Index

Abacha, Sani (of Nigeria) 211
Abbas, Fouad 236
Abu Ghraib, prisoner abuse at 429
accountability
climate change financing 138, 146
cross-country assessment 42–3, 45
measurement of corruption 19, 23
public procurement 69
activist regulatory practices,
corruption prevention 378–400
anti-corruption agencies, role
379–83
future research suggestions 395–6
Montenegro, corruption prevention
see Montenegro, corruption prevention
Adaptation Fund (AF), climate change financing 139, 141–2, 147–8
ADB (Asian Development Bank) 65, 142
Administration for Children’s Services (ACS), New York City 475–6
administrative corruption 46
Administrative Procedure Law, Montenegro 390
advertising, public procurement 81, 83–4
AF Operational and Policy Guidelines (AF OPGs) 147
Africa, diamond production 225, 227, 230
see also specific countries
African Development Bank (AfDB) 142
African Union (AU) 65
agencies, anti-corruption 379–83
Aidt, T. 5
Alemiyegeha, Diepreye 365
Alatas, S. 230
Albanese, J.S. 192
Albanian Anti-corruption Monitoring Group 381
Alder, C. 244
Alexander, R.A. 353
Alford, C. 434
Allen, N. 421
al-Qaeda 335
American Bar Association 104
Andersson, S. 21, 195
Anechiarico, F. 6, 299
Angelson, A. 157–8, 159, 160, 167
Annual Allowable Cut (AAC) 183–4
Anti Money Laundering and Counter Terrorism Act (Cth) (2006) 326
Anti Terrorism, Crime and Security Act (2001), UK 323
Anti-bribery Convention (OECD), 1997 27, 105, 160, 319, 320, 328, 333, 360
and Australia 322–3, 337–8
business purpose test 333
and United Kingdom 323, 324
see also bribery
anti-bribery regime 319–39
accounting provisions 324–7
background 321–2
business purpose test 333–4
corrupt intent 327–8
facilitation payments 329–31
penalties/enforcement 334–6
recipients of bribes 331–3
scope/jurisdictional reach 322–4
Anti-Corruption Action Plan (2009), Montenegro 383, 384, 385, 387–8, 391
anti-corruption agencies, role 379–83
Anti-Corruption Commission, Montenegro 388, 390–91, 392, 397
Anti-Corruption Directorate, Romania 381
anti-corruption indicators, list 296–9, 300
anti-money laundering (AML) 319, 340–58
AML/CFT framework 209, 340, 341–3
crime combating strategy 348–50
design of framework 352–4
development of system 341–3
implementation/evaluation 354–6
integrity/governance challenges 350–52
Politically Exposed Persons 344–7, 352, 353, 360
research agenda 347–56
antiquities and artefacts, corrupt practices 241–54
illegal traffic, features 244–6
illicit antiquities and corruption 249–52
illicit antiquities and organized crime 246–9
organized crime 245, 246–9
research limitations 243–4
Antoine, R. 206
Antwerp Diamond Center robbery 235
Argentina 101, 103, 117
arms-related corruption 212
Arnold, A.K. 351
Asian Development Bank (ADB) 65, 142
assessment of corruption, at country level 34–62
agency-specific indices of public sector performance 54–7
analytical framework 36–40
approach 40–42
collection building (civil society and government) 42
conceptual dimension 36
corruption reporting mechanisms 45–6
country-specific indices of public sector performance 60
cross-country indices 20
data analysis and use 36, 37–8, 44–57
empirical tools/fieldwork 36, 37, 44
faces of corruption 35, 46–50
and governance 34, 35, 60
health and judiciary 53–4
implementation issues 36, 37
misgovernance, costs 50–52
objectives and choices 38–9
political economy studies 43
public agencies, trust in 44–5
quality/accessibility of public services 52–3
reform process, ownership and sustainability 35, 42–3
surveys 41–2, 44, 46
sustainability and capacity building 43–4
asset recovery 359–77
barriers in 370–71
confiscation, non-conviction based 363, 366–7
criminal prosecution and confiscation 364–6
defined 360
financial sector due diligence 373
foreign jurisdictions, actions initiated by 367–8
general process 368–70
informal assistance 364, 373–5
international commitments 359–63
international co-operation, types 364
international support for 362–3
legal framework 363–8
mutual legal assistance (MLA) requests 364, 366, 373–5
as priority 371–2
private civil action 367
stolen assets, defined 360
successful efforts 371–5
sufficiency of confiscation regimes 372–3
tracing and restraining stolen assets 372–3
transmitting an MLA request following informal assistance 374–5
Atwood, R. 243
Aulich, C. 135
Australia
accounting provisions 326, 327
and Anti-bribery Convention 322–3, 337–8
Commonwealth Criminal Code 330
Corporations Act (2001) (Cth) 326, 435
Corruption Perception Index 196
Criminal Code 336
Index 485

Department of Foreign Affairs and Trade (DFAT) 128, 132, 134
National Party 126–7
New South Wales Independent Commission Against Corruption 260, 262, 434
wheat marketing arrangements, evolution 123, 126–8
Wheat Stabilization Act (1948) 127
whistleblowing in 429–62
responses 438–9
see also AWB Limited (Australian monopoly grain exporter), sanctions-circumventing activities (Oil-for-Food Programme, Iraq)
Australian Wheat Board 126, 127, 128, 136
AWB Limited (Australian monopoly grain exporter), sanctions-circumventing activities (Oil-for-Food Programme, Iraq) 122, 122–37, 326
AWB Limited Board 129
and evolution of wheat marketing arrangements 126–8
lessons from scandal 135–6
understanding scandal 130–35

BAE bribery case (2006) 335
Bahamas 211
Bailey, Frederick 233
Baker Hughes case 328
Ball, R. 191
Bank of New York scandal (1999) 211
Bansal, Sushil 266–7
Barber, J. 123, 128
Bartos, S. 123
Basel Committee on Banking Jurisdiction 210, 214, 345
baseline manipulation, in REDD 159
Baughn, C. 319, 321
Baumgartner, F. 437
Beech, H. 248
Beggs, J.M. 419, 427
Bell, C. 255, 270, 277
benchmarking, second generation measurement tools 21
Benelux countries 225

