Index

abuse see dominant position abuse access
denial and consumer and market disadvantage 211, 278–9
and interoperability information 388–90
LDAP and Kerberos 293–4, 306, 308
market, through alternative distribution channels 386
and passwords, work group servers 293–4, 296–7
patent rights and information access 252–5
prices, penalty for unreasonable 425–6
source code 417
source code request by Sun 86–8, 412–13
Sun letter, absence of request for technology access 169, 178, 196
third-party see third-party access
and work group servers passwords 293–4, 296–7
Acohido, B. 443, 444
directory products leading to 22–3 and emerging standards and innovation 293, 294–5, 300
key distributed feature of 26–7 and LDAP 25
and mixed-mode operation 27–8
Adobe Flash Player 40, 148–9, 430, 431, 443
Ahlborn, C. 320, 324, 325, 339, 340
Airbus intervention 162
Albors-Llorens, A. 325, 332, 335
algorithm development and protection 295, 313
Anderman, Steven 258–73, 286, 300
Andreangeli, Arianna 167, 193, 195, 197, 200, 318–43
Apple
Apple Computer, Inc. v Microsoft Corp. 209, 310
iTunes format 443
iTunes, revenue from 441
Mac OS X operating system 10, 39
Microsoft interoperability collaboration 119, 425
Microsoft sued by, for copyright infringements 209
QuickTime 38, 131, 146, 224, 249–50
refusal to license technology 208, 300
Safari 430, 450–51
software availability and developer-related activities 349–50
and Windows Media Player 39, 65
applications barrier
entry barrier, US 454–5
reduction of 456–8
applications programming interface (API) 8, 14, 22, 30, 37, 240–41, 407
Areeda, P. 234
Arhel, P. 187
AT&T 97, 404, 405, 452, 454
AT&T Corp. v Iowa Utilities 246
authentication 23–4, 25
Averitt, N. 476
Ayres, I. 441–2
Azure cloud platform 356
Baldauf, Markus 369–92
Banasevic, Nicholas 47–75
Baskin, E. 284, 297, 298, 300, 302
Be Inc. lawsuit 221
Bebchuk, L. 480
Bellis, Jean-François 127–65
Bergqvist, C. 197
Blue Bubble and intra- and inter-domain interoperability 27
Blue Bubble replication mechanism 295
Bomse, S. 338
Bork, R. xv, 235, 237, 279, 471, 472, 475
Boughs, S. 334
Bowman, W. 102, 236
Bratton, W. 480
Brazil, open source software switch by government 457
Bresnahan, T. 348, 352–3
Brodley, J. 475
bundling see tying case
Calabresi, S. 483
Calkins, S. 433
Camilli, E. 431
Carlton, D. 344, 373
cartels 116, 196, 459, 463, 464, 466, 479
criteria for tying as abuse 380–87
foreclosure theory 144–56, 160, 164
foreclosure theory, broader consequences 154–6
interoperability issue 260–72
and new evidence, considered relevance of 143–4
objective justification for tying 156–61
objective justification, broader consequences 160–61
objective justification, Microsoft arguments 156–8
period following 423–7
reflections 187–200
standard of judicial review 48–9
two products, existence of, broader consequences 142–4
two products, existence of 137–44
Windows Media Player tying case 134–61
Chicago School
and international agreement on abuse of market power, possibility of 472, 475, 478–9
post-Chicago School arguments 373–5, 383
pricing perspective on non-price abuses (tying) 372–3, 374
versus incentives to foreclose potential competitors 355–7
Childers, S. 221, 407, 408, 418, 419, 423, 424, 425, 438
Chin, A. 297
Chrome 430, 450–51
CIFS (Common Internet File System) 15–16, 28–9
cloning 94–5, 409, 410, 414–15
cloud computing 216
codec compression technique 34, 35, 36, 38
COM/DCOM object-management system 13–14, 40
Commission Vertical Restraints
Guidelines 128, 137, 138, 142
common platforms
and technological integration 324,
327–8, 332, 333–5, 339,
342–3
see also tying, technological
integration and Article 82 EC
after Microsoft
communications protocols see
protocols
Compaq 210
competition
agreement to enhance 118–20
common international rules,
objections against 468–70
in computer industry, nature of
352–4
dominance of platform and anti-
competitive harm 399, 404, 405,
414, 434–5
elimination, extent of 286
elimination of 54–6, 58, 105, 264,
265–6, 378, 411
elimination risk in second market,
significance of 264–6, 269
entry barriers to competitors 356–7
and European integration as policy
473–4, 479
exclusion on secondary market 171,
172–3, 177, 184, 191, 276
foreclosure effect on 180
forward-looking provisions and
408–9
international competitive process
protection 470–71
and interoperability 242–5
law, and interoperability and
emerging standards 284–9
law and innovation protection,
international 482
law and monopoly 397, 400
law remedies, aims of 395–6
middleware, competitive importance
of 219
network, and coordination problem
358
and network effects 396–7
network, and ‘tipping equilibria’
358–9, 361, 362, 363
and networks, dominance and
compatibility 360–64
restoration of 396–8
Schumpeterian markets dynamics
346–7, 352–4, 356, 365, 367
standards and interoperability,
consequences of 300–301
and systems with network effects,
basic aspects of 357–60
and tying and technological
integration 323, 339–42
Windows Media Player and other
media players 38, 62–3, 70, 73,
74, 222
compulsory licensing 76–126
challenging factors 77–9
and cloning 94–5, 409, 410,
414–15
communications protocols 84, 85,
87–8, 89, 100, 119, 124, 250,
255–6
company’s dominance, challenge of
79–81, 90, 92, 99, 101
confidentiality controversies 120–21
and consumer demand 266
criteria for imposing 169–78
disclosure scope and adequacy
controversies 121–2
divulgation principle 80, 81, 87, 88,
98–102
EC Regulation 1/2003, Article 24
(1) 122
EC Treaty, Article 11 EC 93
EC Treaty, Article 30 99, 106
EC Treaty, Article 81 102, 114
EC Treaty, Article 82 78, 80, 88, 89,
91, 98, 100, 101, 105, 107, 114,
116, 125, 126
EC Treaty, Article 82 (d) 88
EC Treaty, Article 295 99, 105–6
EEA Agreement, Article 54 91
facts and the law 92–115
file and print services 83, 90, 94
fines 91, 115–18, 122, 125
general principle questions 115
and IMS Health tests 108–11
and innovation incentive 99–101,
104–5, 123–5, 248–9
and intellectual property rights 88,
98–9, 100–101, 119, 121
intellectual property rights, different 111–13
intellectual property rights and IMS Health criteria 102–8
and interoperability across mixed networks 84–6
‘Interoperability by Design’ initiative 119
interoperability with competitors, agreement to enhance 118–20
interoperability debate 92–5
and interoperability information 81, 88–9, 90–91, 94, 99–100, 104–5, 250
interoperability and open industry standards 110
interruption of previous supply, question of 95–7
legal certainty, need for 113–14
legal precedents on setting 116
licensed material, nature of, and rights covering it 105–8
licensing cases and follow-up 120–25
market share analysis 146–8
open source software 121
and patent, copyright and trade secret law 79, 100, 104, 107, 111–13, 251, 253
PC revolution effects 82
price controversies 122–5
refusal to licence see refusal to licence
relevant market question 90–91
request and complaint 86–92
research and licensing remedy and specification 97–8
reverse-engineering characteristics, problems with 93
server revolution, effects of 82–4
and service boundaries 94–5
source code copyright 112–13, 122
Statements of Objection 88–92, 128, 132, 136
subsequent developments 118–20
Sun source code access request 86–8, 412–13
supposed absence of novelty of infringements 115–16
and technical background 81–6
US antitrust lawsuit agreement 88
US jurisprudence and EC practice on 78–9
user and group administration services 83, 90, 94
‘Windows Principles: Twelve Tenets to Promote Competition’ 119
and work group servers 83–4, 90–91, 99–100
see also licensing, interoperability
computer systems, definition and elements 347–9
confidentiality controversies 120–21
consumer choice
and compulsory licensing 266
and distinctness of products 141
EC Treaty, Article 82 477
EC Treaty, Article 82(b) 100, 173, 174, 177, 194
and interoperability 359
and market power leverage 374–5, 376–8
and marketing new products 477
and new customers and interoperability 363
new product rule 267–8, 269–70, 276, 402–3
non-work group server operating systems considered better by consumers, effect of 173–4, 194
perceived demand and tying case 64–5, 67–8, 69–70, 73–4
and tying case 65–6
Windows 2000, and lock-in of customers 300, 308, 309
consumer welfare
and technical information limitation 173, 174, 175–6, 193–6
as basis for international agreement 475–8
definition 476
EC Treaty, Article 81 416, 488–9
EC Treaty, Article 82 341, 372, 378, 384, 473, 477, 489
and lack of interoperability and compatibility 399–400, 402
and remedies in EC Microsoft cases, quest for 399–401, 410–11, 414
and tying 384
see also social concerns
container files and libraries 35, 36
copyright

