

Index

- accountability, generally
 - see also* judicial accountability;
 - political accountability;
 - individual agencies by name
- accountability gaps 11–12
 - definition 322
 - reported information 273–4
 - shared enforcement, and 368–75
- accountability paradox 276–7
- agency mandate interplays
- agency individual assessments 278–85, 288–301
- general principles 273–5
- assessment
 - challenges 277–8
 - importance 286–7
 - rationale 277–8
- balance, need for 17–18
- challenges and limitations 372–5
 - assessment complexity 277–8
 - independence 10–11, 17–18
 - sources of 17–18
- confidentiality, and 18–19, 95
 - limitations 39–40, 43–4
- decentralization impacts 14
- definition and interpretation 7–9, 312–13
 - control, compared with 7–8
 - political vs. judicial accountability 9–12
- executive discretion, and 11–12, 17–18
- forum shopping, and 8–9, 257, 334, 369
 - case allocation 335–6
 - regulatory allocation 334–5
- institutional independence, and 18
- meaningful accountability 273–4, 277, 285–6
- mechanisms 12–13, 15, 368–9
- Member State role, shift from 6–7
- non-interference conflicts 10–11, 17–18
- performance management, and 275–7
- research focus 15
- shared legal order, and 11–13, 45–50
- administrative pecuniary penalties (APP) 34–5
- Agency for the Cooperation of Energy Regulators (ACER) 26
- agriculture 24, 168–9
- anti-fraud authorities *see* European Anti-Fraud Office (OLAF)
- Area of Freedom, Security and Justice (AFSJ) 247
 - see also* European Public Prosecutor's Office
- Bank of Greece
 - banking supervision 34–5
 - judicial accountability 49–50
 - political accountability 38–43
- banking supervision
 - see also* Single Supervisory Mechanism
 - administrative pecuniary penalties (APP) 34–5
 - banking crises influences 28
 - capital requirements 29
 - credit institution classifications 29
 - ECB role 31–5
 - information exchange 37–9
 - information provision 39–42
 - instruction 33, 44
 - investigating 33–4
 - Joint Supervisory Teams 31, 40
 - monitoring 31–3
 - national authority role 30, 32–4
 - on-site inspections 31–2, 46–8
 - political accountability 36–44
 - sanctions 34–5

- Supervisory Review and Evaluation Process (SREP) 31, 40
- unannounced investigations 32
- Banking Union 28
- Belgium
 - anti-fraud authorities
 - OLAF, relationship with 229
 - pharmaceuticals authority
 - accountability 159–60, 166
 - composition 145–6
 - establishment 142
 - inspections 149–51
 - institutional independence 160
 - legal personality 145
 - purpose 142–3, 146
 - sanctions 150–51
 - pharmaceuticals, market share 142
- Body of European Regulators for Electronic Communication (BEREC) 26
- border controls 25, 371
- CEDEFOP 26
- Charter of Fundamental Rights 332
 - judicial accountability 333
 - judicial interpretation 332–3
 - national protection equivalence 333, 339
 - right of respect for rights of defence 337, 340–41
 - right to effective remedy 332–3, 344–5
 - access to law challenges 346–7
 - equivalence 333, 339
 - investigations, protection and appeals 347–51, 367–8
 - sanctions, imposition of 345–7
 - right to good administration 332
 - right to respect for private life 332, 337, 341–4
- choice of forum
 - European Public Prosecutor's Office 257, 336
 - forum shopping 8–9, 257, 334, 369
 - case allocation 335–6
 - regulatory allocation 334–5
- civil aviation, generally
 - market share, France and Germany 115–16
- civil aviation safety
 - see also* European Aviation Safety Agency (EASA)
- EU responsibilities
 - emergency oversight mechanism 129
 - expansion proposals 129–31, 139
 - generally 117
 - institutional arrangements 117–18
 - judicial accountability
 - EU level, at 133–4, 137
 - fault-based liability 136
 - national authorities 136, 138–9
 - wrongful administrative acts 136
 - national authorities
 - accountability gaps 139–40
 - airworthiness controls 121–2, 127–8
 - cooperation arrangements 122–3
 - France 118–20, 124–5, 134–6
 - Germany 120, 124–5, 134–6
 - institutional independence 135, 138
 - judicial accountability 136, 138–9
 - oversight 124
 - political accountability 134–5, 137–8
 - ramp inspections 125–6
 - relationship with EASA 121–5
 - responsibilities 116–17
 - political accountability
 - EU level, at 131–3, 137
 - national authorities 134–5, 137–8
 - shared enforcement 116–17, 121–5, 129–31, 137–40
 - subsidiarity principle, and 117
 - Committee for Medicinal Product for Human Use (CHMP) 144, 154–5
 - Committee of European Securities Regulators (CESR) 55
 - Common Agricultural Policy 168–9
 - Common Fisheries Policy 168–70
 - Community Fisheries Control Agency (CFCA) 170–71
 - Community Plant Variety Office (CPVO) 27
 - competition authorities 26
 - see also* European Competition Network (ECN)
 - Commission accountability
 - annual reports 98–9