Benson, G. 423
Benson, M.L. 191–2
Bester, H. 348
Bhargava, V.K. 285
Bhutto, Benazhir (of Pakistan) 211
Biderman, A. 193
bids, procurement
complementary bids 73
customer/market allocation 73
document preparation 81, 86–7
evaluation of bids 81, 90–91, 92–3
‘low balling’ 73–4
public bid openings, dirty tricks at 88–9
rigging of bids 72–5
rotation of bids 73
submission of bids 81, 87–90
suppression of bids 73
Blair, Tony 335
Blair-Kerr, A. 403, 404
‘blood diamonds’ 224
Blum, J.A. 208–9, 209
Boardman, C. 5
Boeing, criminal conspiracy involving 67
Bofin, P. 157
Bohannan, Mark 269
Boissevain, J. 232
Bolivia 44, 52, 54, 144
Bolongaita, E.P. 285
Bolton, L.C. 127, 129, 133, 134, 135
Bowman, B. 246
Brazill 101, 117
Bribe Payers Index (BPI) 150, 158, 196
bribery
assessment of corruption, country-specific 46–9
concealing 320
consequences for poor people 47, 51–2, 54
control of see Anti-bribery Convention (OECD), 1997; anti-bribery regime
corruption measurement tools 21, 22, 23, 25
definition concerns 191, 192–3, 198, 320
and facilitation payments 324
forms 49, 321
frequency of 48
486 Handbook of global research and practice in corruption

and integrity cultures 420
quality of service provision 52
‘routine grease payments’ 321
verification procedures 159
see also kickbacks
Bribery Act (2010), UK 323–4, 326,
327, 331, 334, 337
bribery control see anti-bribery
regime
Briggs, J.M. 424
Brown, A.J. 431, 433, 434, 445, 447
Brown, J. 439, 440
Brown, M. 157, 159, 160, 167
Brun, J.P. 367, 372, 374
Buildings Special Investigations Unit
(BSIU) 471–2
Bulkeley, H. 158
Bulte, E. 174
Burke, T. 135
Burns, T. 28
business development agents, as
kickback brokers 70–72
business purpose test, bribery control
333–4
business surveys 42
Byrne, E. 351

Califano, M.G. 122, 129
Callaghan, M. 425, 426
Calland, R. 430, 431, 432, 433, 435,
437
Cambodia 52, 184, 245, 249
Campos, E. 159, 164
Canadian Federal Accountability Act
(2006) 423
Cancun Climate Summit (2010) 144,
145, 146
capital flight, illicit 212–3
Capone, Al 341
‘carbon cowboys’ 157
carbon market, international 145
Carrington, P.D. 322
case studies 195, 203–4, 214–8, 219
see also specific cases
Casson, A. 180
Cayman Islands 210, 211
CDM (Clean Development
Mechanism) 141, 145, 161, 167
Central Africa 230
Central Europe 378, 381
CERs (certified emissions reductions)
141
CERT Insider Threat Study 276
Chaikin, D. 206, 211, 212, 213, 354
Chappell, D. 244
Charney, N. 243
Charron, N. 379, 382
Chaudhury, N. 28
Chavagneux, C. 204, 205, 207, 213
Chawla, S. 244
Chen, M. 216
Cheng, J. 397
Chile, e-procurement system 97,
99–100
China 25, 196, 243
Choo, K.-K. 255, 273, 274
CIFs (Climate Investment Funds) 139,
142, 148–9
Cirka, C.C. 423
civil service cultures 423
Civil Service Reform Act 1978 (US)
437
Clarke, R.V. 257
Clean Development Mechanism
(CDM) 141, 145, 161, 167
Clean Technology Fund 148
cclimate change financing
accountability issues 146
accreditation procedures, need for
increased transparency in 147–8
Adaptation Fund (AF) 139, 141–2,
147–8
Climate Investment Funds 139,
148–9
ccomplex global arrangements
139–40
corruption risks 138–9, 150–3
double accounting practices 146
governance challenges 138–9, 140–6
integrity, lack of 146
long-term climate finance 144–5
multilateral development bank funds
142–3
prevention of corruption 150–53
sources and volumes of money
139–40
ttransparency requirements 146–9
UNDP and World Bank forest
funds 143–4
UNFCCC funds 141–2
Climate Finance Options 144–5
Climate Investment Funds (CIFs) 139, 142, 148–9
cloud computing 273–4
Cockfield, G. 127
codes of conduct 422–6
Coenan, T. 166
Cohen, L.E. 255, 272
Cole, Terence/Cole Inquiry 122, 129, 130, 131, 325
collusive and non-collusive corruption, in forestry 177–9
Colombia 47, 50, 259
combating of financing of terrorists (CFT) 340
AML/CFT framework 209, 341–3
Commission on Narcotic Drugs 304
competition, public procurement 68
CompraNet (Mexican e-procurement system) 98
Conference of the States Parties (COSP) 312–3
‘conflict diamonds’ 234, 235
Conflict of Interest Law (2008) 383
Connolly, C. 259, 276
Conrad, J.A. 129
Convention for Limiting the Manufacture and Regulating the Distribution of Narcotic Drugs (1931) 304
Convention on Laundering, Search, Seizure and Confiscation of the Proceeds of Crime (Council of Europe), 1990 343
corruption schemes, procurement 69–80
bid rigging 72–5
front or shell companies 75–8
kickback brokers 70–72
schemes not involving kickbacks 78
‘corruption syndrome’ 20, 25
corruption transition 158
Corruption Vulnerability Assessment (CVA), public procurement 105–6
COSP (Conference of the States Parties) 312–3
corruption measurement tools 26
CPI (Corruption Perception Index), Transparency International 20, 21, 38–9
Crawford, D. 274
crime diamond-related see diamond trade, corruption in organized see organized crime proceeds of 354 situational causes 181 structural and psychological causes 179–81 subjective measures 194 see also specific crimes
Criminal Code Amendment (Bribery of Foreign Public Officials) Act 1999, Australia 322
Criminal Procedure Code, Montenegro 389
corrupt conduct, defined 432
corruption as a ‘cancer’ 162, 287
collusive and non-collusive, in forestry 177–9
defined 6, 18, 60, 160, 161, 190
and legality 130
‘output’ of 19
public and private 130
two broad types 193
types of 46–50
vs. unethical activities 122
Corruption Perception Index (CPI), TI
assessments of corruption, at country level 38–9
measurement of corruption 20, 21, 24
REDD+ schemes 150, 158
white-collar crime 190, 196
country ownership, third generation 326
CPI (Corruption Perception Index), Transparency International 20, 21, 38–9
Crawford, D. 274
crime diamond-related see diamond trade, corruption in organized see organized crime proceeds of 354 situational causes 181 structural and psychological causes 179–81 subjective measures 194 see also specific crimes
Criminal Code Amendment (Bribery of Foreign Public Officials) Act 1999, Australia 322
Criminal Procedure Code, Montenegro 389
cross-border corruption, public procurement 104–5
cross-country assessment see assessment of corruption, at country level
CSR (Corporate Social Responsibility) 419
Cullen, F.T. 197
cultural factors, and corruption 232–3
cultures of integrity, developing 416–28
CVA (Corruption Vulnerability Assessment), public procurement 105–6
Dakolias, M. 349
Damania, R. 174, 175
Damrosch, L.F. 125
data analysis, corruption assessment 36, 37–8, 44–57
Dauvergne, P. 175, 180
de Azavedo, A.M.M. 195
De Bary, W. 231
De Beers, Central Selling Organization 226
De Koker, L. 347, 348
De Launey, G. 249
De Maria, W. 21, 431, 433, 434
De Sousa, L. 158, 397
Deacon, R. 174
Dean, K.L. 419, 427
Debaine-Francfort, C. 243
deforestation 160
Dehn, C. 430, 431, 432, 433, 435, 437
Delaware, US 208, 220
Dell, G. 320, 321, 334, 336
Deming, S.H. 319
Democratic Republic of Congo (DRC) 144
Department of Buildings (DOB) 471
Department of Defense (DOD), US 67
Department of Foreign Affairs and Trade (DFAT), Australia 128, 132, 134
Department of Investigation, New York City see DOI (New York City Department of Investigation)
Detailed Implementation Reviews (DIR), World Bank 293, 294
developing countries 54, 77–8, 158, 171
Devine, Paul 276
Devine, T. 437–8
DFAT (Department of Foreign Affairs and Trade), Australia 128, 132, 134
diamond trade, corruption in 224–40
Antwerp Diamond Center robbery 235
certification 226–8
corruption or way of life 230–33
diamond pipeline and criminal acquisition of diamonds 225–30
gift-giving 227, 231–2, 237
institutions 228–30
Kimberley Process 228–9, 230, 234
and mafia 236–7
Max Fisher Bank 236
and organized crime 233–7
production/mining 225
rough trade 226
smuggling 234
at state level 230
Dietrich, C. 230
Directorate for Anti-Corruption Initiatives (DACI), Montenegro 379, 381, 385, 386, 389, 393
Competencies Decree 387
Competencies Regulation 393, 394–5, 398
disclosure programmes, voluntary 101
Dobel, J.P. 416, 417, 424
DOI (New York City Department of Investigation) 463–81
case study 472–3
City agencies, working with 470–77
corruption prevention lectures 467–8
education role of 467–79
effect 478–9
Executive Order 467
history 464–5
integrity monitor programme 473–4
investigations as opportunity 476–7
public infrastructure project, proactive monitoring 474–5
reforming City government in real time 468–70
Index 489