infringements, Microsoft sued by Apple 209
protection, extent of 312
source code 112–13, 122
Sun, and Microsoft antitrust violations and copyright infringement lawsuit 221–2

see also intellectual property rights; patents

CORBA (Common Object Request Broker Architecture) 14
Crane, D. 320
Crémer, J. 361, 362, 363
damages
Netscape Navigator private suit 435
private suits, lack of, in EU 436
private suits, US 221–2, 435–6
remedies in EC Microsoft cases, quest for 434–6

see also fines

DAP (Directory Access Protocol) 17–18
DEC, Alpha processors 10
Denaeijer, N. 114
Derclaye, E. 284, 285, 290, 310, 312
digital media description 33–4, 37, 38
directory description 16–17
disclosure of information and interoperability, emerging standards and innovation in software industry 308
licence agreement and disclosure of source code 404, 405
reasonable and non-discriminatory requirements 416
scope and adequacy controversies 121–2

see also compulsory licensing

Distributed File System (DFS) 16, 29
divestiture plan and dual monopolies claim (US) 218
divulgation principle (EC) 80, 81, 87, 88, 98–102
Dolmans, M. 77, 300, 322, 331
domain controllers 18, 20–21, 22, 25, 26–7
domains, role of 18–21, 28

and group behaviour 30–31
key distribution centre (KDC) 24
and mixed-mode operation 28, 29
and SAMBA 29
dominant position abuse
challenge of 79–81, 90, 92, 99, 101
treasure and consumer welfare effect, international 471–2
and leverage of monopoly power onto server operating systems market, threat of 408–9
market dominance see market dominance
and monopoly 217, 230, 238, 240–41, 245–7, 248–9, 261–3
platform dominance and anti-competitive harm 399, 404, 405, 414, 434–5

see also tying, compulsory licensing

DOS 9, 82
elimination of need for 213, 214, 223
predatory use of 221
Drexl, J. 77
duty to deal 95, 245, 246

see also interoperability, transatlantic divide and duty to deal

Easterbrook, F. 478

EC

All-IP Agreement (EC) 421, 423
Council Regulation 1/2003, Article 7 395
Council Regulation 1/2003, Article 9 394, 440, 448
Courts, name changes xix
European integration as competition policy 473–4, 479
‘implementation doctrine’, and international agreement on abuse of market power 463
Microsoft problem in Europe, roots of 399–436
No-Patent Agreement 421, 422–4
Treaty of Lisbon xix
US case, comparisons with 40–43, 60, 176, 184, 188–9, 234, 242, 247–53, 399, 400, 405–6, 415


EC Treaty, Article 11, and compulsory licensing 93

EC Treaty, Article 30, and compulsory licensing 99, 106

EC Treaty, Article 81
and consumer harm 416, 488–9
and exclusive distribution rights 474
remedies in EC Microsoft cases, quest for 396
and technology transfer agreements 102
and tying restrictions 128

EC Treaty, Article 82
commercial usage and tying 134–6
competition, elimination of 55, 56, 105, 264, 265–6, 378, 411
and compulsory licensing 78, 107
and consumer welfare 341, 372, 378, 384, 473, 477, 489
customer demand and distinctness of products 141
enforcement safeguarding, effective 55
essential facilities 383–4
and foreclosure, liability of 71, 72, 154, 339, 372
and future predictions 147
and innovation 325, 332, 341, 342, 464–5
and intellectual property rights 80, 98, 174–5, 188, 197, 261–3, 309
and international rules, uniform 483
and interoperability, emerging standards and innovation in software industry 284, 286, 291–3, 309
interoperability information, refusal to supply 91, 116, 291–3, 383–4, 404, 405
interoperability issue 258, 259, 260, 261–3, 264, 266–71, 273
licence refusal and exceptional circumstances 266–71
licence refusal as infringement 52, 168, 170–73, 190, 198, 259–63, 383, 402–3
limiting technical development, meaning of 286
and objective justification 323
pricing perspective on non-price abuses (tying) 370, 371–2, 375, 376–7, 378, 382, 383–4
remedies in EC Microsoft cases, quest for 394, 402–3, 404, 405, 411, 427, 436–7, 442, 445
and separate products 65, 66, 182, 183, 382
technological development and add-on integration 324
third-party lockout 383, 384, 391–2, 445
and tying abuse 64, 131–2, 139, 140, 163, 179, 181, 199, 427
tying, earlier cases 321–5
and tying, technological integration after Microsoft see tying, technological integration and Article 82 EC after Microsoft
Windows Media Player and distribution advantage 155
Windows Media Player tying case 127, 128, 132, 135–6, 140, 141, 147, 154, 155, 163

