB) 12, 27, 30, 42–5, 46, 60
 - partial analyses 31
 - performance tests 63
 - Pollution Abatement Costs and Expenditures (PACE) Survey 47–8

- productivity and economic growth 10
- Program Assessment Rating Tool (PART) 46
- public consultation processes 20
- regulation performance 17
- regulatory budget 42
- regulatory quality audit review 47
- regulatory quality indicators 49
- regulatory quality scorecards 46
- RIA origins 11–12
- risk analysis 21, 30
- risk assessment 33
- SMEs, business impact tests 32
- targeting strategies 20
- Unified Agenda of Federal Relations 23
- USAID program 259, 263
- Vietnam, growth and poverty reduction 208
- Welch, Darren 201–26
- welfare analysis 31–2
 - Australia 152–3
 - South East Europe 247
 - see also* poverty
- WHO, regulatory standards 75
- World Bank
 - Business Environment Adjustment Credit project 259
 - Doing Business Indicators 210, 212, 257, 265
 - Foreign Investment Advisory Service 202
 - good governance programmes 36, 172
 - Investment Climate Assessment* 265
 - regulatory quality indicators 37, 39, 40, 242, 250
 - World Development Report* (2005) 202, 213
- WTO regulatory standards 75