strength of, rooted in legal authority 465–7
theft of public dollars, exposing 475–6
unique role 470
Doig, A. 292, 397
donor agencies 30, 60, 139, 286–8
strategic use of information 290–92
Doole, J. 248
double counting, in REDD 159
Downer, Alexander 132
Downs, F. 161
Doxey, M.P. 125, 128
drug cartels 259
drug offences 117, 303–4, 341, 343
due diligence 106, 211, 373
Duncan, N. 397
Dunsdorfs, E. 126
duplicitous exclusion, corruption as 161

Easterly, W. 232
Economic and Financial Crimes
Commission of Nigeria 351
economic and social history, broad sweep of 12–7
Ecuador 51
Edelhertz, H. 192
Edmunds, A. 289
education, role in changing corrupt practices 463–81
Department of Investigation, New York City see DOI (New York City Department of Investigation)
public outreach, importance 477–9
Edwards, B. 275
efficiency, public procurement 69
Egmont Group 351
Egypt Wind Power Development Project (IBRD) 154
Eicher, S. 122, 130, 131
embezzlement 46, 49, 110
emergency procurement 108–9
Energy Efficiency Program, South Africa 154
enforcement
antiquities and artefacts, corrupt practices 249
bribery control 334–6
and culture 416–7
forestry 179
ICT risks and corruption 268–70
lack of in developing countries 54
procurement 100
Enron scandal (2002) 214, 419, 429
Environmental and Country Risk (ECR) 105–6
e-procurement 96–100
Estrada, Fidencio 264–5
ethical failure of executives 420–21
ethnographic studies 233
European Bank for Reconstruction and Development (EBRD) 100, 142
European Union (EU), public procurement 113
Evans, G. 124
Evans, M. 335
Evans-Pritchard, E. 231
evidence-based advocacy intervention, third generation corruption measurement tools 27
Expert Groups (EGs) 149
extortion 192–3
extradition of nationals 310–11
Eyben, R. 30
facilitation payments 242, 329–31
and bribery 324
false accounting 324, 325, 332–3
FATF (Financial Action Task Force) see Financial Action Task Force (FATF)
FATF-style regional bodies (FSRBs) 342
FCPF (Forest Carbon Partnership Facility Programme) 143
Feldman, Martha 289
Felson, M. 255, 257, 272, 277
Fidler, S. 247
Filer, C. 157
Financial Action Task Force (FATF) 204, 207, 208, 211, 214, 314, 319
Recommendations 209, 340, 342, 343, 344–5, 346, 348–9
financial crime see white-collar crime
financing, and OFCs 203, 214
financial intelligence unit (FIU) 343, 350, 352
Financial Services Regulatory Commission (FSRC), Antigua 218
Financial Stability Forum (FSF) 204, 206
Working Group 214
Fine, B. 287
first generation measurement tools 19, 20–21, 23–5
Fisman, R. 244
FIU (financial intelligence unit) 343, 350, 352
forensic audits, procurement 100
Forest Carbon Partnership Facility Programme (FCPF) 143
Forest Investment Programme (FIP) 142
forestry, corruption and crime in 170–88
collusive and non-collusive corruption, land allocation effects 177–9
corruption, land allocation effects 177–9
corruption, land allocation effects 177–9
connections between technical, managerial and oversight mechanisms 185–6
criminal justice sanctions vs. compatible incentives 184–5
illegal logging 171, 172, 173, 180–81
illegal timber, trade in 172
institutional erosion 175–6
multi-country case studies 176–7
natural resource curse 174–9
prevention, detection, suppression and restoration framework 179
professionalism and ethics 185
public controls 186
research priorities 184–6
sectorally targeted vs. general governance reforms 184
situational crime prevention techniques 179–86
Annual Allowable Cut, deducting of illegal harvest from 183–4
incorporating security in design of tenures/licences 182–3
licence award and renewal, security performance 183
policy and operational recommendations 182–4
research priorities 184–6
standards and security planning requirements 183
suspicious wood products 172, 173
Forestry Management Unit (FMU) 181–2
France 101
fraud offshore shell bank 76–7, 210–11, 214–8
corruption, land allocation effects 177–9
and white-collar crime 192
Friedrichs, D.O. 200
Fritzen, S. 285, 290, 295
front companies, use of 75–8
FSF (Financial Stability Forum) 204, 206
Working Group 214
FSRC (Financial Services Regulatory Commission), Antigua 218
Fujimori, Alberto 212
Gallagher, Rodney 209
Galtung, J. 128
Gardiner, J. 190
Garnica, Martha 259, 268
Garvin, David 288
GDP (Gross Domestic Product) 65, 66
Geary, J.M. 355
GEF (Global Environmental Facility) 141, 144
Geis, G. 190, 192
Gemological Institute of America scandal (2005) 229–30
Georgia 44
Gerlagh, R. 18
Ghiya, Vaman Narayan 241–2
government reforms 184
‘Ghiya conspiracy’ (2003) 242
government reforms 184
‘ghost employees’ 270
Gibb, F. 335
Global Accountability Report, One World Trust 28
Global Climate Summit, Copenhagen (2009) 138, 146
incorporating security in design of tenures/licences 182–3
licence award and renewal, security performance 183
policy and operational recommendations 182–4
research priorities 184–6
standards and security planning requirements 183
suspicious wood products 172, 173
Forestry Management Unit (FMU) 181–2
France 101
fraud offshore shell bank 76–7, 210–11, 214–8
and white-collar crime 192
Friedrichs, D.O. 200
Fritzen, S. 285, 290, 295
front companies, use of 75–8
FSF (Financial Stability Forum) 204, 206
Working Group 214
FSRC (Financial Services Regulatory Commission), Antigua 218
Fujimori, Alberto 212
Gallagher, Rodney 209
Galtung, J. 128
Gardiner, J. 190
Garnica, Martha 259, 268
Garvin, David 288
GDP (Gross Domestic Product) 65, 66
Geary, J.M. 355
GEF (Global Environmental Facility) 141, 144
Geis, G. 190, 192
Gemological Institute of America scandal (2005) 229–30
Georgia 44
Gerlagh, R. 18
Ghiya, Vaman Narayan 241–2
government reforms 184
‘Ghiya conspiracy’ (2003) 242
government reforms 184
‘ghost employees’ 270
Gibb, F. 335
Global Accountability Report, One World Trust 28
Global Climate Summit, Copenhagen (2009) 138, 146
<table>
<thead>
<tr>
<th>Index 491</th>
</tr>
</thead>
<tbody>
<tr>
<td>Global Corruption Barometer, Transparency International 22</td>
</tr>
<tr>
<td>Global Corruption Report on Climate Change (TI) 140, 157</td>
</tr>
<tr>
<td>Global Environmental Facility (GEF) 141, 144</td>
</tr>
<tr>
<td>global financial crisis (GFC) 203, 214</td>
</tr>
<tr>
<td>Global Integrity Index (GII) 22, 23, 24</td>
</tr>
<tr>
<td>Global State of Information Security Survey (2011) 273</td>
</tr>
<tr>
<td>Godber, P. 403–4</td>
</tr>
<tr>
<td>Goodyear Tire and Rubber Company 260</td>
</tr>
<tr>
<td>Goredema, C. 354</td>
</tr>
<tr>
<td>Governance and Anti-Corruption strategy (GAC)</td>
</tr>
<tr>
<td>Sierra Leone 38–40</td>
</tr>
<tr>
<td>World Bank 287, 288</td>
</tr>
<tr>
<td>Grabovsky, P.N. 276, 277</td>
</tr>
<tr>
<td>Grains Council of Australia (GCA) 124, 127</td>
</tr>
<tr>
<td>grand corruption 50, 211–2</td>
</tr>
<tr>
<td>Graycar, A. 277</td>
</tr>
<tr>
<td>GRECO (Group of States Against Corruption), Council of Europe 385</td>
</tr>
<tr>
<td>Greenberg, T.S. 347, 349, 355, 373</td>
</tr>
<tr>
<td>greenhouse gas (GHG) emissions 138</td>
</tr>
<tr>
<td>Gross, David 236–7</td>
</tr>
<tr>
<td>Gross Domestic Product (GDP) 65, 66</td>