EC Treaty, Article 82(b)
abusive practices, prohibition of, and consumer prejudice 56, 57, 173, 174, 177, 194
and consumer choice 100, 173, 174, 177, 194
and interoperability, emerging standards and innovation in software industry 284, 289, 309–10
interoperability issue 258, 268, 270–71, 272
and media functionality in Windows 88, 128
remedies in EC Microsoft cases, quest for 402–3
and Statement of Objections 88, 128, 136
and entry barriers to competitors 356–7
full, and new customers 363
innovation and welfare 364–6, 368
and price theory 355
and smaller Internet providers 361–2, 363
economic aspects, tying 371–80
Chicago School 372–3
post-Chicago School 373–5
as pricing concern 375–80, see also
pricing perspective on non-price abuses
Economides, Nicholas 353, 393–462
EEA Agreement, Article 54
and compulsory licensing 91
Windows Media Player tying case 128, 138, 225
efficiency
defence concept, and tying and technological integration 325, 328, 339–41, 343
distribution argument and pricing perspective on non-price abuses 387
enhancing effects and threat of foreclosure 319–20, 323, 324, 336
gains, and tying case 325, 328, 339–41, 343
and international agreement on abuse of market power 481
Eklöf, D. 191
Embrace Extend Extinguish approach 305
EMC, Microsoft interoperability collaboration 119
enforcement
costs, responsibility for 438–40
problems, institutional aspects 437–40
and remedies in EC Microsoft cases, quest for 400
safeguarding effective 55
essential facilities
interoperability, transatlantic divide and duty to deal 280
and pricing perspective on non-price abuses 383–4
reasoning 261–2, 264, 265–6
US cases 110–11, 192, 193, 245–6
Evans, D. 167, 319, 320, 321, 324, 325, 327, 332, 334, 336–42 passim. 348, 349, 353
exclusion
competition on secondary market 171, 172–3, 177, 184, 191, 276
leveraging exclusionary abuse 264
work group server interoperability 411–13
exclusive distribution rights, and Article 81 EC 474
extraterritorial application of competition law 465–8, 483
Fejø, Jens 166–201
file and print services 15–16, 34–5, 83, 90, 94
fines 91, 115–18, 122, 125, 422, 425–6, 431–4
arithmetic of 116–17
and effect on infringement 431–2
increases 117–18
Intel 432
interoperability issue 260
see also damages
First, H. 433, 434, 460, 461
Flint, D. 296, 312
Flynn, L. 221
foreclosure
analysis, and tying case 68–72, 73
and CFI judgment 144–56, 160, 164
Chicago School versus incentives to foreclose potential competitors 355–7
and competition between systems, economic aspects of 354–64
competition, effect on 180
of competitor’s development, and monopoly 374
distorting market effects 329, 330
efficiency enhancing effects and threat of 319–20, 323, 324, 336
liability, and Article 82 EC 71, 72, 154, 339, 372
requirement, and Article 82(d) EC 134
roll-over discount effects 376–8
of stand-alone competitor, and tying 383, 384–5
of stand-alone providers 386–7
and tying and technological integration 322–3, 324–5, 331, 337–9, 340–41
and tying of Windows Media Player 180, 184–5
Forrester, Ian S. 76–126
Fox, Eleanor M. xv, 274–81, 320, 395, 465, 467–72 passim 480, 481, 482, 484, 485
France 467, 474
Friedman, D. 303

Gastle, C. 334
Gates, Bill (quotes) 166, 217, 290
Gateway 133, 210, 435
Gavil, A. 461
Geradin, D. 77
Gerber, D. 469
Germany 474
open source software switch by government 457
Gil-Moltó, Maria J. 344–68
Gilbert, R. 364, 365
Glaser, R. 133
Global Catalog 20–21, 294–5
globalization
and international courts 368
trade, advancing understanding of 484–5
see also international agreement on abuse of market power, possibility of
Google Chrome 430
Gordon, S. 312
Graf, T. 77, 322, 331
Greenstein, S. 348, 352
Group Policy 22, 28, 91
Gstalter, J. 167
Guzman, A. 480, 482

Hageman, K. 417
Hart, R. 291, 295
Hausmann, H. 187
Hawk, R. 114
Hellström, Per 47–75
Hogan, J. 309
Hovenkamp, H. 456, 478–9, 484
Hylton, K. 459

IBM
German contract 457
IBM Undertaking 290, 311, 403, 404
Innovation Data Processing v IBM 326, 327, 333
and interoperability 92, 94, 272, 435
and Linux 425
market security 90
and Microsoft MS-DOS operating system 9, 208–9, 210, 211, 213
and Microsoft’s interoperability principles 435, 457–8
OS/2 Warp operating system 214, 242, 249–50
SMB (Server Message Block) 16, 28–9
UNIX server 83
Windows 95, pre-release access withheld 211