- co-decision procedures 99–100
- ECA audits 321
- European Parliament, relationship between 97–101
- Framework Agreement 98
- importance 97
- judicial accountability 109–11
- political accountability 97–101
- restrictions and limitations 101, 109–11
- settlement trends 110
- Commission powers
 - commitment decisions 93
 - discretionary 86
 - generally 83–4
 - information requests 84–5
 - inspections 84–5
 - investigation 84–6
 - judicial review 85–6
 - monitoring 84
 - non-cooperation penalties 85
 - sanctions 84–5
- fundamental rights, and 85
- harmonisation 88
- judicial accountability
 - Commission 109–11
 - judicial review 109–11
 - national variations 111–13
 - restrictions and limitations 109–10
- national competition authorities
 - conflicts, obligation to avoid 93
 - coordination mechanisms 92–3
 - discretion 86–7
 - enforcement role 82
 - information provision 93
 - institutional independence 87–9, 101–5
 - investigation 92
 - judicial accountability 342–3
 - judicial review 91–2
 - jurisdiction 82–3
 - national variations 89–90, 101
 - political accountability 101–5
 - procedural autonomy 89
 - restrictions and limitations 89
 - role and powers 85, 88–9, 92
 - sanctions 90–91, 104
 - stricter controls 88
- political accountability
 - Commission 97–101
 - fining targets 104
 - institutional independence 101–5
 - national competition authorities 101–5
 - restrictions and limitations 103
 - principles 82
- confidentiality
 - accountability, and 18–19, 372
 - limitations 39–40, 43–4
- ECA audits 309
- Consumer Protection Cooperation Network (CPC) 25
- control, definition 7–8
- credit institutions
 - see also* banking supervision; Single Supervisory Mechanism
 - classifications 29
- credit rating agencies 20, 53
- De Nederlandsche Bank (DNB)
 - banking supervision 34–5
 - judicial accountability 49–50
 - political accountability 38–43
- decentralization 3, 14
- direct enforcement, definition 6–7
- Directorate F 203, 207–9, 218–19
 - accountability assessment 281, 299–300, 347
- drugs *see* pharmaceuticals
- EEAs, generally 1–3
 - development 330–31
 - powers and regulatory frameworks, summaries 25–8
 - research trends 273–4, 371
- enforcement, generally
 - see also* shared enforcement
 - definition 4–5
 - direct *vs.* indirect 5–7
 - internal *vs.* external 5–7
- Environmental Protection Agency (US) 273
- European Trading Foundation 26
- Eurofound 282
- Eurojust 25, 249
- European Agency for the Management of Operation Cooperation at the External Borders 371
- European Anti-Fraud Office (OLAF)