</tr>
<tr>
<td>Ground Zero recovery project, New York City 474</td>
</tr>
<tr>
<td>Grundlach, E. 158</td>
</tr>
<tr>
<td>Guatemala 44, 45</td>
</tr>
<tr>
<td>Guinea 46, 47</td>
</tr>
<tr>
<td>Gurgur, T. 54</td>
</tr>
<tr>
<td>Hallak, J. 397</td>
</tr>
<tr>
<td>Haller, D. 233</td>
</tr>
<tr>
<td>Hanley, M. 267</td>
</tr>
<tr>
<td>Hardesty, L. 274</td>
</tr>
<tr>
<td>Hawken, A. 21</td>
</tr>
<tr>
<td>Head, B.W. 27</td>
</tr>
<tr>
<td>Head, M. 397</td>
</tr>
<tr>
<td>headline indices 20</td>
</tr>
<tr>
<td>health care, corruption assessment 53–4</td>
</tr>
<tr>
<td>Heeks, R. 255, 270, 275, 289</td>
</tr>
<tr>
<td>Heibrunn, T. 386</td>
</tr>
<tr>
<td>Heidenheimer, A. 4</td>
</tr>
<tr>
<td>Heimann, F. 320, 321, 334, 336</td>
</tr>
<tr>
<td>Heizman, R. 416</td>
</tr>
<tr>
<td>Helkamp, J. 191</td>
</tr>
<tr>
<td>Hewlett-Packard 267</td>
</tr>
<tr>
<td>Heywood, P.M. 21</td>
</tr>
<tr>
<td>High Level Advisory Group on Climate Finance 145</td>
</tr>
<tr>
<td>Hindess, B. 158</td>
</tr>
<tr>
<td>Hipp, J.R. 195</td>
</tr>
<tr>
<td>historical aspects of corruption 12–7</td>
</tr>
<tr>
<td>Hitt, J. 210</td>
</tr>
<tr>
<td>HK SAR v Chan Tak Ming 412</td>
</tr>
<tr>
<td>HK SAR v Chu King Kwok 412</td>
</tr>
<tr>
<td>Hodess, R. 321</td>
</tr>
<tr>
<td>Hogan, J. 274</td>
</tr>
<tr>
<td>Honduras 47, 50, 51, 142</td>
</tr>
<tr>
<td>Hong Kong</td>
</tr>
<tr>
<td>Ethics Development Centre 410</td>
</tr>
<tr>
<td>Independent Commission Against Corruption see Independent Commission Against Corruption (ICAC), Hong Kong</td>
</tr>
<tr>
<td>police corruption 403, 405</td>
</tr>
<tr>
<td>Prevention of Bribery Ordinance 402, 403, 404, 408, 412, 413</td>
</tr>
<tr>
<td>Hooke, M. 127</td>
</tr>
<tr>
<td>household surveys 42</td>
</tr>
<tr>
<td>Howard, John 133, 134</td>
</tr>
<tr>
<td>Howes, S. 157</td>
</tr>
<tr>
<td>Huang, P. 397</td>
</tr>
<tr>
<td>Huberts, L. 27</td>
</tr>
<tr>
<td>Human Development Index (UN) 20</td>
</tr>
<tr>
<td>Hurricane Katrina, US 109</td>
</tr>
<tr>
<td>Hussein, Saddam 122, 124, 130, 133</td>
</tr>
<tr>
<td>Hussman, K. 382</td>
</tr>
<tr>
<td>IADB (Inter-American Development Bank) 65, 100, 142</td>
</tr>
<tr>
<td>IAIS (International Association of Insurance Supervision) 214</td>
</tr>
<tr>
<td>IBCCs (international business communities) 203, 206–7, 211</td>
</tr>
<tr>
<td>ICAC see Independent Commission Against Corruption (ICAC), Hong Kong</td>
</tr>
<tr>
<td>ICT see information and communications technologies (ICT)</td>
</tr>
</tbody>
</table>
ICVS (International Crime Victim Survey) 22, 194
IFCs (International Finance Centres) 204
IFIs (International Financial Institutions) 101, 104
illegality, poverty-driven 180
IMF (International Monetary Fund) 204, 418
IMR (inframarginal rents) 145, 155
incentives, kickback brokers 70–71
Independent Commission Against Corruption (ICAC), Hong Kong 9, 401–15
changing environment 408–13
creation 402–4
and Independent Review Committee 407, 408
legal responses 412
model at work 404–8
public support and collaborative governance 413
strategic changes 409–11
Indian Antiquities and Art Treasures Act 1972 242
Indonesia 144, 176, 185
inducements see bribery; kickbacks
information
access to, in procurement 102–4
defined 292
function 291
overload 289
role of in multi-project, multi-stakeholder organizations 288–92
scope 291
sharing of, in procurement 104
strategic use of, of multilateral donor agencies 290–92
information and communications technologies (ICT) 255–81
and corruption
countermeasures 275–7
financial services and consulting sectors 271–2
future risks 272–5
within government/law enforcement 268–70
in ICT industry 266–8
corruption, relationship with 256–66
crime displacement and ICT-based corruption 264–6
data access 276–7
e-procurement system 96, 97
situational cyber-corruption prevention measures 277, 278
technology as target of corruption 260–63
technology as tool of corruption 257–60
Information Clearinghouse Japan 435
inframarginal rents (IMR) 145, 155
integrity
codes of conduct 422–6
corruption measurement tools 19, 25, 27
ethical training 426–7
governance structures 426
integrity cultures, developing 416–28
Integrity Pact (IP) 102, 103, 153
Inter-American Convention Against Corruption (1996) 105, 305, 314, 360
Inter-American Development Bank (IADB) 65, 100, 142
International Association of Insurance Supervision (IAIS) 214
international business communities (IBCs) 203, 206–7
International Code for Public Officials (UN) 424
International Corruption Group (UK) 371
International Cricket Council (ICC) 274
International Crime Victim Survey (ICVS) 22, 194
International Finance Centres (IFCs) 204
International Financial Institutions (IFIs) 101, 104
International Monetary Fund (IMF) 204, 418
International Narcotics Control Board 304
International Opium Conventions (1912 and 1925) 304
International Organisation of Securities Commissions (IOSC) 214
International Panel on Climate Change (IPCC) 138
IOSC (International Organisation of Securities Commissions) 214
Iraq, circumventing sanctions against in Oil-for-Food programme 8, 77, 122–37
development and ‘gaming’ of programme 128–9
development of programme and sanctions against Iraq 123–6
humanitarian aspects 124–5
implementation of programme 125, 132
purpose of Oil-for-Food programme 125–6
see also AWB Limited (Australian monopoly grain exporter), sanctions-circumventing activities (Oil-for-Food Programme, Iraq)
Italy 101, 117, 304
Jacobellis v. Ohio 189
Jacobs, J.B. 6, 299
Jan, C. 433, 434
Jang Gyeong-ho 267–8
Japan, Hatoyama initiative 144
Jersey 210
Jessop, M. 166
John Morony Correctional Centre, Australia 260
Johnson, D.T. 190, 191, 193
Johnston, M. 4, 5
Joppe, Marion 294
judiciary, corruption assessment 53–4
Kaufmann, D. 26, 50, 54, 55, 60, 158, 244, 292, 294
Kazakhstan 211
Kazdin, A.E. 195
Keeffe, P. 241
Keizer, G. 267, 274, 276
Kenya 65
Khan, M. 5
Khmer objects/art 245, 248, 249
kickbacks
AWB scandal 122, 130, 133
bid rigging 75, 114
brokers (agencies/local representatives) 70–72
description 69–70
information and communications technologies 267
schemes not involving 78
see also bribery
Kimberley Process 228–9, 230, 234
Kishor, N.M. 28, 174, 175
Klein, Y.L. 195
Klemencic, G. 381
Kleptocracy Initiative, US 371
Kitgaard, Robert 6, 159
Klum, V. 5
Knack, S. 21
Kosovo 101, 117
Kowalski, E. 276
Kray, A. 292
Kuriyan, V. 228
Kuwait, invasion by Iraq 123
Kyoto Protocol 145, 147
La Linea (Mexican crime syndicate) 259
Lai, L. 412
Lake, A. 216
Lambsdorff, J. 18, 21
Lamour, P. 397
Langseth, P. 197
Larmour, P. 5, 158, 161
Latimer, P. 439, 440
Latin America 46, 50, 65
see also specific countries
‘lattice of leadership’ 418
Latvia 44
Law on Administrative Disputes, Montenegro 390
Law on State Administration, Montenegro 391
LDCF (Least Developed Countries Fund) 141
LDCs (Least Developed Countries) 149
‘learning organization’ approach 288–9
Least Developed Countries Fund (LDCF) 141
Least Developed Countries (LDCs) 149
Ledeneva, A. 232
Handbook of global research and practice in corruption