IDL (Interface Definition Language) 13
Idot, L. 188
indispensability
access and interoperability, transatlantic divide and duty to deal 275
background and interoperability 288–9
and IMS Health test 109–10
information, nature of 172, 175, 190–93, 197
interface protocols 261–4
and network effects 402, 403–4, 414, 428
product 171, 251
question 53–4
and reverse-engineering 296–7
and tying, technological integration and Article 82 EC after Microsoft 323
of Windows 241
industry standards
information and interoperability 240–47
open, and interoperability 110
innovation
after Magill 309–10
and Article 82 EC 325, 332, 341, 342, 464–5
and competition, economic aspects of 352–3
competition and consumer harm 247–56
deterrence and tying 428–9
and emerging standards in software industry see interoperability, emerging standards and innovation in software industry and genuine technical development 311
incentive 99–101, 104–5, 123–5, 248–9
incentive, and interoperability 403
incentives, and third-party access 174, 175, 176–8, 196–7
and interoperability 409–10, 415
and monopoly, effects on 365
and new or enhanced products 310–11
and open source model 313–14
predatory 212–13, 216–17, 229, 231
quality and consumer value 315
reduction, and cloning by rivals 59–61
restriction, and interoperability 289
in software industry 307–9
technological, and changes by competitors, economic aspects of 356
and trade secrets 426
welfare-enhancing, risk of deterring 89
Intel
fine imposed 432
In re Intel Corp. 244
Intergraph Corp. v Intel Corp. 244
and Microsoft’s interoperability principles 458
technical information, refusal to disclose 244
as Windows development 10
intellectual property rights
abuse and alleged harm to consumers 57–8
antitrust debate 251–5
and Article 82 EC 80, 98, 174–5, 188, 197, 261–3, 309
and choice of business partners 52
different 111–13
and IMS Health criteria 102–8
and interoperability 306–7, 309–10, 316
licensing refusal and dominant position abuse 59
and pricing perspective on non-price abuses 389
protection 88, 98–9, 100–101, 119, 121, 488
protection impeding software innovation 314–15
protection of open interfaces, economic aspects 365–6
protection of software, current appropriateness of 312–13
protection for software innovation, considered necessity of 313–14
questions 113
refusal to licence as infringement of Article 82 EC, exceptional circumstances of 266–71
refusal to license and interoperability issue 62–3
see also copyright; patents
international agreement on abuse of market power, possibility of 463–86
advanced and developing economies, differences between 469
and cartel duration 479
and Chicago School 472, 475, 478–9
common international competition rules, objections against 468–70
competition law and innovation protection 482
and competitive process protection 470–71
consumer welfare as basis for international agreement 475–8
and consumer welfare definition 476
consumer welfare effect and dominant entrepreneurs 471–2
dominant entrepreneurs and prevention of effective competition 471–2
and economic efficiency 481
and EU ‘implementation doctrine’ 463
European integration as competition policy 473–4, 479
exclusionary practices and consumer toleration 476
and export cartels 466
extraterritorial application of competition law 465–8, 483
global trade, advancing understanding of 484–5
globalization and international courts 368
and international cooperation 439–40
international regulatory competition in competition law 480–82
international rules, uniform, and Article 82 EC 483
market entry, factors affecting 470–71
new product marketing and consumer choice 477
policy objectives in US and EU, evolution of 472–3
and race to top and bottom 480–81, 482
refusal to deal cases and consumer choice 477
and regulation exploitation 466
self-destruction monopoly and laissez-faire 478–9
and social concerns 469
state competition and individual competition law 481–2
and territorial sovereignty 467, 468–9
uniform international rules, benefits of 483
unilateral practices and problem of different policy goals 470–72
see also globalization
International Trade Organization (ITO) 463–4
Internet Engineering Task Force (IETF) 14, 16, 23, 24, 306
Internet Explorer
architectural bundling allegation 211–12
architectural bundling and reduced performance 212, 213
and ‘ballot screen’ distribution 447–50
download proposal 447–51
inability to delete 212, 232
integration and contract 406–8, 445–7
integration into Windows operating system 41–2, 88–9, 210–11, 215, 217, 218, 220, 224, 228–31, 233, 238
litigation 332–6
Microsoft bundling of 206
and Mozilla Firefox competition 430–31, 446
redundant Windows functions outsourced to 212
Interop Vendor Alliance (IVA) 119
interoperability 49, 55, 92–5, 258–73
across mixed networks 84–6
and applications programming interfaces (APIs) 8, 14, 22, 30, 37, 407
and causal connection 407
and compatibility 401–27
compatibility and refusal to supply information 401–2
competition elimination risk in second market, significance of 264–6, 269
and competition 242–5
with competitors, agreement to enhance 118–20
compulsory licensing and consumer demand 266
and consumer choice, economic aspects of 359
degradation and market superiority, economic aspects of 359–60
and discontinuation of supply 268
enforcement problems see enforcement
and entry barriers to competitors, economic aspects of 356–7
essential facilities reasoning 261–2, 264, 265–6
full, and new customers, economic aspects of 363
and incentive to innovate 403
and innovation 409–10, 415
innovation and welfare, economic aspects of 364–6, 368
and interchangability, difference between 409–10
monopoly abuse and dominance 261–3
network effects and barrier to entry 263–4, 265
new entrant requirements 270
new product rule 267–8, 269–70
and objective justification 267
objective justification, finding of an absence of 271–2
and open industry standards 110
and ‘patent maps’ 425
and PC revolution, effects of 82
and price theory 355
principles 457–8
questions 95
refusal to license and competition elimination in secondary markets 266–7, 268
refusal to license IPR as infringement of Article 82 EC, exceptional circumstances of 266–71
remedies in EC Microsoft cases, quest for see remedies in EC Microsoft cases, quest for, interoperability
requisite degree of 404–16
and smaller Internet providers, economic aspects of 361–2, 363
systems and definitions 5–32
‘Interoperability by design’ initiative (EC) 119
interoperability, emerging standards and innovation in software industry 282–317
access standard 295–7
and Active Directory 293, 294–5, 300
algorithm development and protection 295, 313
‘as intended’, ambiguity of phrase 291–2
Blue Bubble replication mechanism 295
comparative advantage improvement 308–9
competition consequences of standards 300–301
competition, extent of elimination of 286
and competition law 284–9
copyright protection, extent of 312
and disclosure order 308
Embrace Extend Extinguish approach 305
Global Catalog 20–21, 294–5
incentives, innovation and intellectual property 311–12
indispensability and reverse-engineering 296–7
and information availability 292
and innovation see innovation and intellectual property 306–7, 309–10, 316
intellectual property rights see intellectual property rights
and interface modification 301
interface protection 313
interoperability and indispensability background 288–9
interoperability information, identification of 294–5