- accountability
 - annual reports and reviews 231, 233, 282, 318–19
 - annulment actions 238
 - assessment 281–2, 300–301
 - challenges 224, 244–6, 373
 - criticisms 234–8
 - damages, actions for 238–9, 368
 - discretionary powers 238–9
 - ECA audits 235–6, 317–20, 324
 - EU level, at 236–9
 - ex ante* accountability 240–41
 - ex post* accountability 232–3, 241–2
 - forums 233–5
 - institutional independence 320
 - investigations, legal protections 240–43, 343
 - judicial accountability 236–43, 245–6, 344, 349, 366
 - judicial review 236–8, 240–43
 - national level, at 240–43
 - Ombudsman 243–4
 - Parliamentary Committee on Budgetary Control 233
 - performance audits 235–6
 - political accountability of 232–5, 245–6
 - proportionality 240
 - rights violations, and 238–9
- administrative and criminal law interface 222–3
- criticism of 229–30, 234–5
- European Public Prosecutor's Office proposal 246
- functions 221
 - coordination and cooperation 222–5, 243–4
 - investigations 221–3, 225–30, 240–41
 - restrictions and limitations 224–6, 240–43
 - sanctions 223, 227
- Guidelines on Investigation Procedures 225, 228–9, 233
- institutional independence 222, 227–30, 235–6
- institutional structure 222, 230–32
 - challenges 245–6
- internal complaints procedures 229
- judicial controls 231–2, 236–7, 366
- national authorities, relationships with 223–7
 - Belgium 229
 - Netherlands 226–7, 240–43
- procedural safeguards 222–3, 225–6, 229–32
- regulatory framework 223–4, 228–32
- shared enforcement 360, 362–4, 373
- Supervisory Committee 230–32
- European Asylum Support Office (EASO) 24
- European Aviation Safety Agency (EASA)
 - accountability, generally
 - assessment 278, 288–9
 - gaps 139–40, 278
 - composition 118
 - emergency oversight mechanism 129
 - Framework Regulation proposal 129–31, 139
 - judicial accountability 133–4, 137, 347
 - judicial review 133
 - jurisdictional immunity 134
 - non-contractual liability 133
- legal personality 118
- national authorities, and
 - airworthiness controls 121–2, 127–8
 - cooperation arrangements 122–3
 - oversight 124
 - ramp inspections 125–6
 - relationship with 121–5
 - shared enforcement 359
- political accountability 131–3, 137
 - administrative appointments 131–2
 - annual discharge procedures 132
 - indirect accountability 132
- role and responsibilities
 - airworthiness controls 121–2, 128–9
 - certification 121–3, 129–30
 - delegation 121
 - direct enforcement 121–3, 129–30
 - generally 117–18
 - inspections 122
 - investigations 122

- oversight 121, 129–30
- ramp inspections 125–6
- sanctions 123
- shared enforcement 116–17, 121–5, 129–31, 137–40, 359
- European Banking Authority (EBA) 26
 - banking supervision role 29–30
 - monitoring 31–3
 - national authorities, division of tasks from 29–30
 - supervisory measures, form of 31
- European Border and Coast Guard 371
- European Central Bank (ECB)
 - accountability, generally
 - accountability gaps 370
 - annual reports 319
 - assessment 279, 290–91
 - ECA audits 317–21, 323–4
 - shared enforcement 321, 323, 359, 362, 364
 - functions
 - banking supervision 29–30
 - instructing 33, 44
 - investigating 33–4
 - law, application of 30
 - monitoring 31–3
 - sanctions 34–5
 - judicial accountability
 - annulment applications 45–6
 - dualist approach 47
 - ECB and national authority
 - procedural conflicts 47–9
 - ECB application of national law 49
 - information requests 45–6
 - investigations 48, 347
 - limitations 370
 - locus standi* establishment 45, 48
 - mechanisms 45–8
 - national authority mechanisms 49–50
 - on-site inspections 46–8
 - restrictions and limitations 342–3
 - sanctions 48–9
 - unannounced inspections 48
 - political accountability 43–4
 - annual reports 37–40, 279
 - confidentiality/ secrecy provisions 43–4
 - financial accountability
 - mechanisms 38–9, 279
 - financial discussion 41–2
 - information exchange 37–9
 - information provision 39–40
 - Interinstitutional Agreement 37
 - JST thematic reviews 40
 - legal framework 36–7
 - limitations 370
 - Memorandum of Understanding 37
 - personal accountability 42–3
 - rectification 42–3
 - vs. financial independence 43
- European Chemical Agency (ECHA) 27
- European Commission
 - political accountability
 - Committee of Inquiry 97–8
 - investigations of 97–8
- European Competition Network (ECN) 26
 - accountability assessment 279, 292–3
 - annual reports 98, 279
 - benefits 96
 - case allocation 83, 94–6, 112
 - confidentiality, and 95
 - information exchange 83, 92, 95–6
 - institutional independence, and 92
 - judicial accountability 112–14
 - legal personality 112
 - Network Notice 83, 92, 96
 - political accountability 98, 107–9, 113–14
 - purpose 83, 92–3, 96–7, 113
 - restrictions and limitations 95–7, 107–9, 279
 - rules 93–4
 - shared enforcement 259
 - underlying principles 96
 - well-placed authority, definition 94–5
- European Court of Auditors (ECA) 235–6
 - accountability
 - accountability gaps 321–2
 - answerability 314–15