Lehman Brothers, bankruptcy of (2008) 419
Lethbridge, H.J. 405
Leung, J. 438
Levi, M. 349
Lewis, D. 438
Liberia 23
Liddick, D. 195
Living Standards Measurement Surveys (LSMS), World Bank 44
local agents/representatives, as kickback brokers 70–72
logging, illegal 171, 172, 173, 180–1
Mackenzie, S. 244, 247, 248
MacLehose, M. 404, 405
MacLin, O.H. 195
Madagascar 45
Madoff, Bernie 216
Madzima, J. 354
Magrath, W.B. 181–2
Malataka, M. 268
Malaysia 176
Malinowski, B. 231
Malone, D.M. 124, 128
malware 274–5
March, James 289
Marr, D. 447
Mascarenas, D. 252
Mastruzzi, M. 292
Mathisen, H. 397
Max Fisher Bank, and diamond corruption 236
McCann, Hilton 205–6
McCarthy, J.F. 180
McCullagh, D. 265
McCusker, R. 7, 255
McElwee, P. 180
McFarlane, J. 322
McNamara, J. 259
McNaughton, A. 133
McWalters, I. 403, 404, 412
MDBs (Multilateral Development Banks) 140, 142–3, 144, 149
Meagher, P. 379
measurement of corruption 18–33
first generation measurement tools 19, 20–21, 23–5
future trends 29–31
institutions 27
key features of measurement tool generations 29
laws and policies 27
methodologies 19–20
second generation measurement tools 20, 21–5
sectors 28–9
specific corruption risks, assessing 25–9
subsystems and processes 28
third generation measurement tools 19, 25–9
Mehrez, G. 54
Memoranda of Understanding 392, 395, 465
Messick, R. 387
Messikomer, C.M. 423
Mexico
e-procurement system 97, 98–9
Urban Transport Transformation Program 154
Meyer, J.A. 122, 129
Miceli, M.P. 433
Michael, B. 397
MIEs (Multinational Implementing Entities) 147
Minimum Standards for Public Contracting (TI) 150, 151–2
Ministry of Finance, Montenegro 391
Ministry of Public Works 82
misgovernance, costs 50–52
Mishler, W. 25
Mishra, P.K. 195
Mishyna, N. 397
misrepresentation of facts, in procurement corruption schemes 78–80
Mistry, P.S. 210
misuse of public assets, procurement 110–11
MLA (mutual legal assistance) requests development of channels for 373–4
engagement of informal assistance channels before transmitting 374–5
where used 364, 366
modernization effects, in forestry 174
money laundering
anti-money laundering laws 340–58
Index 495