interoperability, nature and extent of 290–97
LDAP and Kerberos access 18, 22, 24–6, 293–4, 306, 308
licensing and standards 301
and limiting technical development, meaning of 286
network effects, significance of 287
new product test 289
and objective justification 286
passwords, work group servers and access 293–4, 296–7
platform protection 301–4
protocol extensions and competitor restrictions 306
protocols, changes to basic 293–4
refusal to deal 285–6
and right to use 292
security risks to information 294–5
software sector background 286–7
source code protection 313
source code publication, early 299
and standardization of interfaces 297
standardization and technology levels 304
standards, background 298–300
standards, curtailing potential in emerging 305–7
Windows 2000 and lock-in of customers 300, 308, 309
and Windows as de facto standard 299, 303
and Windows lagging behind technologically 307–8, 314
Windows Media Player and standardization 303–4
Windows operating system 287–8
work group server see work group server interoperability
interoperability information 81, 88–9, 90–91, 99–100, 104–5, 250
access 388–90
and stand-alone providers 379–80, 385–6
updating of, for new versions of products 416
withholding 231–2, 242–5, 248, 249–50, 385–6
interoperability, transatlantic divide and duty to deal 274–81
access denial and consumer and market disadvantage 211, 278–9
competition exclusion on secondary market 276
and Computer Software Directive 277
essential facilities doctrine 280
indispensability of access 275
leverage strategies 276–7
new product rule and consumer demand 276
precedents and limits 275–6
US perspective 279–81
work group systems survey 277
see also international agreement on abuse of market power, possibility of; US Microsoft case
IP (Internet Protocol) 14, 25
Jackson, Colin 3–44
Java
licensing and alteration by Microsoft, and Sun 215, 216, 221–2, 406
litigation settlement 221–2
and Microsoft-specific interfaces 42–3, 211, 446
as middleware threat 406
Native Interface (JNI) 42, 215, 219
as object-oriented language 13
and operation system independence 40, 41, 250, 435
Remote Method Invocation (RMI) 42–3
and standards, importance of 299
Sun experiment 305–6
Virtual Machine (JVM) 41
Jenny, F. 400–401, 431
Jones, A. 326, 335
Kahneman, D. 445
Kaplow, L. 237, 484
Kasten, Tim 127–65
Katsamakas, E. 456, 457
Katz, M. 357, 358, 359, 360, 361, 362
KDC (key distribution centre) 24
Kerberos client authentication 23–4, 25, 26
Killick, J. 104, 301, 310
Kingstone, S. 457
knowledge consistency checker (KCC) 26–7, 30
Knox, N. 443, 444
Korah, V. 114, 287
Körber, T. 77, 200
Kovacic, W. 431
Krechmer, K. 284, 297, 298, 300, 302
Krim, J. 222
Kühn, K. 356, 357, 365
LAN (local area network) 26
Lande, R. 476
Langer, J. 195, 197
Larvick, M. 304
Lawsky, D. 248
Laycock, D. 396
LDAP and Kerberos access 18, 22, 24–6, 293–4, 306, 308
Lessig, L. 212, 224
Leupold, H. 77
Lévéque, F. 285, 286, 298, 302, 303, 308, 310, 312, 315
Leverage
argument and tying 427–8
exclusionary abuse 264
and interoperability, transatlantic divide and duty to deal 276–7
market power and consumer choice 374–5, 376–8
of monopoly power onto server operating systems market 408–9
and remedies in EC Microsoft cases, quest for 399, 400, 402
technological, EC comparison of US 234
theory as the logic for tying 233–8
Lianos, Ioannis 393–462
Licensing
after case concluded, problems with 242–3
agreement and royalties for Windows OS 211, 214
agreements, separate 421
Apple refusal 208, 300
cases and follow-up (EC) 120–25
compulsory see compulsory licensing
cross-licensing 426
and disclosure of source code 404, 405
fee levels 388–9
GPLv3 (General Public Licence), incompatibility with 424
and Java 215, 216, 221–2, 406
licence agreement and disclosure of source code 404, 405
licensed material, nature of, and rights covering it 105–8
obligations 220–21
refusal and dominant position abuse 59
refusal and exceptional circumstances, Article 82 EC 266–71
refusal as infringement, Article 82 EC 52, 168, 170–73, 190, 198, 259–63, 383, 402–3
restrictions on OEMs for Internet Explorer 406
and royalties (EC) 420–21
and royalties (US) 410
source code 421–2
and standards 301
Windows licensing to PC manufacturers 219–20
Linux 10, 29, 92, 110, 242, 288, 303, 307, 313–14, 425
Linux Information Project (LINFO) 347–8
success of 83, 85, 90, 96, 100, 102, 220
Lohr, S. 216, 217, 220, 239, 248
Lopatka, J. 324, 335, 336, 338, 339, 428, 434
McCrudden, C. 456
McGowan, D. 206
Mahlueg, D. 358, 363
Market
access through alternative distribution channels (competing media players) 386
behaviour coordination suggestion 196
consumer choice and new products 477
demand 171
distorting foreclosure effects 329, 330
distribution advantage 155–6, 163
elasticities for servers study 350–51
entry, indirect 353
entry, international factors affecting 470–71
evidence for allegation, lack of 128–9, 131, 133, 153–4
foreclosure, and tying and technological integration 322–3, 324–5, 331, 337–9, 340–41
marketing previously separate products in innovative manner 323–4, 332
network effects and competition 396–7
relevant market question and compulsory licensing 90–91
relevant markets definition 350–51
separation and price theory 351
share analysis 146–8
market dominance and antitrust intervention, arguments for and against 353–4
and creative destruction 352, 355–6
and degradation 359–60
effect 52, 55–6
factors explaining 213–15
leverage, and consumer choice 374–5, 376–8
and pricing perspective on non-price abuses 372
and technology sponsorship 361
and Windows Media Player tying case 163
and work group server interoperability 413–14
see also dominant position abuse
Markoff, J. 222
Marsden, P. 75
Matutes, C. 359
media functionality
and Article 82(b) EC 88, 128
and commercial usage, alleged failure of Commission to show link 183, 199
lack of investigation into demand for 129–30, 140, 141–2, 143
media player
description 35–6
multi-format of 441–2
streaming, and client PC operating systems as separate products 179, 180, 181–2
streaming and downloading 36–7, 38
and tying 32–40
Windows distribution with rival, dismissal of suggestion 429, 440–51, 461
Windows Media Player see tying and Windows Media Player
Microsoft Office 2007 service pack 2 launch and interoperability 458
middleware 40, 43
and interoperability information disclosure 219
Java as threat 406
Netscape Navigator as threat 215, 224, 276, 406
protection, and monopoly 406, 408–9
threat 215–16, 224–5, 242
Milgrim, R. 112
MIT, and Kerberos 23, 306
mixed-mode operation 27–8, 29–31
modular library structure 231
Moldén, R. 167, 196
Monitoring Trustee 122, 438–9
monopoly and competition law 397, 400
and dominant position abuse 261–3
and foreclosure of competitor's development 374
and innovation, effects on 365
predatory innovation 212–13, 216–17, 229, 231
and product tying 372–4
protection and middleware 406, 408–9
Montagnani, M. 324, 338
Motorola, Microsoft interoperability collaboration 119
Motta, M. 346, 362
Mozilla Firefox 430, 446
multi-master replication technique 26
Nalebuff, D. 319, 320, 372, 441–2
Neelie Kroes–Steve Ballmer agreement 423, 424
Netscape Navigator and Java 42, 43, 215, 303, 435
Microsoft and AOL/Time Warner lawsuit 221
as middleware threat 215, 224, 276, 406
Mozilla Firefox 430, 446
NetWare 307
and operation system independence
40, 41, 210–11, 215, 356, 435
private damages suit 435
technical information withheld claim
406–7