- Commission, investigation of 98
- conflicts 322–5, 372–3
- CONT Committee 325–6
- cooperation obligations 315–16
- European Parliament and Council relationships 325–6
- financial controls 325
- forum for, role as 305–6, 311–14, 326–9
- information provision 313–14, 318–19, 323–5
- judicial accountability 327–8
- political accountability 325–7
- recommendations 315–16
- relationships 312–13, 317
- shared enforcement conflicts 362–3, 372–3
- shared management conflicts 323
- annual reports 310
- appointments 308
- audits
 - basis for 309, 317–18
 - confidentiality 309
 - conflicts 322–4
 - consequences 315–16
 - cooperation obligations 315–16
 - importance of 329
 - information access 232–325, 313–14, 318–19
 - non-compliance penalties 316
 - performance audits 324
 - procedures 310, 317–18
 - reporting 310, 319–21, 324, 329
 - selection 305–6, 310
- Committee on Budgetary Control 309
- discretionary powers 309
- establishment 307–8
- institutional design 307–8
- institutional independence 308
- judicial accountability 327–8
- landscape reviews 310
- legal framework 308
- political accountability
 - Commission, investigation of 98
 - CONT Committee 325–6
 - European Parliament and Council relationships 325–6
 - financial controls 325
 - role in strengthening 326–7
 - purpose 305–8
 - role and powers 308–11
 - statements of assurance 309
- European Defense Agency (EDA) 25
- European Environment Agency (EEA) 26
- European Fisheries Control Agency (EFCA) 24
 - accountability, general assessment 280, 293–4
 - Commission, relationship with 181
 - enforcement 171, 175–7, 193–4, 360
 - accountability gaps 193–4, 369
 - coordination 172–3, 193
 - inspections 172–5
 - investigations 172
 - Joint Deployment Plans 173, 176, 193
 - judicial accountability 193
 - political accountability 186
 - restrictions and limitations 172–3
 - sanctions 172, 175
 - shared enforcement 171, 173, 175–7, 186, 193–4, 360, 364
 - Specific Control and Inspection Programme (SCIP) 173, 176
- establishment 170–71
- judicial accountability 187
 - annulment actions 188–9
 - damages, actions for 191
 - direct and indirect challenges 187–90
 - EU and national law conflicts 189–90
 - failure to act, actions for 190–91, 366–7
 - individuals, actions by 187–8
 - limitations 193–4, 349, 366–7, 369–70
 - shared enforcement 193, 369–70
- political accountability 186
 - annual reports 179–81, 280
 - communication obligations 180
 - financial reporting 180–81
 - information and discussion stage 179–81
 - institutional independence 183–4
 - limitations 193–4
 - rectification stage 183–4

- shared enforcement 186, 364, 369–70
- European Food Safety Authority (EFSA) 24, 196
- European GNSS Agency (GSA) 25
- European Institute for Gender Equality (EIGE) 25
- European Institute for Security Studies (ISS) 25
- European Insurance and Occupational Pensions Authority (EIOPA) 26
- European Medicines Agency (EMA)
 - accountability, generally
 - assessment 280, 295
 - challenges 157–8, 161–2, 166
 - multilevel governance 162–3
 - national law conflicts 164–5
 - procedural complexity 165–7
- Committee for Medicinal Product for Human Use (CHMP) 144, 154–5
- Coordination Group for Mutual Recognition and Decentralised Procedures 165
- decentralized character 144, 157
- enforcement
 - direct enforcement 146–7
 - effective legal remedies 163–5
 - inspections 158
 - Pharmaceutical Regulation
 - 155–7, 161–2, 165
 - sanctions 152, 155–8
 - shared enforcement 152–7, 164–5, 360
 - Urgent Union Procedure (UUP)
 - 152–7, 161, 334–5
 - verticalization 147, 161–2, 164–5
- establishment 143
- institutional composition 144–5
- judicial accountability 157
 - effective legal remedies, and 163–5
 - regulatory allocation 334–6
- Pharmacovigilance Risk Assessment Committee (PRAC) 144–5, 152–5
- political accountability 157–9
 - annual reports 158, 280
 - financial controls 159
 - restrictions and limitations 157–9, 162–3
- principles 143–4
- purpose 143–4, 157–8
- European Monitoring Center for Drugs and Drug Addiction (EMCDDA) 25
- European Network and Information Security Agency (ENISA) 25
- European Parliament
 - political accountability
 - Committee of Enquiry, powers to initiate 97–8
 - ECA, relationship with 325–6
 - intra-institutional relationships 97–101
 - Parliamentary Committee on Budgetary Control 233
 - supervisory powers 98–9
- European Police College (CEPOL) 25
- European Public Prosecutor's Office (EPPO)
 - accountability, generally 280–81, 295–6
 - applicable law 251–2
 - case selection 254–5
 - enforcement, for 253–5
 - evidence, admissibility 253–4
 - financial interests 252
 - harmonisation 252–3
 - offences and penalties 252–3
- Delegated Prosecutors 255
- delegated powers 251
- discretionary powers 256–7, 262, 269–70
- establishment progress 249
- functions 249–51, 255–6
- institutional independence 254–5, 260–65
- institutional structure 250–51, 261
- judicial accountability 248, 349
 - choice of forum 257, 336
 - coercive measures 256–7
 - compatibility conflicts 256–7, 266–7
 - corruption offences 266–8
 - division of labour 265–6
 - ex ante* authorisation 256
 - judicial independence 268–9
 - judicial review 255–60, 266–7
 - pre-trial proceedings 257–60
 - restrictions and limitations 265–7