in forestry 174
offshore financial centres 208–10
and public procurement 76–7
Mongolia 23, 156
Monstrey Worldwide Services 235
Montenegro, corruption prevention
activists regulatory remedies 389–95
Anti-Corruption Action Plan 383, 384, 385, 387–8, 391
Directorate for Anti-Corruption Initiatives see Directorate for
Anti-Corruption Initiatives (DACI), Montenegro
legal framework 383–6
National Anti-Corruption Commission 388, 390–91, 392, 397
weaknesses in existing law/potential remedial strategies 386–9
Montesinos, Vladimiro 365, 372
Montoriol, J. 55
Morocco 25
Morris, A. 289
Mozambique 35, 47
Mulgan, R. 133
Multilateral Development Banks (MDBs) 140, 142–3, 144, 149
Multinational Implementing Entities (MIEs) 147
multi-project, multi-stakeholder organizations 285–302
indicators 292–9
information role of in corruption battle 288–9
strategic use 290–92
multilateral donor agencies
climate change financing 139
and corruption 286–8
strategic use of information 290–92
Munck, G.L. 21
Munn, C. 403
Murphy, R. 204, 205, 207, 213
mutual legal assistance see MLA
(mutual legal assistance) requests
Myers, A. 438
Nader, R. 431
Nagano, F. 351
NAMAs (Nationally Appropriate Mitigation Plans) 145
NAPAs (National Adaptation Action Plans) 141
NASDAQ 425
Nashei, H. 260
National Accountability Bureau Ordinance, Pakistan 116–7
National Adaptation Action Plans (NAPAs) 141
national culture 418
National Implementing Entities (NIEs) 147, 148
Nationally Appropriate Mitigation Plans (NAMAs) 145
natural disasters, emergency procurement 108–9
natural resource wealth 174–9
Nauru 210
Naylor, R.T. 348
Nazi leaders, tracing 341
NCB (non-conviction-based) confiscation 363, 366–7
Near, J. 433
nepotism 46, 47
New Institutional Economics 397–8
New South Wales Independent Commission Against Corruption 260, 262, 434
New York City Administration for Children’s Services 475–6
Charter 465–6
Department of Investigation see DOI (New York City Department of Investigation)
Not-for-Profit/Vendor Fraud Unit 476–7
see also United States (US)
New York Stock Exchange 425
New Zealand, whistleblowing responses 438
Newell, P. 158
NGOs (non-governmental organizations) 43, 60, 160, 229, 421
public procurement 102, 103, 104
see also Transparency International (TI)
Nichols, P.N. 329
NIEs (National Implementing Entities) 147, 148
Nigeria 351
Nolan, Lord 424
non-governmental organizations see NGOs (non-governmental organizations)
North, D. 43
Nye, J.S. 190–91