Novell
Microsoft interoperability
collaboration 119, 277, 414,
425, 435
and Microsoft’s interoperability
principles 89, 92, 457–8,
483
NetWare 83
private damages suit 435
Nullsoft, Winamp 38

object-oriented programming,
distribution of 12–14
objective justification 58, 59–61, 63
absence of, Windows Media Player
tying case 132
and Article 82 EC 323
CFI judgment 156–61
CFI judgment, broader
consequences 160–61

Microsoft Developer Network 51
network effects
barrier to entry 263–4, 265, 411
and competition 396–7
economic aspects of 349–50, 352,
353, 357–60
and incompatibility 405
indirect 349
and indispensability 402, 403–4, 414,
428
significance of, and interoperability
287
and tying and technological
integration 331, 338–9
new entrants 84, 268, 270, 352
new product
criterion, application of 56–9
denied to customer, and IMS Health
test 108–9
interoperability information,
updating of 416
interpretation of concept 193–4
marketing and consumer choice,
international 477
prevention of appearance of 171,
173, 174, 193–4, 195
qualification of meaning 58
rule and consumer choice 267–8,
269–70, 276, 402–3
test, and interoperability 289
Windows Media Player contested as
328–9
Nikulainen, K. 303, 313, 314
Nokia 457–8

O’Brien, K. 248
OECD 464
Ong, B. 324
open source
developers, pledge not to sue
424–5
products 29
software, and compulsory licensing
121
software and government bidding
process 456–7
Opera 394, 447, 450–51
operating systems 7–8, 10–11, 23–4, 38,
42, 65
Oracle 119, 290, 356
O’Rourke, M. 290
PAC (privilege access certificate) 24
Padilla, J. 319, 320, 321, 324, 325, 327, 332, 334, 336–42 passim
Pardolesi, R. 331, 332, 338
passwords, work group servers and access 293–4, 296–7
patents
description and enablement requirement 254
disclosure requirement, possible effects of 255–6
No-Patent Agreement (EC) 421, 422–4
patent, copyright and trade secret law (EC) 79, 100, 104, 107, 111–13, 251, 253
‘patent maps’, and interoperability 425
rights and information access 252–5
trade secrets and innovation 426
see also copyright; intellectual property rights
Pautke, S. 77
PC
manufacturers and browser installation 451
operating systems market 350
pre-installed, and tying case 65–6, 67–8, 74
revolution, effects of 82
Peeperkorn, L. 102
penalties see fines
Peritz, Rudolph J.R. 205–57
Petrucci, Carlo 463–86
PFIF (Protocol Freedom Information Foundation) Agreement (with SAMBA) 424–5
Pitofsky, R. 395
platform
complementarities, economic aspects of 349
definition 347–8
dominance of, and anti-competitive harm 399, 404, 405, 414, 434–5
neutrality problem 404–5
protection, and interoperability 301–4
plug-ins 41
price theory
discrimination theory, and tying 236–8
and interoperability 355
and market separation 351
pricing
controversies 122–5
predatory 320, 329, 331, 337, 361
pricing perspective on non-price abuses 369–92
bundling, EC definition 371–2
bundling and foreclosure of stand-alone competitor 383, 384–5
bundling intervention and efficiency and consumer harm 375
CFI criteria for tying as abuse 380–87
Chicago School see Chicago School consumer power and market power leverage 374–5, 376–8
dominance in tying product 381
EC Treaty, Article 82 370, 371–2, 375, 376–7, 378, 382, 383–4
economic principles of tying 371–80
efficiencies in distribution argument 387
essential facilities cases 383–4
foreclosure effects from a roll-over discount 376–8
foreclosure of stand-alone providers 386–7
incremental pricing incentives of supplying WMP 379
and intellectual property rights 389
interoperability information access 388–90
interoperability information and stand-alone providers 379–80, 385–6
interoperability information, withholding 385–6
licence fee levels 388–9
market access through alternative distribution channels (competing media players) 386
and market dominance 372
market power leverage 374
Microsoft’s abuses in a pricing framework 378–80
mixed bundling 371
monopoly and foreclosure of competitor’s development 374
monopoly and product tying 372–4
objective justification 387
pure bundling 371
refusal to supply cases 383–4
and remedies in EC Microsoft cases, quest for 435, 442–3
remedies, implications of 388–91
royalty rates 388, 421, 422–4
separate products 381–5
and third party access 387–91
third party server software providers, effect on 386–7
tying and consumer welfare 384
tying and normal value-added economic activity, problem of difference between 382
tying as a pricing concern 375–9
tying product not offered separately 385–6
and Windows Media Player 378–9
Windows Media Player unbundling with no price difference 390–91
protocols 10, 11–12, 84, 85, 87–8, 89, 100, 119, 124, 250, 255–6
changes to basic 293–4
Communications Protocols Licensing programme 412
extensions and competitor restrictions 306
extensions to standard 15
and free competition 174–5
and interoperability 409, 410
PFIF (Protocol Freedom Information Foundation) Agreement (with SAMBA) 424–5
server-to-server 412–13
standards and documentation 14–15, 30, 31
US Communications Protocol programme (MCPP) 417–18, 419, 423, 426
Psalti, E. 417
public procurement procedures as antitrust remedy 456–8
Rahnasto, I. 304, 316
Raw Native Interface (RNI) 42
RealNetworks
RealAudio format 441
RealOne Player 38, 222
RealPlayer 62–3
and tying case 89, 130, 133–4, 139, 148, 149, 152, 158, 276, 435
Reback, G. 431
Red Hat 458
refusal to deal
and consumer choice, international 477
interoperability, emerging standards and innovation in software industry 285–6
refusal to license
as abuse 402–3
and competition elimination in secondary markets 266–7, 268
IPR as infringement of Article 82 EC, exceptional circumstances of 266–71
IPR and interoperability 62–3
questions concerning 87, 89
refusal to supply
abuse, case law 51–2
cases 262, 268
cases and pricing perspective on non-price abuses 383–4
interoperability information abuse 50–61
Regibeau, P. 359
Reimann, C. 285, 286, 307, 312
remedies in EC Microsoft cases, quest for 393–462
access prices, penalty for unreasonable 425–6
Active Directory 405, 411
All-IP Agreement 421, 423
alternative remedies 455–9
applications barrier, reduction of 456–8
break-up into two companies proposal see US, break-up into two companies proposal
browsers and consumer choice 451
case background 393–6
CFI judgment, period following 423–7
cloning and disclosure effects 414–15
cloning and intellectual property rights 409, 410, 415
communications protocol and interoperability 409, 410
Communications Protocols Licensing programme 412, 415
competition law remedies, aims of 395–6
competition, restoration of, and incentives for market actors 397–8
competition, restoration of 396–8 and consumer harm 399–401, 410–11, 414
consumer harm and lack of interoperability and compatibility 399–400, 402
critical assessment 437–51
cross-licensing 426
damages 434–6
de facto standardization 458–9
default browser choice 447–51
disclosure, reasonable and non-discriminatory requirements 416
dominance and leverage of monopoly power onto server operating systems market, threat of 408–9
dominance of platform and anti-competitive harm 399, 404, 405, 414, 434–5
EC case 410–23
enforcement costs, responsibility for 438–40
enforcement system 400
fines and penalties imposed 422, 425–6, 431–4
forward-looking provisions and competition 408–9
GPLv3 (General Public Licence), compatibility with 424
implementation mechanism, difficulties with 416–27
and international cooperation 439–40
Internet Explorer and ‘ballot screen’ distribution 447–50
Internet Explorer download proposal 447–51
Internet Explorer integration and contract 406–8, 445–7
and leveraging theory 399, 400, 402
licence agreement and disclosure of source code 404, 405
licensing restrictions on OEMs for Internet Explorer 406
licensing agreements, separate 421
licensing and royalties (EC) 420–21
licensing and royalties (US) 410
market network effects and competition 396–7
media players, multi-format of 441–2
Microsoft Office 2007 service pack 2 launch and interoperability 458
Microsoft problem in Europe, roots of 399–436
Monitoring Trustee, independence of 438–9
monopolization and competition law 397, 400
monopoly protection and middleware 406, 408–9
Neelie Kroes–Steve Ballmer agreement 423, 424
network effects and barriers to entry 411
network effects and incompatibility 405
network effects and indispensability 402, 403–4, 414, 428
new product rule 402–3
No-Patent Agreement 421, 422–4
objective justification 402
open source software and government bidding process 456–7
open-source developers, pledge not to sue 424–5
PC manufacturers and browser installation 451
and penalties 420, 421, 422, 431–2
PFIF Agreement (with SAMBA) 424
platform neutrality problem 404–5 and pricing strategy 388–91, 435, 442–3
private damages suits, lack of in EU 436
private damages suits, US 435–6
public procurement procedures as antitrust remedy 456–8
refusal to licence as abuse 402–3
royalty pricing levels 421, 422–4
server-to-server protocols 412–13
source code access 417
source code licences 421–2
standard setting organization 458–9
structural remedy option 451–9
substitutionary remedies 431–6
suspension of Decision, Microsoft application for 419–20
technical documentation, lack of sufficient 420–22
technical information withheld 406–7
third party developers, lack of active support for 421–2
trade secrets and innovation 426
tyling and innovation deterrence 428–9
tyling, lack of specific US remedy 427–8
atyng and leveraging argument 427–8
atyng of Windows Media Player 427–31
US case 406–10
US case comparison 399, 400, 405–6, 415
US Communications Protocol programme (MCPP) 417–18, 419, 423, 426
US and EC compliance 418–19
US Technical Committee monitoring 418, 419, 439–40
Windows 2000, lack of interoperability information and lock-in of customers 413–14
Windows distribution with rival media players, dismissal of suggestion 429, 440–51, 461
Windows N remedy and ‘must carry’ proposal 428–31, 440–51, 461
WordPerfect remedy and lack of interoperability information 435–6
work group server interoperability 402, 404–5, 416
work group server interoperability, exclusion of, and consumer harm 411–13
work group server interoperability, and refusal to supply full specification of protocols 413, 415–16
work group server, market dominance 413–14
remedies in EC Microsoft cases, quest for, interoperability and applications programming interfaces (APIs) 407
and causal connection 407
and compatibility 401–27
compatibility and refusal to supply information 401–2
duty and incentive to innovate 403
enforcement problems, institutional aspects 437–40
information, updating of for new versions of products 416
and innovation 409–10, 415
and interchangability, difference between 409–10
and ‘patent maps’ 425
principles 457–8
remedies imposed 259–60
requisite degree of 404–16
Renda, A. 331, 332, 338
reverse-engineering characteristics, problems with 93
and indispensability 296–7
and specification 8, 29
Rhapsody subscription service 222
Ridyard, Derek 77, 320, 324, 331, 369–92
Riordan, M. 365
Ritter, C. 272
Rochet, J. 239
Rotenberg, B. 287, 290, 301, 302, 304, 310
royalties 388
and licensing (EC) 420–21
and licensing (US) 410
pricing levels and competitive disadvantage 421, 422–4
reductions 423–4
RPC (remote procedure call) 12–13, 26
‘rule of reason’ standards, and tying and technological integration 327, 334–6, 337, 339–40, 341
Saloner, G. 358, 360–61
SAM (security accounts manager) registry 22, 23
SAMBA 28–9, 90, 296, 418, 435, 438
PFIF Agreement 424–5
Samuelson, P. 289, 296, 305, 366
Samuelson, W. 445
SASL (Simple Authentication and Security Layer) 25–6
Schmalensee, R. 325, 338, 353
Schoechle, T. 289, 298, 300, 305, 307
Schumpeterian markets dynamics 346–7, 352–4, 356, 365, 367
Schwarz, M. 358, 363
Scotchmer, S. 125, 289, 296, 305, 349, 357, 366
security risks to information 294–5
SASL (Simple Authentication and Security Layer) 25–6
source code protection 313
separate companies, break-up into two companies proposal 452–5
separate products 65, 66, 182, 183, 381–5, 382
and distinct demand for each product 321–2, 336, 339–40
marketing previously separate goods in innovative manner 323–4, 332
streaming media players and client PC operating systems as 179, 180, 181–2
and tied products and coercion of customers 321–2, 324, 325, 326, 328–9, 330–31, 335–7, 341, 343
server-to-server protocols 412–13
service boundaries, and compulsory licensing 94–5
Shapiro, C. 357, 358, 359, 360, 361, 362, 455, 460
Shavell, S. 484
social concerns
international 469
see also consumer welfare
software
availability and developer-related activities, economic aspects of 349–50
development kits (SDKs) 37
integration strategy, judicial deference to 222–38
integration strategy, Microsoft’s 223–5
levels of 6–7
Sony Ericsson 119
source code
access 417
commingling and technological integration 231–2
copyright 112–13, 122
licensing 404, 405, 421–2
programs 6–7, 60
protection 313
publication, early 299
standardization
de facto 330, 331, 338, 342, 458–9
emerging standards and innovation in software industry see interoperability, emerging standards and innovation in software industry
of interfaces 297
‘rule of reason’, and tying and technological integration 327, 334–6, 337, 339–40, 341
standard setting organization 458–9
Microsoft on trial