- legal framework 248–9
- national authorities, relationship
 - with 69–271, 248, 253–4, 257–62, 266–7
- political accountability 248
 - annual reports 264–5, 280
 - anti-fraud strategy 263–5
 - appointments 263
 - challenges 260–62, 268–70
 - coercive enforcement regimes 262
 - financial controls 263
 - institutional independence 260–65, 268–9
 - institutional safeguards 262–3
 - national legal system variations 261–2
 - oversight mechanisms 262, 264–5
 - pre-trial proceedings 262–5
 - restrictions and limitations 268–70
- procedural safeguards 253
- proposal 2, 246, 249–52, 255
- shared enforcement
 - benefits 247–8, 364
 - legal basis 248–9, 253–5
 - subsidiarity, and 249–50
- European Securities and Markets Authority (ESMA) 26
 - accountability, generally 281, 297–8
 - accountability gaps 370
 - annual reports 318–19
 - credit rating agency supervision 320
 - ECA audits 317–20, 324–5
 - case trends 60–61
 - independent investigation officers (IIOs) 58–60, 65
 - judicial accountability
 - annulment proceedings 75–6
 - EU level mechanisms 65, 73, 75–6, 79–81
 - ex ante* judicial review 73–4, 77–8
 - ex post* judicial review 75–7
 - generally 73, 77–9, 347
 - legal remedies 75
 - limitations 370
 - national mechanisms 73–5, 78–80
 - restrictions and limitations 74, 76, 78–81
 - UK experiences 74–5
 - legal framework 54–5
 - legal privilege, and 58–9
 - national agencies relationship with 56, 61
 - cooperation requirements 61–2
 - delegated tasks 62–3
 - non-cooperation penalties 57–8
 - on-site inspections 61–2
 - restrictions and limitations 63, 370–71
 - shared enforcement 359–62, 364, 370–71
 - political accountability
 - annual reports 66–7, 80
 - Chairperson, independence 68–9
 - discussion stage 68–9
 - EU level mechanisms 66–71
 - financial controls 69–70
 - gaps 80–81, 370
 - generally 64–5, 72–3, 77–8
 - informal mechanisms 71–2
 - information provision and exchange 66–7
 - information stage 66–7, 72
 - national mechanisms 71–3, 78, 80
 - performance audits 70–71
 - rectification stage 69–71
 - reporting requirements 66–7, 70–71
 - restrictions and limitations 68–9, 77–8, 80–81, 370
 - statements 68–9
 - UK experiences 71–2
- powers
 - delegation 62–4
 - discretionary 64–5, 77
 - generally 53–5, 64, 77
 - investigation 55, 58–61, 65
 - judicial review, and 65, 73–8
 - monitoring and supervision 55, 57–9
 - on-site inspections 56, 58–9, 62
 - restrictions and limitations 58–60, 64–5, 77
 - sanctions 55, 59–60, 65
 - supervisory measures 60, 65
 - purpose 54–5
- European Systemic Risk Board (ESRB) 26