Obidinski, K. 180
OECD (Organization of Economic Cooperation and Development) 311, 436
Anti-bribery Convention, 1997 see Anti-bribery Convention (OECD), 1997
and offshore financial centres 204, 207
and procurement 28, 105
OFCs see offshore financial centres (OFCs)
Office of the Commissioners of Accounts, New York City 464–5
offshore financial centres (OFCs) 203–23
capital flight, illicit 212–3
case study, offshore bank fraud and corruption 214–8
corrupt use 208–14
grand corruption 211–2
offshore shell bank fraud 210–11, 214–8
organized crime and money laundering 208–10
definitions 204
financial crises and systemic instability 214
international tax evasion 213–4
legitimate uses 205–6
offshore shell bank fraud 76–7
secrecy structures 206–8
offshore shell bank fraud 210–11
case study 214–8
procurement 76–7
Oil-for-Food programme, Iraq see Iraq, circumventing sanctions against in Oil-for-Food programme
Oliver de Sardan, J. 231, 232
Olken, B. 25
Olsen, Stephen Alan 269–70
Oman, C.P. 21
Ombudsman Act 1973 (Australia) 432, 443, 444, 448
One World Trust, Global Accountability Report 28
Open Budget Index 22, 23
Open Democracy Advice Centre (ODAC) 435
‘open-pit’ process, diamond mining 225
‘Operation Hickey,’ Australia 271
Oppenheim, C. 289
Organization of Economic Cooperation and Development
organized crime antiquities, illicit 245, 246–9
diamond trade, corruption in 233–7
information and communications technologies 259
offshore financial centres 208–10
Osajda, M. 327, 331
Osleeb, J. 195
Overington, C. 123, 127
Pacheco, Rafael 265
Padgett, T. 252
Pakistan 25, 101
National Accountability Bureau Ordinance 116–7
Palan, R. 204, 205, 207, 213
Paldam, M. 158
Panama 144
Papua New Guinea 144, 184
Paraguay 35, 47, 51, 52
Parliamentary Commissioner for Standards (UK) 424
Parmalat scandal (2003-04) 214, 419
participatory approaches, third generation corruption measurement tools 26
PEFA (Public Expenditure and Financial Accountability Framework) 22, 23
Pellegrini, L. 18
penalties, bribery control 334–6
‘Pentagon Papers’ (1971) 429
PEPs (Politically Exposed Persons) 212, 344–7, 352, 353, 360
perception-based corruption indices 21
perceptions of corruption 19
Pereira, N.M. 195
Peru 51, 54, 365, 372
PES (Payment for Environment Services) 157, 166
petty corruption 46
Philippines 103, 104, 176
Picciotto, S. 206
Pierik, J. 274
Pietschmann, T. 244
Pilot Programme of Climate Resilience (PPCR) 142, 143
Pinochet Ugarte, Augusto Jose Ramon 212
Piquero, A.R. 191
Piquero, N.L. 191
Poission, M. 397
Poland 101, 117, 304–5
police profession, corruption in 199, 403, 405
political corruption scandals 211
Politically Exposed Persons (PEPS) 212, 344–7, 352, 353, 360
Polk, K. 244
ponzi schemes 203–4, 214–8, 219
poor people, restriction of access to public services 47, 51–2, 54
Pope, J. 418
Popova, L. 425
‘pork barrel’ projects 66
power differentials, and crime 180
PPCR (Pilot Programme of Climate Resilience) 142, 143
Pradhan, S. 159, 164
Prati, A. 55–6
Preston, N. 424
Prevention of Bribery Ordinance, Hong Kong 402, 403, 404, 408, 412, 413
prisons, illegal ICT use 261
private sector 421
procedural co-operation 306
Proceeds of Crime Act (2002) (Cth) 326
proceeds of crime, concept 354
process focus, third generation corruption measurement tools 26
procurement, corruption in 46, 65–121
advertising phase 81, 83–4
aim of public procurement 67–9
bid document preparation phase 81, 86–7
bid evaluation phase 81, 90–91
collective action against 104
contract performance, administration and supervision phase 81, 91–4, 95
corruption schemes 69–80
corruption vulnerabilities of procurement system 81–96
cross-border corruption 104–5
embezzlement 110
emergency procurement 108–9
governance concerns 94–6
information access/sharing 102–4
kickback brokers 70–72
misrepresentation of facts 78–80
misuse of public assets 110–11
norms and conventions 104–5
OECD indicators 28
prequalification phase 81, 84–6
post-qualification phase 81, 91
principles governing competitive public procurement system 68–9
project identification and design phase 81, 82
red flags
kickback brokers 71–2
misrepresentation of facts 80
misuse of public assets 110–11
shell or front companies 78
sources 113
roads sector 82, 101, 112
staff capacity 96
submission of bids phase 81, 87–90
tools and remedies
demand side mechanisms 101–5
e-procurement 96–100
external monitoring 101–2, 112
forensic audits 100
selective sanctioning 100
supply side interventions 96–101
voluntary disclosure programmes 101
yellow flags (early warning signals) advertising phase 83–4
bid document preparation phase 87
bid evaluation phase 92–3
bid submission phase 89–90
contract administration phase 95 generally 81
prequalification phase 84, 86
post-qualification phase 91
project identification and design phase 82
Procurement Watch Inc. (PWI), Philippines 103, 104
Promoting Revenue Transparency Companies Report (Transparency International) 22, 23
Prusak, L. 289
public administration, crimes against 192
public agencies
country-specific indices of public sector performance 54–7
extent of corruption in 47–8
purchase of positions in 46, 47
quality of service provision 52
rent-seeking behaviour 49
service delivery to citizens 47, 48, 49
trust in 44–5
public assets, misuse of 110–11
Public Concern at Work 435
Public Expenditure and Financial Accountability Framework (PEFA) 22, 23
Public Expenditure Tracking Surveys 28
public interest disclosure 433
Public Interest Disclosure (Protection of Whistleblowers) Bill 2002 (Australia) 439
public officials surveys 41–2
public procurement see procurement, corruption in public sector
agency-specific indices of performance 54–7
country-specific indices of performance 60
integrity cultures 420, 423–4
Public Sector Index programme, TI Colombia 28
public services, quality and accessibility 52–3
Qaydi, S.A. 195
Quah, J.S.T. 5
Readiness Fund, FCPF 143
Recanatini, F. 55–6
REDD (Reduced Emissions from Deforestation and Degradation) anti-corruption and REDD+ 157, 158, 159, 160, 162, 164–5
Multilateral Development Bank funds 142, 143
policies 164–5
REDD+ schemes, corruption in 8, 157–69
anti-corruption and REDD+ 157–67
definitions and types of scheme 160–62
diagnoses and cures 162–7
behavioural 163
political 165
structural 164
regulatory framework, public procurement 68
Reinikka, R. 28
Reiss, A.J. 193
rentier effects, in forestry 174
reporting practices
cross-country assessment 45–6
whistleblowing 456–7
research and practice, in corruption 3–11
Reuter, P. 348
Rhodesia 129
Riaño, J. 321
Rider, B.A.K. 341
Roberts, J.V. 196
Robinson, J. 205
Romania 25, 54
Anti-Corruption Directorate 381
Rose, R. 25
Rose-Ackerman, Susan 5, 159, 230
Rosenbaum, K.L. 28
Rosner, L. 232
Ross, M. 161, 174, 176
Rossi, P.H. 197
Rousse, A. 382
Rudd, Kevin 133
Russian Federation 50, 196
Ruud, A. 233
Ryan, T.J. 127

Sampford, C. 292
Santangelo, B. 319, 331
Sarbanes Oxley Act 2002 (US) 435
accounting standards 164, 166
Satyam Computer Services 272
Savedoff, W. 28
Scaling-up Renewable Energy in
Low-Income Countries Pilot Programme (SREP) 142, 143, 149
SCCF (Special Climate Change Fund) 141
schemes, corruption see corruption
schemes, procurement
Scorpions of South Africa 351
Scott, I. 411
Sebelius, N. 322
SEC v American Rice, Inc., Joseph A. Schwartz, Jr., Joel R. Malebranche and Allen W. Sturdivant 258
SEC v Oscar H. Meza 257–8
second generation measurement tools 20, 21–5
Securities and Exchange Commission (SEC) 101, 214–8
Enforcement Division 216
selective sanctioning, procurement 100
Sellin, T. 196–7
Seneca Creek Associations 172, 173
Senegal 142, 148
Serious and Organised Crime Agency, UK 273
Serious Fraud Office (SFO) 335
Shapiro, M. 161, 162
Shapiro, S. 193
Sharman, J.C. 210, 212, 354
shell banks 210–11
shell companies, use of 75–8
Sherman, L.W. 199
Shockey, J. 210
Shore, C. 233
Shum Kwok Sher v HKSAR 412
Siegel, D. 232
Siemens, E. 207
Sierra Leone
country-specific indices of public
sector performance 55
GAC assessment 38–40
governance indicators by institution 58–9
petty corruption indicators 46
service delivery to citizens 47, 51
Steering Committee 38, 39
Simis, K. 232
Simpson, C. 289
Simpson, S.S. 191–2, 199
Sin Kam Wah v HKSAR 412
Singapore 245
Single Convention on Narcotic Drugs (1961) 304
situational crime prevention
techniques, forestry 179–86
examples 181
Smilov, D. 395–6
Smit, P. 194
Smith, R.G. 255, 257, 264, 276, 277
Solomon Islands 185
South Africa 23, 351
Energy Efficiency Program 154
South Asia 182–3
South Korea, e-procurement system 97, 98
Southeast Asia 244
Soviet Union, Former 378
SPAI (Stability Pact Anti-Corruption Initiative) 385
Special Climate Change Fund (SCCF) 141
sporting industry, corruption in 274
spyware 274–5
SREP (Scaling-up Renewable Energy in Low-Income Countries Pilot Programme) 142, 143, 149
Stability Pact Anti-Corruption Initiative (SPAI) 385
Stalans, L. 196
Standard Minimum Rules for the Treatment of Prisoners (1956), UN 303
Stanford, Sir Robert Allen 214–5, 217, 218, 220
Stanford International Bank ponzi scheme, case study 203–4, 214–8, 219
state capture 46, 50
Steffensmeier, D. 198
Stephenson, K.M. 370, 372
Steves, F. 382
Stohl, C. 425
Stohl, M. 425
Stolen Asset Recovery Initiative (StAR) 361, 363, 370, 372
Stusek, J. 381
Stylianou, S. 197
Sudan 196
Suganto, Toto 262–3
Sundaram, J. 5
surveys
assessment of corruption, country-specific 41–2, 44, 46
business 42
household 42
International Crime Victim 22, 194
Living Standards Measurement 44
Public Expenditure Tracking 28
public officials 41–2
victimization 21, 22, 194
Sutherland, E. 191, 192, 200
Svensson, G. 425, 426
Svensson, J. 28
Symington, J. 347
systems and controls review, public procurement 106
Tabellini, G. 55–6
Tacconi, L. 157, 161
Tailby, R. 225, 226, 233
Tanzania 144
tax avoidance 341
tax evasion, international 213–4
tax havens see offshore financial centres (OFCs)
tax information exchange agreements (TIEAs) 213
TCISC (Transnational Corruption Information Sharing Center) 104
TECS (Treasury Enforcement Communications System), US 264–5
text messaging 259
Thachuk, K. 115
third generation corruption measurement tools 19, 25–9
based on primary ‘unit of analysis’ 27–9
Thosarat, R. 248
TI see Transparency International (TI)
TIEAs (tax information exchange agreements) 213
Tiffen, R. 133
Torres, Vladimiro Illich Lenin Montesinos 212
Townsend, K. 191
Transnational Corruption Information Sharing Center (TCISC) 104
Transparency International (TI) 242
Bribe Payers Index 150, 158, 196
Corruption Perception Index 20, 21, 24, 150, 158, 190, 196
GATEway project 31
Global Corruption Barometer 22
Global Corruption Report on Climate Change 140, 157
and integrity cultures 418
Integrity Pact 102, 103, 153
Minimum Standards for Public Contracting 150, 151–2
on political funding 28
Promoting Revenue Transparency Companies Report 23
on public procurement 65
and whistleblowing 436, 437, 439
transparency requirements climate change financing 138, 146–9
cultures of integrity, developing 420
inputs of transparency 19
public procurement 68
second generation measurement tools 23
United Nations Convention against Corruption 312
Treasury Enforcement Communications System (TECS), US 264–5
Treisman, D. 18, 190, 197, 199
triangulation of data, third generation corruption measurement tools 26–7
Index 501