state challenges, individual 407, 408, 410, 442
competition and individual competition law 481–2
Stephan, P. 479, 482, 483
streaming media players and client PC operating systems as separate products 179, 180, 181–2
see also separate products
substitutability survey by Commission 350
substitutionary remedies 431–6
Sullivan, L. 469, 472
Sun
Active Directory information request 4, 14, 22
and centralized computing model 356
innovations, proposed 310
and Java see Java
letter, absence of request for technology access 169, 178, 196
Microsoft antitrust violations and copyright infringement lawsuit 221–2
Microsoft interoperability collaboration 119, 277, 354–5, 411, 425, 446
Microsoft refusal to provide interoperability 401–2
Microsoft SASL allegations 25–6
Microsoft settlement impact 60–61
and Microsoft’s interoperability principles 89, 90, 92, 290, 457–8
private damages suit 435
Solaris operating system 10, 259, 267, 269–70, 354–5, 357, 359, 360, 412–13
Solaris and Windows Media Player 65
source code access request 86–8, 412–13
Sun Microsystems, Inc. v Microsoft Corp. 216
tying claim under rule of reason approach for IE rejected 406
UNIX server 83
switching costs and customer lock-in 241
Symbian 119
Systems Network Architecture (SNA) 14
Taylor, M. 468
TCP (Transport Control Protocol) 14, 25
technological tying 218
interrelated products and consumer preference 327
and net competitive restraints 226–7
progress of 323
technology bundling, judicial deference to 225–33, 251
constraints, lack of evidence of 330
definitions and explanations 3–44
development and add-on integration 324
development limitation and consumer choice 173, 174, 177, 195, 268, 270, 271, 284, 289, 309–10
development limitation 57, 286
development, and tying case 198–9
documentation disclosure, difficulties with accuracy of 420
documentation, lack of sufficient 420–22
information limitation 173, 174, 175–6, 193–6
information withheld 406–7
innovation and changes by competitors, economic aspects of 356
integration and US antitrust rules 332–6
leveraging comparison 234
licensing see licensing
sponsorship and market dominance 361
technical background and compulsory licensing 81–6
technical compatibility 213–14
transfer agreements 102
transparency and problems with non-Microsoft servers/operating systems 29–30
Windows Media Player, alleged technical reasons for 182–3
Temple Lang, J. 104, 266
territorial sovereignty 467, 468–9
third-party access
developers, lack of active support for 421–2
lockout and Article 82 EC 383, 384, 391–2, 445
and pricing perspective on non-price abuses 387–91
programs, functionality issues 140, 150–51, 156–60
server software providers, effect on 386–7
Thyri, P. 77
Tilbury, M. 396
Tirole, J. 239
trade secrets
and innovation 426
patent, copyright and trade secret law (EC) 79, 100, 104, 107, 111–13, 251, 253
see also patents
tree domain hierarchy 19–21, 22
Treo Smartphones, Microsoft interoperability collaboration 119
Tridgell, A. 28–9
ty ing
abuse, and EC Treaty, Article 82 64, 131–2, 139, 140, 163, 179, 181, 199, 427
and bundling as common practices 371–2
bundling, EC definition 371–2
bundling and foreclosure of stand-alone competitor 383, 384–5
and causation concerns 233–4
and commercial usage 134–6, 321
and consumer welfare 384
and innovation deterrence 428–9
intervention and efficiency and consumer harm 375
lack of specific US remedy 427–8
leverage theory as the logic for 233–8
and leveraging argument 427–8
and monopoly 372–4
and normal value-added economic activity, problem of difference between 382
and price discrimination theory 236–8
as a pricing concern 375–80
pricing perspective on non-price abuses see pricing perspective on non-price abuses
product not offered separately 385–6
restrictions, and Article 81 EC 128
technological see technological tying
product, no compulsion to use 329
see also dominant position abuse
ty ing case, Windows Media Player
Airbus intervention 162
and Apple 39, 65
applications and systems software, difference between 64
balancing test and disregard of certain benefi ts 160–61
and bundling 65, 68, 70–71, 73
bundling element and effi ciency gains 186
bundling and harm to consumer 225
case law (EC) 63–4, 69
CFI judgment see CFI judgment and codec 34
and coercion denial 67–8
Commission Vertical Restraints Guidelines 128, 137, 138, 142
Commission's decision 128–32
and competition with other media players 38, 62–3, 70, 73, 74, 222
concentration cases comparison 129, 135, 139, 141, 145
and consumer choice 65–6
content providers and software developers, eff ect on 69–70, 72
contested as new product 328–9
cost element 184, 199
costs involved in multiple formats 146–7, 151, 152–3
Devil's advocate panel 129
and digital media 33–4, 37
and distribution advantage 155
earlier cases, and Article 82 EC 321–5
EC Treaty, Article 81 128
EC Treaty, Article 82 127, 128, 132,
135–6, 140, 141, 147, 154, 155, 163
EC Treaty, Article 82(b) 128, 136
EC Treaty, Article 82(d) 128, 132,
134, 135, 136
EEA Agreement, Article 54 128,
138, 225
files, formats and media file
standards 15–16, 34–5, 83, 90, 94
and foreclosure analysis 68–72, 73
foreclosure effect 180, 184–5
format standardization and third-
party usage 149, 150
incorporation in operating system
38–9
integration, alleged technical reasons
for 182–3
lack of choice to obtain without tied
product 180, 182, 183–4
market distribution advantage
155–6
and market dominance 163
market evidence for allegation, lack
of 128–9, 131, 133, 153–4
as ‘media functionality’ 61–2
media functionality, lack of
investigation into demand for
129–30, 140, 141–2, 143
‘must-carry’ provision 131, 225, 429,
440–51, 461
and objective justification 72–4, 183,
186–7
objective justification, absence of
132
and perceived customer demand
64–5, 67–8, 69–70, 73–4
and pre-installed PCs 65–6, 67–8,
74
and pricing perspective 378–9
and principle of proportionality
breach 186–7
Redistributable Code 39
remedies in EC Microsoft cases,
quest for 408, 427–31
remedy phase 224–5
remedy requirements 132–4
and separate products 64–7
and ‘shoes without laces’ analogy
137–8, 142
and standardization 303–4
and static and dynamic theories,
difference between 234–8
streaming and downloading 36–7
and technological development
198–9
third-party programs, functionality
issues 140, 150–51, 156–60
tying to Windows operating system
47, 49, 61–74
unbundled version requirements and
failure 132–3
unbundling with no price difference
390–91
uninstallation not technically
possible 184
US 221, 224
Windows version that did not
include (Windows XP N) 132–3,
330, 428–31
tying, technological integration and
Article 82 EC after Microsoft
318–43
alternative approach to technological
tying, search for 336–9
and common platforms 333–5
and competition 323, 339–42
and de facto standardization 330,
331, 338, 342
dominance abuse 327–8
EC case against Microsoft 327–32
economics and Article 82 EC
319–27, 332
economics-based approach, call for
323, 325, 332
and efficiency defence concept 325,
328, 339–41, 343
efficiency enhancing effects and
threat of foreclosure 319–20,
323, 324, 336
future directions 342–3
and indispensability 323
Internet Explorer litigation 332–6
and market foreclosure 322–3,
324–5, 329, 330, 331, 337–9,
340–41