- European Union Satellite Center (EUSC) 25
- Europol 25
- financial markets 26
see also banking supervision;
 European Securities and Markets Authority (ESMA)
- first-line control 6
- fisheries
see also European Fisheries Control Agency (EFCA)
- EU authority 24
 Common Fisheries Policy 168–70
 Community Fisheries Control Agency 170–71
 inspections 172–5
 investigations 172
 Joint Deployment Plans 173, 176, 193
 judicial accountability 187–92
 political accountability 179–81
 sanctions 172, 175
 shared enforcement 171, 175–7, 186, 193–4
 Specific Control and Inspection Programme (SCIP) 173, 176
- national authorities
 administrative obligations 173
 inspections 173–5, 177–8
 Italy 177–8, 185–6, 192
 judicial accountability 192–3
 political accountability 182–6
 sanctions 175, 177–8, 192–3
 UK 178–9
- unsustainability risks 168
- food safety 24
- EU law
 accountability, generally 218–21
 Bowland case 204–6, 215–16
 Commission role 208–10
 direct enforcement 197–204, 216–17
 Directorate F 203, 207–9, 218–19
 emergency powers 203–4, 206
 General Food Law framework 196, 203–4, 214
 indirect enforcement 204–8, 217
 judicial accountability 215–16, 218
 national law relationships with 218–21
 Official Controls Regulation 197–9
 political accountability 209–10, 217
 public scrutiny 210
 purpose 196–7
 Rapid Alert System for Food and Feed (RASFF) 204–6, 213, 218
 third party enforcement 198
 verticalisation of enforcement 204–8
- European Food Safety Authority (EFSA) 24, 196
- judicial accountability
 EU level, at 215–16, 218
 national level, at 213–15
- national authorities
 control obligations 198–204
 emergency powers 204
 EU law, relationship with 218–20
 Italy 200–203, 211–13, 215, 217–18
 judicial accountability 213–15
 Netherlands 199–200, 210–15, 217–18
 political accountability 210–12, 217–18
 sanctions 199, 201–3
 UK 204–6, 215–16, 219–20
- political accountability
 EU level, at 209–10, 217
 national level, at 210–12, 217–18
 public scrutiny 210
- France
 civil aviation, market share 115–16
 civil aviation safety
 judicial accountability 136
 national authority role and powers 118–20, 124–5
 non-compliance penalties 124–5
 political accountability 134–5
 occupational health and safety
 agency accountability assessment 282–3
 enforcement responsibility 283–4
- Frontex 25
- fundamental rights

- enforcement powers 25
- judicial interpretation 332–3
- judicial redress 11
- national judicial protections 333, 339
- proportionality, and 242
- relevant legislation 25
- right not to incriminate oneself 340–41
- right of respect for rights of defence 337, 340–41
- right to effective remedy 332–3, 344–5
 - access to law challenges 346–7
 - equivalence 333, 339
 - investigations, protection and appeals 347–51, 367–8
 - sanctions, imposition of 345–7
- right to good administration 332
- right to respect for private life 332, 337, 341–4
- Fundamental Rights Agency (FRA) 25
- Germany
 - civil aviation, market share 115–16
 - civil aviation safety
 - judicial accountability 136
 - national authority role and powers 120, 124–5
 - non-compliance penalties 125
 - political accountability 134–5
 - occupational health and safety
 - agency accountability assessment 283–4
 - enforcement responsibility 284
 - pharmaceuticals authority
 - accountability 160–61, 166
 - composition 146
 - discretionary powers 150–51
 - financial controls 161
 - generally 143
 - inspections 149–50
 - institutional independence 146, 161
 - judicial review 160
 - legal personality 146
 - purpose 146
 - sanctions 150–51
 - pharmaceuticals, market share 142
- Greece
 - banking supervision
 - financial accountability 38–9
 - information exchange 38
 - information provision and discussion 41–2
 - judicial accountability 49–50
 - political accountability 38–44
 - powers 34–5
 - credit institution classification 30
- Hungary
 - competition authority
 - fines 91, 97
 - institutional independence 105
 - investigation powers 90, 92
 - judicial accountability 111
 - judicial review 111–12
 - political accountability 105–7
 - restrictions and limitations 112
 - right to fair trial 112
 - institutional independence
 - see also* individual agencies
 - accountability, and 18
 - intellectual property 27
 - EU and national law conflicts 189–90
 - judicial accountability 189–90
- Italy
 - fisheries authority
 - financial accountability 185–6
 - inspections 177–8
 - institutional independence 185–6
 - judicial accountability 192
 - political accountability 182–3, 185–6
 - sanctions 177–8, 192
 - food safety authority
 - generally 196
 - guidelines 201
 - judicial accountability 215
 - law reform proposals 202–3
 - political accountability 211–12, 217–18
 - powers 200–201
 - public information provision 212–13
 - sanctions 201–3
 - food sector characteristics 196