Trivunovic, M. 389, 397
Trott, K. 438, 449
Truman, E. 348
Tsim Sha Tsui Conspiracy case, Hong Kong 405
Tsui Ka-kit, Alex 406
Tsunami Relief Operations, corruption in 108
Tuckey, W. 130
Turkey Private Sector Sustainable Energy Financing Facility (TurSEFF) 154
Tweed, William Boss 464, 465
Twitter 274, 477

Uganda 65
Uhr, J. 418, 424
Ukraine 50
UNCAC see United Nations Convention against Corruption (UNCAC)
UNCITRAL (United Nations Commission on International Trade Law) 104
UNDP (UN Development Programme), climate change financing 139, 141, 142, 143–4, 145
UNFCCC (UN Framework Convention on Climate Change) 143
Adaptation Fund 139, 141–2, 147–8
Annex I countries 145, 146, 158
Secretariat 141
UNICRI (United Nations Inter-regional Crime and Justice Research Institute) 22
United Kingdom (UK) accounting provisions 326–7 and Anti-bribery Convention 323, 324
Bribery Act 2010 323–4, 326, 327, 331, 334, 337
ICT corruption 270, 273
International Corruption Group, creation 371
Parliamentary Commissioner for Standards 424
public procurement 117

whistleblowing responses 438
United Nations Convention against Corruption (UNCAC) 9, 342–3, 360, 362, 378, 379
and Anti-bribery Convention 319
Conference of the States Parties (COSP) 312–3
controlled view position 313–4
criminalizations contained in 306
entry into force (2005) 305
as global instrument 306
impact 306–11, 316, 317
broader range of forms of assistance 308–9
central authority, use of 309
extradition of nationals 310–11
‘good practice’ standards, adoption 309–10
introduction of new investigative techniques 307–8
joint investigations, possibility of 308
possibility to apply laws/procedures of requesting state 310
information and communications technologies 255
open review position 313
and procurement 105
review of implementation 311–5
third generation corruption measurement tools 27
UNTOC compared 305, 308–9
weaknesses of Article 5 380, 396
UNCAC compared 305, 308–9, 310
United Nations Framework Convention on Climate Change see UNFCCC (UN Framework Convention on Climate Change)
United Nations Inter-regional Crime and Justice Research Institute (UNICRI) 22
Handbook of global research and practice in corruption

United Nations (UN) 303–18
Human Development Index 20
International Code for Public Officials 424
and international co-operation on crime and criminal justice 303–5
Office on Drugs and Crime 194
Security Council Resolutions against Iraq 123–4, 125
standards and norms 303
see also UNCITRAL (United Nations Commission on International Trade Law); UNDP (UN Development Programme); UNFCCC (UN Framework Convention on Climate Change); specific conventions
United States (US)
Corruption Perception Index 196
Department of Defense 67, 101
Foreign Corrupt Practices Act (FCPA) 1977 160, 319, 322, 324, 325, 327, 330, 331, 334
Gemological Institute of America scandal (2005) 229–30
Hurricane Katrina 109
Kleptocracy Initiative 371
Patriot Act 2001 211, 220
penal code 191
Securities and Exchange Commission 101, 214–8
voluntary disclosure programmes 101, 116
whistleblowing responses 437–8
see also New York City
United States v John Henry Weaver 270
Unnever, J.D. 197
UNTOC (UN Convention on Transnational Organized Crime) 1999
see United Nations Convention on Transnational Organized Crime (UNTOC), 1999
Urban Transport Transformation Program, Mexico 154
Urbas, G.F. 276
Uslaner, E. 165
values 417
Van Dijk, J.J.M. 194
Van Kesterent, J.N. 194
Vandekerckhove, W. 431, 433, 436
Vander Beken, T. 225, 237
Vanuatu 210
Vaughan, D. 199
Venezuela 25
victimization surveys 21, 22, 194
Victorian Ombudsman approach, whistleblowing 429, 430, 432, 442–7, 450
guidelines, compliance with 455
Vietnam 144, 180
Vlassis, D. 294
Volcker, P.A. 129
Volcker Commission 77, 114
Walters, A. 210
Walton, G. 159
Warren, Mark 161, 164
Waterbury, J. 232
Watson, P. 241, 251
WBGI (World Bank Governance Indicators) 20, 21
weapons of mass destruction 125
Wei, S. 244
West Bengal 233
Weyzig, F. 234
Wheat Stabilization Act 1948, Australia 127
Whistleblowers Australia 435
Whistleblowers Protection Act 1994 (New Zealand) 438
Whistleblowers Protection Act 2001 (Australia) 432, 442, 443, 449, 450, 457
whistleblowing adverse consequences for whistleblowers, preventing 452–3
in Australia 429–62
awareness of legislation, improving 450–51
collusion of witnesses, preventing 455–6
confidentiality breaches, preventing 455
conflicts of interest, addressing 453 definitions 431–2
Index


Wikileaks 435 Wilkie, A. 447 Winer, J.M. 211 Wolanin, N. 5, 264, 277