Van Raden, L. 313
financial incentives of 213–14, 215
not backward-compatible 214, 241
Windows 2000
lack of interoperability information and lock-in of customers 413–14
and lock-in of customers 300, 308, 309
Windows Media Player see tying case, Windows Media Player
Windows Media Rights Manager 37
Windows Media SDK 37
Windows NT
development 10–11, 16, 22, 23, 26, 29
and native mode upgrade 27–8
‘Windows Principles: Twelve Tenets to Promote Competition’ (EC) 119
Winterscheid, J. 283
Woodard, L. 314
WordPerfect and lack of interoperability information 435–6
work group server interoperability 15, 53, 54, 58, 59–60
and compulsory licensing 83–4, 90–91, 99–100
emerging standards and innovation in software industry 287–8, 293–4, 297, 303, 304
exclusion of, and consumer harm 411–13
and heterogeneous networks 21, 24
and market dominance 413–14
products, effect on consumers of limited choice 173–4, 176, 194
and refusal to supply full specification of protocols 413, 415–16
remedies in EC Microsoft cases, quest for 402, 404–5, 416
systems survey 277
WTO
TRIPS Agreement 112, 169, 178, 186
Working Group on the Interaction between Trade and Competition Policy 463–4
X.500 directory standard 17, 22
Zeckhauser, R. 445