- judicial accountability, generally
 - see also* individual agencies
 - access to court 366–7
 - accountability gaps 369–70
 - choice of forum
 - case allocation 335–6
 - forum shopping 8–9, 257, 334–6, 369
 - regulatory allocation 334–5
 - definition and interpretation 9–11
 - fundamental rights protection, and 332–3, 337, 340–41
 - importance of 3–4
 - mechanisms 16–17
 - national judicial protections
 - equivalence 333, 339
 - evidentiary rules conflicts 349–51
 - information access 340–41
 - procedural autonomy 333
 - procedural safeguards
 - access to information 340–41
 - duty to cooperate 340
 - excessiveness and arbitrariness 342–3, 367
 - generally 337–8
 - good governance 337
 - in-house lawyers 338
 - legal professional privilege 338–9
 - mutual trust principle 342–3
 - necessity principle 342
 - prior judicial authorization, and 342–3, 367
 - proportionality 342–3
 - shared enforcement, and 367–8
 - reviewable acts, interpretation 366–77
 - right to effective remedy 332–3, 344–5
 - access to law challenges 346–7
 - equivalence 333, 339
 - investigations, protection and appeals 347–51, 367–8
 - national evidentiary rule conflicts 349–51
 - sanctions, imposition of 345–7
 - right to good administration 332
 - right to respect for private life/ home 332, 337
 - investigations, and 341–4
 - rights of defence, respect for 337, 340–41
 - shared enforcement conflicts
 - forum shopping 8–9, 257, 334–6
 - fundamental rights protections 332–3, 337, 340–51
 - generally 351–2
 - investigations 347–51
 - judicial principles 342–3
 - national law variations 333, 339, 340–41, 349–50, 367
 - political accountability, and 351–2
 - two-level system, as 366
- jurisdiction
 - choice of forum
 - case allocation 335–6
 - forum shopping 8–9, 257, 334–6, 369
 - regulatory allocation 334–5
- legal professional privilege 338–9
- Netherlands
 - anti-fraud authority
 - OLAF, relationship with 226–7, 240–43
 - banking supervision
 - financial accountability 38–9
 - information exchange 38
 - information provision and discussion 40–42
 - judicial accountability 49–50, 342–3
 - political accountability 38–44, 365
 - powers 34–5
 - competition authority
 - Commission policy influences 97
 - fines 90–91, 111
 - information requests 90
 - institutional independence 102, 104–5
 - judicial accountability 111
 - political accountability 102–5
 - credit institution classification 30
 - European Public Prosecutions
 - pre-trial proceedings 259–60
 - food safety authority
 - annual reports 211

- generally 196
- inspections 199–200
- institutional independence 210
- judicial accountability 213–15
- political accountability 210–11, 217–18
- powers 199–200
- public information provision 212
- sanctions 200, 214
- food sector characteristics 195
- occupational health and safety
 - agency accountability assessment 282–3
 - France 282–3
 - Germany 283–4
 - UK 283–5
 - EU regulatory controls 272
 - national variations 282–4
- Office for Harmonization in the Internal Market (OHIM) 27
- pharmaceuticals 25
 - see also* European Medicines Agency (EMA)
 - EU authority
 - Committee for Medicinal Product for Human Use (CHMP) 144, 154–5
 - development, generally 141
 - direct enforcement powers 146–7
 - minimum standards 147
 - Pharmaceutical Regulation 155–7, 161–2, 165
 - Pharmacovigilance Risk Assessment Committee (PRAC) 144–5, 152–5
 - sanctions 152, 155–7
 - shared enforcement 152–7, 161–2, 164–5
 - Urgent Union Procedure (UUP) 152–7
 - judicial accountability 57, 159–61
 - market share, Belgium and Germany 142
 - national authorities 142–3
 - Belgium 142–3, 145–6, 149–51, 159–60, 166
 - discretionary powers 150–51
 - Germany 143, 149–50, 160–61, 166
 - inspections 149–51
 - institutional composition 145–6
 - judicial accountability 159–61
 - political accountability 160, 162
 - purpose, generally 145
 - sanctions 147, 150–52
 - shared enforcement 152–7, 161–2, 164–5
 - supervisory powers 147–8
 - political accountability 157–60, 162
 - Pharmacovigilance Risk Assessment Committee (PRAC) 144–5, 152–5
 - Police cooperation 25
 - political accountability
 - see also* individual agencies
 - definition and interpretation 10–11
 - importance 369
 - mechanisms 15
 - national variations 362
 - professional independence, and 10–11, 17–18
 - shared enforcement, relevance to 362, 364–5
 - political control, importance 3–4
 - proportionality
 - judicial accountability, and 342–3
 - public prosecution
 - see also* European Public Prosecutor's Office (EPPO)
 - political accountability 10
 - political independence, and 10, 17–18
 - Rapid Alert System for Food and Feed (RASFF) 204–6, 213, 218
 - rule of law 332
 - sanctions, generally
 - see also* individual agencies
 - definition and interpretation 4–5, 330
 - EEA powers to impose 2, 330–31
 - judicial accountability, and 345–7, 367–8
 - political accountability 10
 - right to effective remedy, and 345–7
 - Senior Labour Inspectors Committee (SLIC) 282

- shared enforcement
 - accountability, generally
 - accountability gaps 368–75
 - challenges 372–5
 - disconnection 373
 - judicial accountability 365–9
 - political accountability 361–5, 368–9, 372–3
 - research opportunities 373–4
 - suitability analysis 368–9
 - challenges 355–6, 365, 372–5
 - judicial accountability
 - access to court 366–7
 - appeals, availability of 367–8
 - forum shopping 8–9, 257, 334–6, 369
 - fundamental rights protections 332–3, 337, 340–51
 - generally 351–2
 - investigations 347–51
 - judicial principles 342–3
 - mechanisms, availability 366
 - national law variations 333, 339, 340–41, 349–50, 367
 - political accountability, and 351–2
 - procedural safeguards 367–8
 - reviewable acts, interpretation 366–7
 - sanctions 367–8
 - suitability 368–9
 - legitimacy, and 374–5
 - methods
 - hierarchical relationships 358–9
 - institutional design variations 358–61
 - interdependence, and 361
 - organizational challenges 374–5
 - overall responsibility 358
 - parallel relationships 359
 - shared enforcement processes 358
 - specific functions 358
 - supporting relationships 359–60
 - trends 360–61
 - political accountability 361–5
 - accountability mechanisms quality 362–3
 - accountability mechanisms relevance 362
 - EU forums role 364
 - financial control conflicts 363
 - national forums role 364–5, 369
 - restrictions and limitations 363, 372–3
 - sanctions 363–4
 - suitability 368–9
 - powers
 - competent authority 355–6
 - division of competences 354–5
 - generally 354–5
 - institutional autonomy principle 355
 - national authority variations 354–6
 - oversight mechanisms 354–5
 - verticalization
 - accountability, generally 361–5
 - benefits 305, 357–8
 - functional spillover 357–8
 - political accountability 361–5
 - trends 356–7
- shared legal order
 - accountability conflicts 11–13
 - judicial accountability 45–50
- Single Supervisory Mechanism 28
- credit institution classifications 29
- ECB role
 - instructing 33, 44
 - investigating 33–4
 - monitoring 31–3
 - on-site inspections 31–2, 46–8
 - sanctions 34–5
 - unannounced investigations 32
- instructing 33, 44
- investigating
 - ECB role 33–4
 - national authority role 34
- judicial accountability
 - annulment applications 45–6
 - challenges 52
 - dualist approach 47
 - ECB and national authority procedural conflicts 47–9
 - ECB application of national law 49
 - ECB mechanisms 45–8
 - generally 45, 50, 52
 - information requests 45–6
 - investigations 48
 - locus standi* establishment 45, 48

- national authority mechanisms
 - 49–50
- on-site inspections 46–8
- sanctions 48–9
- unannounced inspections 48
- monitoring
 - Joint Supervisory Teams 31, 40
 - less significant institutions 32–3
 - significant institutions 31–2
 - Supervisory Review and Evaluation Process (SREP) 31, 40
- national authority role 30, 50–51
 - annual reports 37–8
 - financial accountability mechanisms 38–9
 - information exchange 37–8
 - investigating 34
 - monitoring 32–3
 - political accountability 37–9
- political accountability
 - financial accountability mechanisms 38–9
 - financial discussion 41–2
 - financial independence, and 43, 51
 - generally 36, 43–4, 51
 - information exchange 37–9
 - information provision 39–40
 - legal framework 36–7
 - personal accountability 42–3
 - proportionality, and 39
 - rectification 42–3
 - restrictions on 39–40, 51–2
- principles 29–30, 36
- sanctions
 - ECB role 34–5
 - national authority role 35
 - pecuniary 34–5
- Specific Control and Inspection Programme (SCIP) 173, 176
- trade repositories 53
- United Kingdom
 - fisheries authority
 - financial accountability 184–5
 - inspections 178–9
 - institutional independence 184
 - judicial accountability 192–3
 - Marine Management Organization (MMO) 178, 182, 184, 192
 - Marine Scotland 178–9, 182, 184–5, 192–3
 - political accountability 182, 184–5
 - sanctions 178–9, 192–3
- food safety
 - Bowland case 204–6, 215–16
 - Food Safety Agency
 - accountability 219–20
- occupational health and safety
 - agency accountability assessment 283–5
 - enforcement responsibilities 284–5
- United States
 - accountability
 - agency mandates, interplay with 273–4
 - focus trends 274–5
- Urgent Union Procedure (UUP) 152–7, 161, 334–5